

Collective Agreement

University of Victoria Faculty Association

And

University of Victoria

July 1, 2022 – June 30, 2025

Any changes adopted by the parties and any interpretations of the Joint Committee on Administration of the Agreement relating to any section of this agreement will be posted on the parties' websites.



University of Victoria and University of Victoria Faculty Association

Collective Agreement

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Part 1: Interpretation of Agreement

1. Preamble

- 1.1 The Parties recognize that the University is a community of learning, knowledge, and accomplishment that serves the people of British Columbia, and the global community. In teaching, learning, research, artistic creativity, scholarly activity and professional practice, the Parties are committed to attaining the highest quality, to meeting the highest standards of integrity, to providing students with an environment in which they may develop intellectually and professionally, to promoting the advancement and dissemination of knowledge, and to supporting a climate of academic freedom, responsibility, and mutual respect. The Parties agree, in the furtherance of these aims, to promote harmonious relations and to attempt to settle any misunderstandings or disputes in a respectful manner.
- 1.2 In furtherance of shared values and aspirations, the Parties acknowledge the importance of collegially developed institutional commitments, plans and goals that guide our progress in building a better community and enhancing our contributions to society. The Parties recognize the importance of making space for this work and acknowledging the contributions of community members in these initiatives.
- 1.3 The Parties acknowledge the value of diversity of people, knowledge, and ways of knowing in our community.
- 1.4 The Parties further acknowledge the role that our University, as an educational institution, has played in the perpetuation of colonial systems, both historically and in contemporary times. We acknowledge the need to learn and change, to demonstrate respect and to work towards reconciliation with Indigenous peoples and other marginalized populations.
- 1.5 The University, the Association and its Members are committed to upholding their responsibilities under British Columbia's *Declaration on the Rights of Indigenous Peoples Act (DRIPA)* pertaining to post-secondary institutions. We acknowledge the United Nations *Declaration on the Rights of Indigenous Peoples (UNDRIP)*, which recognizes that "Indigenous peoples have the right to the dignity and diversity of their cultures, traditions, histories and aspirations which shall be appropriately reflected in education and public information" (*UNDRIP*, Article 15.1).

2. Definitions

For the purposes of this Agreement:

“Academic Unit” means a Faculty, School, Division or Department, or a Program identified in *LOU: Units and Programs Appointing Faculty*;

“Academic Year” means the period July 1 of any one (1) year to June 30 of the next year;

“Academic Responsibilities” are those components of a Faculty Member’s Workload, articulated in their appointment letter and this Agreement, generally including Teaching, Research or Scholarly Activity, and Service. For Indigenous Members, this includes those activities done in and for an Indigenous community that are rationally connected to the Member’s Teaching, Research or Scholarly Activity, and Service, for example, activities to develop and maintain relationship with the community in which one researches;

“Agreement” means this Collective Agreement;

“Article” means a grouping of one or more provisions (called Sections) on a topic related to the Article’s name (e.g. Appointment, Salary);

“Association” means the University of Victoria Faculty Association;

“Bargaining Unit” means the group of Faculty Members and Librarians represented by the University of Victoria Faculty Association under the order of the British Columbia Labour Relations Board dated January 29, 2014;

“Career Progress Increment” or **“CPI”** is defined in s. 50.13;

“Chair” means the Chair of a Department and includes the Director of a School. Where a power or duty is given to a Chair under this Agreement, “Chair” also includes the Dean of a Faculty without Departments and the Head of the Division of Medical Sciences;

“Clinical” is a designation that indicates responsibilities that are carried out in a clinical setting, which may be in the nature of Teaching, Research and Scholarly Activity, or Service;

“Conflict of Interest” is defined in Article 49 *Conflict of Interest and Reasonable Apprehension of Bias*;

“Continuing Appointment” means an academic appointment without term that may only be terminated by resignation, retirement, death, or in accordance with the terms of this Agreement;

“Dean” means the Dean of a Faculty, and includes the Head of the Division of Medical Sciences. Where a provision applying to Librarians references a Dean, Dean shall also include the University Librarian;

“Department” means a Department or School within a Faculty and a Faculty without Departments or Schools;

“Evaluation Policy” means the Faculty or Libraries policies pertaining to evaluation of Faculty Members or Librarians as defined in Article 25 *Evaluation of Members*;

“External Professional Activity” is defined in s. 48.1 and s. 48.2;

“Faculty” means an Academic Unit that is so named and approved by the University Senate and Board of Governors and includes the Division of Medical Sciences but does not include the Faculty of Graduate Studies unless the text of a Section expressly refers to the Faculty of Graduate Studies;

“Faculty Excluded” means a person holding an academic appointment as a faculty member whose role entails administrative or supervisory responsibilities such that they are subject to exclusion from any bargaining unit under the *BC Labour Relations Code*. The Parties agree that Chairs of Departments and Directors of Schools are Members of the Bargaining Unit;

“Faculty Member” means a person holding an academic appointment referenced in s. 20.1 – s. 20.7 at the University, who is represented by the Association;

“Full-time Equivalency” or “FTE” refers to a comparison in relation to a Member working full-time where full-time equals 1 FTE;

“Grant-Tenure” means an academic appointment where funds to support more than 50% of the salary for the appointment come from an external source;

“Home Unit” means the Unit responsible for undertaking the processes in this Agreement in respect of a Member’s appointment;

“Joint Committee on Administration of Agreement” or “JCAA” is defined in Article 9 *Joint Committee on Administration of the Agreement*;

“Librarian” means a person holding a Regular Librarian Appointment (probationary or confirmed) or Limited-Term appointment as a Librarian and includes an Archivist, who is represented by the Association;

“Hourly Librarian” means a person holding a term appointment to undertake a limited range of Professional Responsibilities on an hourly basis such that annual hours do not exceed 0.8 FTE;

“Librarian Excluded” means a person holding an academic appointment as a librarian whose role entails administrative or supervisory responsibilities such that they are subject to exclusion from any bargaining unit under the *BC Labour Relations Code*;

“Limited-Term Faculty” means a person holding an academic appointment for a limited period of time, which exceeds one (1) year in duration, inclusive of renewals;

“Limited-Term Librarian” means a person holding a Librarian appointment for a limited period of time, for one (1) year or more, with at least 0.8 FTE;

“Member” means a Faculty Member or a Librarian;

“Normal Retirement Date” or “NRD” means the June 30th immediately following a Member’s 65th birthday;

“Official Performance File” is defined in s. 18.3;

“Outstanding Performance Recognition” or “OPR” is defined in s. 50.23;

“Party” or “Parties” means the University and/or the Association;

“Performance Pay Increase” or “PPI” is defined in s. 50.19;

“Personnel File” is defined in s. 18.8;

“Professional Performance (Librarians)” is defined in s. 25.23 a) i);

“Professional Responsibilities” are those components of a Librarian’s Workload articulated in their appointment letter and this Agreement, generally including Professional Performance, Scholarly and Professional Achievement, and Service. For Indigenous Members, this includes those activities done in and for an Indigenous community that are rationally connected to the Member’s Professional Performance, Scholarly and Professional Achievement, and Service, for example, activities to develop and maintain relationship with the community in which one engages in librarianship;

“Promotion Recognition Award” or **“PRA”** is defined in s. 50.25;

“Research, scholarship and creative activity” (“Research”) is defined in s. 25.16 in relation to Research Stream faculty;

“Reasonable Apprehension of Bias” has the meaning described in s. 49.30;

“Regular Academic Appointment” means an appointment with Tenure or eligibility for Tenure, or an appointment with Continuing Appointment or eligibility for Continuing Appointment, as defined in Article 20 Appointments;

“Regular Librarian Appointment” means a probationary status Regular appointment or confirmed Regular appointment as defined in Article 20 Appointments;

“Retire” means leaving the employment of the University after becoming eligible to collect pension benefits and without the intention of moving to other professional employment;

“Retirement Phase-In Plan” is defined in s. 53.5;

“Scholarly Activity” is defined in s. 25.19 when used in respect of Teaching Stream faculty;

“Scholarly and Professional Achievement” is defined in s. 25.23 a) ii) when used in respect of Librarians;

“Scholarship” is synonymous with Research, Scholarly Activity and Scholarly and Professional Achievement;

“Section(s)” or where a number is preceded by **“s.”** refers to a provision within an Article that sets out the agreement of the Parties;

“Service” when used in relation to Faculty Members is defined in s. 25.22 and when used in relation to Librarians is defined in s. 25.23 a) iii);

“Standard for Librarians” means the Libraries’ document outlining the distribution of Professional Responsibilities of Librarians and is defined in s. 13.44;

“Stream” differentiates appointment types, as defined in Article 20 Appointment;

“Teaching Performance” is defined in s. 25.7;

“Tenure” means an academic appointment without term that may only be terminated by resignation, retirement, death, or in accordance with the terms of this Agreement;

“Unit” means a Department or School within a Faculty, a Faculty without Departments, the Division of Medical Sciences, or the Libraries;

“University” means the University of Victoria;

“University Academic Appointments Committee” or **“UAAC”** is defined in s. 33.46;

“Working day” means Monday to Friday, except statutory holidays and University-wide closures.

3. Interpretation of Agreement

- 3.1 Where a date specified in this Agreement for completing an act, including submitting a recommendation or filing an appeal, falls on a day on which University offices are closed, the deadline for completing the act is the close of business on the next Working day.
- 3.2 The headings of this Agreement are inserted for convenience of reference only and will not affect the construction or interpretation of this Agreement.
- 3.3 If any provision of this Agreement is held to be legally invalid or unenforceable, such invalidity or unenforceability will not affect or impair the validity or the enforceability of the remaining provisions of this Agreement, which will remain in full force and effect and the Parties will continue to be bound by them. The Parties agree to bear equal responsibility for the content of this Agreement.
- 3.4 If a statute or regulation is passed by the Government of Canada or the Province of British Columbia or a ruling or assessment is made by the Canada Revenue Agency that alters or renders any provision of this Agreement null and void, the remaining provisions will remain in effect for the term of the Agreement and the Parties will seek to negotiate a mutually agreed-upon substitution for the affected provision.
- 3.5 If a statute or regulation is passed by the Government of Canada or the Province of British Columbia or a ruling or assessment is made by the Canada Revenue Agency that alters the rights and obligations of either Party to this Agreement in a manner not foreseen or intended by either Party at the time of negotiation, the provision, provided it is not by law null and void, shall operate in accordance with its original intent, until such time the Parties negotiate a mutually agreed-upon substitution for the affected provision.
- 3.6 All regular correspondence and requests for information between the Parties arising out of, or incidental to, this Agreement, except where otherwise expressly provided, shall pass between authorized representatives of the Faculty Association and the Office of Faculty Relations and Academic Administration. Such correspondence may either be delivered directly in paper or electronic form, or forwarded through the University's internal postal service.

Part 2: Recognition and Collegial Rights

4. Recognition of Association

- 4.1 The University of Victoria recognizes the University of Victoria Faculty Association as the exclusive bargaining agent for all Members for whom the Association has been certified as bargaining agent, in accordance with the order of the British Columbia Labour Relations Board dated January 29, 2014, or as subsequently amended by the Board.
- 4.2 The Association does not represent and does not have the authority to negotiate or enter into an agreement on behalf of persons excluded in conformity with the *B.C. Labour Relations Code*.
- 4.3 For added clarity, the following are not Members and are not subject to this Agreement, (including during any period of leave):
- a) Persons who hold a senior administrative position at the level of Associate Dean/Associate University Librarian or above;
 - b) Persons who are defined as Faculty Excluded or Librarian Excluded;
 - c) Post doctoral fellows, including post doctoral fellows with up to a .5 FTE Limited Term appointment, research assistants, sessional instructors, persons with adjunct and visiting appointments or persons with non-academic appointments, whether represented or unrepresented by another union;
 - d) Persons with affiliated appointments for positions teaching in the Island Medical Program whose primary employment is with another post-secondary institution through the Island Medical Program or persons with non-remunerated appointments under the *Policy on Non-remunerated Academic Appointments*;
 - e) any person appointed to any of the above positions in an acting capacity.
- 4.4 Faculty Members and Librarians newly appointed to the University and who fall within the description of the Bargaining Unit approved by the British Columbia Labour Relations Board on January 29, 2014, are members of the Bargaining Unit and are represented by the Association.
- 4.5 The University agrees to inform all applicants for employment which falls within the description of the Bargaining Unit in the advertisement that the Association represents the Bargaining Unit, and that this Agreement is in effect, and provide a link to the website of the Association.
- 4.6 No Member will be permitted or required to make any written or oral agreement with the University or its representatives which conflicts with the terms and conditions of this Agreement.
- 4.7 The University will not meet with any employee or group of employees undertaking to represent the Association without the authorization of the Association. The Association will notify the University of its authorized representatives.
- 4.8 A Member excluded under s. 4.3 a) will be represented by the Association, upon return to the Bargaining Unit, following completion of their term of office and any administrative leave associated with the term in office.

- 4.9 The University recognizes the right of Members, as a matter of individual conscience, to refuse to cross a picket line arising out of a dispute as defined in applicable labour legislation. Such absence will be without salary.
- 4.10 In accordance with section 68(2) of the *Labour Relations Code*, the University will not require a Member to perform any work of an employee in any bargaining unit of University employees that is on a legal strike or that is locked out, or who is honouring a legal picket line.
- 4.11 The University recognizes that the Association has the right at any time to call upon the assistance of the Canadian Association of University Teachers (CAUT). Such duly authorized representatives will have access to University premises to consult with Association officials and Members.

5. Association Dues and Fees

- 5.1 In accordance with section 16 of the *Labour Relations Code*, and subject to the provisions of section 17 of the *Labour Relations Code*, it is a condition of employment of all Members of the Bargaining Unit to authorize the deduction from salary of such fees, dues and assessments as the Association may require. Upon commencement of employment and for as long as employment within the Bargaining Unit continues thereafter, the University will deduct monthly the amount of such fees, dues and assessments from the salary payable to Members and remit such fees, dues and assessments to the Association within fifteen (15) days of the end of each month.
- 5.2 All fees, dues and assessments payable by Members of the Bargaining Unit to the Association on the date that this Agreement comes into force will continue until the Association provides the University with a notice of revised fees, dues and assessments.
- 5.3 Where a Member of the Bargaining Unit has delivered written notice to the Association to remit fees, dues and assessments payable to the Association to the Faculty Association Scholarship Fund and the Association has so advised the University's designate in writing, the University will remit such fees, dues and assessments to the Faculty Association Scholarship Fund until directed otherwise by the Association.
- 5.4 Where the Association provides the University with written notice of revised fees, dues and assessments, the University will deduct the revised fees, dues and assessments in the pay period that begins thirty days after receipt of such notice.

6. Release Time for the Association

- 6.1 The University recognizes that it has an interest in maintaining the Association's ability to adequately represent its Members.
- 6.2 The University will annually pay the cost of:
- a) twelve course units of sessional replacement in order to provide release from Teaching or Librarian duties for officers of the Association under the Alternative Workload provisions identified in Article 13. The Association may allocate these releases at its sole discretion. Upon receiving notification of the allocation from the Association, the University will transfer the requisite funds to the Member's Department or the University Libraries.
 - b) one and a half (1.5) course units annually of sessional replacement in order to provide release from Teaching or Librarian duties for Executive Committee members who support Association committees and provide policy advice to the President and membership services in the areas of equity, diversity, inclusion, anti-racism and Indigenization.
- 6.3 The University will pay for the costs of a further three course units of sessional replacement in the year during which an Agreement is being negotiated, in order to provide Teaching or Service release under the Alternative Workload provisions identified in Article 13 for the members of the Association negotiating team.
- 6.4 The Association may purchase, at its cost, Teaching release for Members in addition to release time described in s. 6.2 and s. 6.3 under the Alternative Workload provisions identified in Article 13. The cost of purchasing release time under this Section is the Step 6 cost on the Sessional Instructor pay-scale.
- 6.5 In order to permit the Member's Department or Faculty or the Libraries to find suitable replacements for the Members who are provided with release from Teaching or other duties under this Article, the Association will provide the University with four (4) months' notice prior to the commencement of the term to which the release will apply with regard to the allocation under s. 6.2, s. 6.3 and s. 6.4.
- 6.6 Where release is provided to a Member under this Article, there will not be any loss of salary or benefits to the Member.
- 6.7 In the event that a Librarian receives one or more releases under this Article, they will receive a reduction in their Professional Responsibilities of six hours per week per term as the equivalent for each course unit of teaching release.

7. Office Space and Services

- 7.1 The University will provide the Association with suitable, rent-free office space that is not less than the current area in square metres occupied by the Association. The Association may request additional space and such requests shall be considered based on availability and compliance with the BC University Space Standards.
- 7.2 The Association may use University services such as printing, audio-visual, and similar services, with the Association being charged at the internal user rate.
- 7.3 The Association may use the internal University mail delivery service for the purpose of communicating with Members without restriction and free of charge.
- 7.4 The University will provide the Association, free of charge, access to meeting rooms on the University campus for Association business, in accordance with the normal booking procedures and regulations.
- 7.5 The Association may pay its staff through the University payroll system at the Association's expense.
- 7.6 The University's telecommunication system may be used for communications between the Association and its Members. With regard to that communication, the University agrees that it will not seek to intercept, review, or otherwise gain access to communications between the Association and its Members. Notwithstanding the above, the University reserves the right to conduct an investigation with regard to the use of the University telecommunication system under University Policy *Acceptable Use of Electronic Information Resources (IM7200)*, or as otherwise permitted by law.

8. Collegial Rights

Collegial Governance

- 8.1 Faculty Members and Librarians have the right to participate in the formulation and recommendation of academic policies and procedures within the University as members of duly constituted bodies and committees at the Department, Library, Faculty and University level.
- 8.2 The Parties accept and support the principles contained in policies of Senate and of the Board of Governors for the limits to terms of appointments and the participation of Members in the selection of Chairs and senior academic administrators, and in particular in the selection of:
- a) President;
 - b) Vice-President Academic and Provost;
 - c) Deputy Provost;
 - d) Vice-President Research and Innovation;
 - e) Associate Vice-President Academic Planning;
 - f) Associate Vice-President Research;
 - g) Deans of Faculties;
 - h) Associate Deans of Faculties;
 - i) University Librarian; and
 - j) Associate University Librarians.
- 8.3 Nothing in this Agreement restricts the exercise by Senate and the Board of Governors of their jurisdiction over these procedures.

Policies and Agreements Appended to this Agreement

- 8.4 The following University policies, and other appendices to this Agreement form part of this Agreement and cannot be amended without Association consent, such consent not to be unreasonably withheld:
- a) Appendix "B": *Policy on Intellectual Property*;
 - b) Appendix "C": *Conflict of Interest in Student Faculty Relationships*;
 - c) Appendix "D": *Scholarly Integrity*;
 - d) Appendix "E": *Policy on Duties and Responsibilities of Directors of Research Centres*.

New or Amended Policies

- 8.5 Policies that are subject to this Article are those written policies and procedures approved by the Board of Governors, the President of the University, a Vice-President, an Associate Vice-President, or the Dean of the Faculty of Graduate Studies, that are listed in Appendix A to this Agreement and that remain applicable to Members.
- 8.6 Where a new policy is created that would either alter the terms and conditions of this Agreement or have a direct, substantive impact on a right or entitlement of a Member

pertaining to their ability to undertake Academic or Professional Responsibilities, the University shall notify the Association and the provisions of s. 8.7 and s. 8.8 shall apply. Such a policy, upon adoption, shall be placed on the list of Policies subject to this Article under s. 8.5.

- 8.7 The University will not adopt new Policies nor introduce amendments to or repeal provisions in existing Policies that alter the terms and conditions of this Agreement (including its Appendices) without the prior agreement of the Association.
- 8.8 The University will not adopt new Policies nor introduce amendments to or repeal provisions in existing Policies without prior consultation with the Association, where the amendment or repeal could have a direct, substantive impact on a right or entitlement of a Member pertaining to their ability to undertake Academic or Professional Responsibilities.

Consultations

- 8.9 The consultation referred to in s. 8.8 requires the University to forward to the Association a copy of the proposed Policy falling under that Section and to give the Association forty (40) Working days from the date of its receipt of the proposal to provide the University with the Association's comments in writing on the proposal. The time period may be extended with the mutual agreement of the University and the Association.
- 8.10 At the request of either Party made within forty (40) Working days of the Association receiving a policy proposal under s. 8.9, the University and the Association will strike a joint policy committee for purposes of consultation. The joint policy committee will contain a maximum of six (6) members with an equal number of members from the Administration and from the Association plus a chair, jointly selected by the President of the University and the President of the Faculty Association. The role of the committee will be to make best efforts to reach a consensus on recommendations regarding the Policy.
- 8.11 In the event that the committee cannot reach a consensus, the committee will prepare a report that fairly reflects the divergent views of the committee members.
- 8.12 The committee's report containing its recommendations and reflecting the divergent views, if any, of the members will be forwarded to the relevant decision-making authority for the Policy within forty (40) Working days from the committee's appointment. The decision-maker will review the report and will give good faith consideration to adopting any recommendations. In the event that the report is not unanimous, the decision-maker will consider all the expressed views in reaching their decision.
- 8.13 Nothing in this Article prevents either Party from submitting a grievance under Article 47 *Dispute Resolution*.

9. Joint Committee on Administration of the Agreement (JCAA)

- 9.1 The Joint Committee on the Administration of the Agreement (JCAA) will be composed of three (3) representatives of the University appointed by the President of the University and three (3) representatives of the Association appointed by the President of the Association. A quorum will be four (4) members, provided that two (2) representatives of each Party are present.
- 9.2 The JCAA will:
- a) endeavour to maintain and develop a spirit of cooperation and mutual respect between the Parties;
 - b) review matters of concern arising from the administration of this Agreement excluding any dispute that is the subject of an appeal or grievance under any other provision of this Agreement or that has been submitted to arbitration under this Agreement;
 - c) oversee the accuracy and timely updating of the web versions of the Agreement and resolve any editorial inconsistencies in the web versions;
 - d) foster good communication between the Parties and serve as a forum for the exchange of information; and
 - e) review Collective Agreement related issues as they emerge related to equity, diversity, decolonization, indigenization, and anti-racism in the workplace, including (but not limited to) pay equity.
- 9.3 The JCAA will not have the power to add to or to modify in any way the terms of this Agreement. The JCAA will function in an advisory capacity to the Association and the University and will seek the timely correction of conditions which may give rise to misunderstandings.
- 9.4 If the JCAA becomes aware of a serious ambiguity or omission that affects the application of the terms of this Agreement, the JCAA may make a unanimous recommendation to the Parties regarding the resolution of that ambiguity or omission for the term of the Agreement. If the Parties agree to adopt the recommendation of the JCAA, the Parties may sign a Letter of Understanding to that effect.
- 9.5 All paper copies of the Agreement will state on the title page that any changes adopted by the Parties and any interpretations of the JCAA relating to any Section of the Agreement will be posted with the Agreement on the websites of the Parties. A page or pages on those websites will set out this information and will normally be amended within twenty (20) Working days of the change or interpretation. An electronic alert of the change or interpretation will also be sent to Deans, the University Librarian, Chairs and Directors, the Office of the Vice-President Academic and Provost and the Association at the same time the website is amended.
- 9.6 The JCAA will prepare and distribute an annual report of its work in the previous Academic Year by August 31 of each year. The annual report will be distributed as determined by the JCAA but will, in any event, be sent to the President of the University, the Vice-President Academic and Provost, and the President of the Association.
- 9.7 The Committee will meet as necessary but at least once every two (2) months during the Academic Year, unless otherwise mutually agreed by the Parties. Either the Association or the

University may call a meeting on seven (7) days written notice. Written agendas will be circulated at least forty-eight (48) hours in advance of each meeting.

10. Management Rights

- 10.1 The Association acknowledges that the University has the right to manage the operations of the University and its employees in accordance with the University's purpose and obligation and in accordance with the *University Act* except as specifically restricted in this Agreement. The University agrees that in exercising these rights, it will act in good faith and will neither attempt to circumvent the provisions of this Agreement, nor act in a manner that is inconsistent with the terms and conditions of employment set out in it.

11. Information

Information Provided by the University

Association Dues and Fees

- 11.1 The University will remit to the Association on a monthly basis the amounts deducted in accordance with Article 5 *Association Dues and Fees* together with a copy of the deduction control register at the time when the University makes other remissions of funds derived from payroll deductions and in any case not later than the last day of the month following the month in which the deduction is made.
- 11.2 A report will accompany the monthly remission of deductions that includes:
- a) the name, rank and Department of each Member from whose salary or wage deductions were made;
 - b) the amount deducted for each Member;
 - c) the names of Members whose deductions were remitted to the Faculty Association Scholarship Fund; and
 - d) the deduction start date for new Members.
- 11.3 The University will provide the Association with the following reports:
- a) Within two weeks of July 1, September 1 and January 1, an up-to-date list of all Members of the Bargaining Unit, including all Members on any type of leave permitted under this Agreement with the following data: name, UVic email, gender, date of birth, start date, date of first academic appointment, Tenure status, FTE, highest earned degree and year attained, rank, Faculty, salary and Department, and type of leave (if applicable) ("Membership List").

For the purposes of this Section salary means actual salary with any retention adjustments or market supplements broken out and identified, including expiry date, if any.
 - b) Within two weeks of January 1, an up-to-date list of all Members of the Bargaining Unit who:
 - i) received an administrative stipend in the prior Academic Year, including name, Faculty, Department, administrative role and annual stipend amount; and
 - ii) received extra-to-load payments in the prior Academic Year, including name, Faculty, Department, extra-to-load payment amount and related FTE.
 - c) A monthly report updating the Membership List indicating new Members, Members who have retired or resigned, and status of Members on any type of leave whether commencing or returning from said leave.
 - d) All ratified and up-to-date Faculty Evaluation Policies and Unit Standards, provided within two (2) weeks of receipt from the Department/Faculty.

Waived Searches

- 11.4 In an Academic Year where one or more candidates are appointed under the waived search provisions in s. 20.26, the University will provide by June 30 a report to the Association listing the appointments made by waived search, confirming that all the required approvals for waiving an open competition were obtained and providing a statement of the exceptional circumstances that justified waiving an open competition.

Information Provided by the Association

- 11.5 The Association agrees to provide the University with the following information:
- a) a list of all persons authorized to represent the Association to the University, updated within one week of any change;
 - b) a list of the officers and other members of the Executive Committee of the Association within one month of such membership being established or amended; and
 - c) such other information as may be set out elsewhere in this Agreement that is required to be given;
 - d) a list of members of all standing committees of the Association;
 - e) notice of general meetings of the Association and copies of the agenda;
 - f) a copy of the current Constitution and By-laws of the Association, as amended from time to time.

The information in s. 11.5 may be provided in whole or in part by publication on the Association's public website provided the Faculty Association notifies the University within two (2) weeks of any change.

- 11.6 The Association agrees to provide the University with a list of recipients of the Tuition Benefit Fund and their tuition benefit amounts, within one month of award, and a reconciliation of the Benefit Fund by August 31st each year.

General

- 11.7 The Association agrees that any personal information provided by the University shall be treated by the Association pursuant to the provisions of the *British Columbia Freedom of Information and Protection of Privacy Act* and successor legislation.

Part 3: Members' Rights and Responsibilities

12. Academic and Professional Responsibilities

Fulfilment of Academic and Professional Responsibilities

- 12.1 A Faculty Member's Academic Responsibilities in the Research Stream include a combination of self-directed and assigned tasks in the areas of Teaching, Research and Service, as further described in s. 25.7 – s. 25.18, and s. 25.22, with a balance of commitment between each component as set out in s. 13.12 of Article 13 *Standards and Workload*.
- 12.2 A Faculty Member's Academic Responsibilities in the Teaching Stream include a combination of self-directed and assigned tasks in the areas of Teaching, Scholarly Activity and Service, as further described in s. 25.7 – s. 25.15, s. 25.19 – s. 25.22 with a balance of commitment between each component as set out in s. 13.13 of Article 13 *Standards and Workload*.
- 12.3 A Librarian's Professional Responsibilities include a combination of self-directed and assigned tasks in the areas of Professional Performance, Scholarly and Professional Achievement and Service, as further described in s. 25.23 with a balance of commitment between each component as set out in s. 13.56 of Article 13 *Standards and Workload*.
- 12.4 The expectations for performance of Academic and Professional Responsibilities is set out in the Faculties' and Libraries' Evaluation Policies and Unit Standards created under Article 13 *Standards and Workload*.
- 12.5 In fulfilling Academic or Professional Responsibilities, Members shall:
- a) remain current in their discipline in the areas of Teaching and Scholarship;
 - b) adhere to Teaching policies and schedules and fulfil Teaching obligations in all but exceptional circumstances and, in case of sudden illness or emergency, make all reasonable effort to notify the Department Chair (or designate) or Dean (or designate), as applicable. In the case of planned absences, the Member shall seek advance approval from the Department Chair (or designate) or Dean (or designate), as applicable, for any necessary cancellation and make mutually acceptable arrangements for dealing with the situation;
 - c) submit the electronic course outline, consistent with the University's policy for course outlines, for each assigned course to the Chair by the start of the term and notify the Chair of any substantive in-term changes;
 - d) establish a record of performance reflecting high quality, excellence and high standards, as appropriate to the Member's Academic or Professional Responsibilities;
 - e) adhere to the University's policies, procedures and regulations. Where there is a conflict between these and the provisions of this Collective Agreement, the provisions of this Collective Agreement shall apply;
 - f) be accessible to students for academic consultations during suitable, publicized times;
 - g) be available to participate in Teaching, Research, Scholarly Activity or Professional Performance activities, and contribute to the Department, Faculty, Library and University through Service. The University encourages the participation of Members in Academic and Professional Responsibilities with scholars and librarians in other institutions, and it is

understood that this form of collaboration will sometimes require a Member to undertake such activities at the site of another university, institute, research centre or government department.

- h) undertake training and development offered by the University to meet its legislative obligations, manage risk to the University and the Member, or to inform Members of matters related to their Academic or Professional Responsibilities, their work-related legal obligations, and their responsibilities under University or Senate policy. Such training and development costs will be borne by the University and a Member's participation is counted as Service;
- i) contribute to an inclusive, equitable environment free of oppression and hostility;
- j) undertake any other duties and responsibilities set out in this Agreement.

12.6 Members shall provide the University with current contact information.

13. Standards, Workload and Assignment Practice

Standards for Faculty Members in Academic Units

- 13.1 Each Academic Unit must have a written Standard setting out expectations for Academic Responsibilities, performance expectations and evaluation criteria, and any equivalencies that are acceptable for appointment (hereafter, the “Unit Standard” or “Standard”). The Unit Standard must be reviewed by each Unit within six (6) months after a new Agreement is ratified, to ensure that the academic objectives, mandate and operational requirements of the Unit are achieved, and to ensure compliance with the renewed Agreement and Faculty Evaluation Policy.
- 13.2 Each Unit will establish its own procedures for preparing and revising its Standard. The Standard and any revision must be recommended by a majority of the votes cast by those holding Regular Academic Appointments in the Unit, after which it is to be forwarded to the Dean for approval.
- 13.3 Should the Dean find that a Unit’s Standard does not meet the criteria in s. 13.1, the Dean will refer it back to the Unit for revision, together with written reasons. The Dean must not withhold approval of a Unit’s Standard as long as the Standard meets the criteria in s. 13.1.
 - 13.3.1 Should the Standard not be ratified by Members in the Unit or not be approved by the Dean within one (1) year of the initiation of s. 13.1 or s. 13.4, the Dean may impose a Standard that meets the criteria in s. 13.1 provided the Standard does not exceed Teaching or Service obligations in the previous Standard.
- 13.4 Either the Dean or the Unit, by majority vote, may request a review of the Standard if the Dean or the Unit is of the view that the Standard does not meet the criteria in s. 13.1. It will normally only be revised in the event of a substantive, non-transient change to those criteria. The procedures established under this Article will then be followed. Any changes to the Normal Workload will take effect the following Academic Year.
- 13.5 The Standard will describe Normal Workload expectations for each component of Academic Responsibilities. Academic Units vary in disciplinary norms and in the nature of their contributions to the University. As such, it is understood what constitutes Normal Workload may vary from one Unit to another. The Normal Workload within a Unit shall be consistent with the academic and operating obligations of the Unit, the Faculty and the University.
 - 13.5.1 In describing Normal Workload, the Standard must address:
 - a) the number of course units taught by a Faculty Member with Normal Workload in the Unit;
 - b) teaching release normally provided to Faculty Members, including early-in-career Members;
 - c) an Alternative Workload arrangement for the Chair and Associate Chair recognizing their additional Service load;
 - d) Workload credit for:
 - i) any courses which carry non-standard units of course credit;
 - ii) course co-ordination;
 - iii) supervision of graduate and undergraduate students;

- iv) the normal Service responsibilities that may be either assigned to a Faculty Member in the Unit or to which a Faculty Member may be elected by members of the Unit.
- 13.6 The Standards shall describe performance expectations and evaluation criteria, beyond those articulated in the Faculty Evaluation Policy, for each of the following components within Academic Responsibilities:
- a) teaching performance, which is defined at s. 25.7 – s. 25.9;
 - b) Research, scholarship and creative activity (for Research Stream faculty) which is defined at s. 25.16 – s. 25.18; Scholarly Activity (for Teaching Stream faculty) which is defined at s. 25.19 – s. 25.21;
 - c) Service, which is defined at s. 25.22;
 - d) specific criteria related to assessment of Teaching, Research and Scholarly Activity, and Service in a Clinical setting where the Unit has Members who, under s. 21.27 are designated as Clinical;
 - e) specific criteria related to assessment of community-engaged Teaching, Research, and Scholarly Activity, and Service where the Unit has Members engaged in community-engaged Teaching, Research or Scholarly Activity, or Service;
 - f) specific criteria related to assessment of Indigenous Members, where the Unit has Indigenous Members. This shall include recognition of the ways in which Indigenous Members support the decolonization and Indigenization of the University, including efforts to build relationships with Indigenous communities on behalf of the University.
- 13.7 The Standard shall address criteria for assessing professional and experiential equivalencies for educational credentials as required in s. 21.3 a).
- 13.8 Every Unit Standard must include the following in its preamble: Minor administrative exceptions to the requirements of this Unit Standard may be made on consent of the affected Faculty Member and their Chair, provided there is no breach of the Collective Agreement. Exceptions that go beyond minor administrative matters must be waived on consent of the Provost (or designate) and the President of the Faculty Association (or designate). Affected Faculty Members have the right to consult with the Faculty Association before providing their consent.
- 13.9 The revisions to the Standard are effective on the date of the Dean's approval under s. 13.2.
- 13.10 The Standard must be provided to the Members within the Unit within one (1) week of Dean's approval. A new Member will receive the Unit Standard within one (1) week of their appointment start date.

Workload Distribution for Faculty

- 13.11 A Faculty Member's Workload consists of activities undertaken in fulfilment of their Academic Responsibilities, as defined in Article 12 *Academic and Professional Responsibilities* and the Standard for the Academic Unit.
- 13.12 Subject to the provisions relating to Alternative Workload below, the Normal Workload of Research Stream Faculty Members shall reflect a balance of commitment between Teaching, Research, and Service such that the commitment to Teaching activities is roughly equal to the

commitment to Research activities and that the commitment to Service is roughly half of the commitment to Teaching activities.

- 13.13 Subject to the provisions relating to Alternative Workload below, the Normal Workload of a Teaching Stream Faculty Member shall reflect a ratio of 70% Teaching, 10% Scholarly Activity, and 20% Service.
- 13.14 These activities shall form the basis of Unit considerations of Workload associated with Teaching:
- a) Graduate and undergraduate course delivery including:
 - i) teaching modality, particularly any extra workload resulting from multi-access delivery;
 - ii) course level;
 - iii) availability of teaching and technical support;
 - iv) grading and course administration requirements;
 - v) supervision of teaching and laboratory assistants;
 - vi) course coordination;
 - vii) the size of the class, as compared to average class sizes in the Unit;
 - viii) course design and preparation; including laboratories, tutorials, field components, and other required components;
 - ix) whether the course is cross-listed.
 - b) clinical teaching and on-site practicum supervision activities;
 - c) curriculum development and revision;
 - d) supervision of graduate and undergraduate research theses and projects, including, but not limited to, participation in thesis supervisory committees;
 - e) the number of directed reading courses and Honours supervisions by the Faculty Member, which are pre-approved by the Chair;
 - f) supervision of internships and practicums;
 - g) supervision of student creation and performance of creative works;
 - h) academic mentoring of students;
 - i) in Units with graduate programs, the number of graduate students supervised and/or advised by the Faculty Member, which are pre-approved by the Chair or designate;
 - j) documented mentorship of students, instructors and Faculty Members, particularly those from marginalized groups;
 - k) developing and maintaining relationships with communities for community-engaged teaching;

- l) participation by a Faculty Member in programs outside their Home Unit as approved by their Chair. The Faculty Member shall notify their Chair of any change in magnitude of that work.
- 13.15 It is recognized that fluctuations in the Workload associated with Teaching and Service may occur from year to year based on the operational needs of the Unit. The Workload of each Faculty Member shall be equivalent to the Normal Workload identified in the Unit Standard when averaged over a maximum of five (5) years.
- 13.15.1 Where assignment of Workload proportional to Workload ratios in s. 13.12 and s. 13.13 cannot be achieved on average as described in s. 13.15, a reduction or increase in the Teaching Workload and/or number of courses or course co-ordinations assigned in one or more years shall be used to achieve Normal Workload for Teaching.
- 13.16 Beyond the fluctuations under s. 13.15, any alternative arrangements that allow a Faculty Member's Workload to deviate substantially from the Normal Workload of the Unit must be approved under the Alternative Workload provisions below. Alternative Workload arrangements shall only alter the balance of a Member's Workload among Teaching, Research and Scholarly Activity, and Service, and shall not alter the magnitude of a Member's Workload, unless the Member has an approved Reduced Workload under the provisions for Reduced Workload.

Assignment of Faculty Duties

- 13.17 Within each Academic Unit, assigned Academic Responsibilities of Members will be equitably distributed by the Chair or designate to achieve the academic objectives, mandate and operational obligations of the Unit and to fulfill the responsibilities of the Academic Unit to contribute to the University community. The distribution will be in accordance with a transparent process based on clear principles developed with the input of the Members of the Unit, as articulated in the Unit Standard.
- 13.18 The Unit process for distribution of assigned Academic Responsibilities among Faculty Members of the Unit shall give due consideration to factors such as:
- a) the Academic Responsibilities assigned to the Faculty Member in previous years;
 - b) the Faculty Member's Workload balance as established by Normal Workload or any Alternative or Reduced Workload arrangement;
 - c) the specific area of expertise of the Faculty Member;
 - d) whether the Faculty Member has previously taught the assigned course;
 - e) a Faculty Member's administrative and other contributions outside the Faculty Member's Unit;
 - f) a Faculty Member's program of Research or Scholarly Activity, where such a program requires supervision of staff and students and significant administrative responsibilities;
 - g) participation by the Faculty Member in Teaching within other Units, including interdisciplinary programs;
 - h) the Faculty Member's career stage, where addressed in the Unit Standard;
 - i) Academic Responsibilities undertaken by the Members to support equity, diversity, Indigenization, decolonization and anti-racism within, and external to, the University;

and

- j) the expected efforts required to respond properly to the legal duty to accommodate students.

- 13.19 Faculty Evaluation Policies shall provide Members with information about University resources for the development of Teaching practice, including resources available to assist in the preparation of courses using technology.
- 13.20 The Chair of a Unit has the final responsibility to assign Teaching and Service related to fulfilling the operational and academic obligations of the Unit. The assignment must follow consultation with the Member and be in accordance with the Unit's Standard and this Agreement.
- 13.21 For a Member with a Joint Appointment, the Chair of each of the Member's Units shall agree on the assignment of Teaching and Service. In the event of a disagreement between Chairs, the Member's Dean(s) shall (jointly) assign the Member's Teaching and Service.
- 13.22 During each 12-month period (except when on leave), a Research Stream Faculty Member with Tenure, Tenure-track or Research intensive Limited-Term Appointment will have one (1) four-month period (the "research term") within which to devote themselves primarily to Research. During the research term, the Chair will not assign the teaching of any course to the Faculty Member except in special circumstances and with the agreement of the Faculty Member and will not assign administrative duties to the Faculty Member except with the agreement of the Faculty Member or where, due to academic or administrative requirements of the Unit, the administrative duties must be performed during that term.
- 13.23 Consultation requires written notification of the Teaching and Service assignment by email at least three (3) months in advance of the commencement of such assignments. The Chair shall consider all requests for revisions. In the case of disagreement over an assignment, the Chair and the Member will make their best efforts to resolve the disagreement informally and in a timely manner.
- 13.24 If the Chair has denied a requested revision and informal resolution cannot be reached, the Member may appeal to the Dean in writing within five (5) Working days of notice of the Chair's decision, and shall include all past correspondence regarding the request. A copy shall be provided to the Chair. The Chair shall make a written response to the Dean within five (5) Working days of notice of the appeal. The Dean must provide a written response within fifteen (15) Working days to the Member and the Chair. The decision of the Dean is final.
- 13.25 In the case of non-departmentalized Faculties, the procedures will be as outlined in s. 13.24 except that the Dean is replaced by the Vice-President Academic and Provost or designate. In the case of assignment involving Indigenous Members, the decision-maker shall consult with the Vice-President Indigenous.
- 13.26 The Chair normally will notify Faculty Members of the finalized Teaching assignments across the Unit at least two (2) months in advance of the commencement of such assignments.
- 13.27 After the courses to be taught have been assigned to a Faculty Member, alterations to Teaching assignments will be made only in unusual or unanticipated circumstances and will be determined in consultation with the Faculty Member. In this case, the Faculty Member may not request revision under s. 13.23 or appeal under s. 13.24 or s. 13.25.

- 13.28 Where an assigned course is not taught due to course cancellation, the Chair may, in consultation with the Faculty Member, assign an alternate course under s. 13.27 within the next three (3) Academic Years or assign other equivalent Teaching or Service Workload.
- 13.29 Teaching courses above the Normal Workload for Teaching for the Unit on an extra-to-load basis is limited to extraordinary circumstances or where there is a crucial need. Where the Chair requests a Faculty Member to teach a course on an extra-to-load basis, the Faculty Member may accept the extra-to-load teaching assignment, but is not compelled to do so. Where, with the approval of the Member's Chair and Dean, a Faculty Member accepts an extra-to-load teaching assignment, the Faculty Member is paid at the extra-to-load rate. Extra-to-load teaching is only available where the Faculty Member already has a full course load.
- 13.30 Teaching Stream Faculty Members may apply to their Chair/Director for a one-time allocation of up to two (2) course releases to undertake an approved course of study to enhance teaching. The Member is required to present the pedagogical information they have learned within their Faculty and/or to the University more broadly. Applications will be reviewed for approval by the Dean, on recommendation of the Chair.

Assignment of Librarian Duties

- 13.31 The Professional Responsibilities of a Librarian specified in s. 25.23 will be assigned by the Librarian's supervising Librarian or the person to whom the Librarian reports, after consultation with the Librarian. The assignment will be based on:
- a) the Service obligations of the University Libraries to the University;
 - b) the Standard for Librarians as determined under s. 13.44;
 - c) the Librarian's type of appointment and position description;
 - d) the Librarian's Workload balance as established by Normal Workload or any Alternative or Reduced Workload arrangement;
 - e) the Librarian's University Service;
 - f) other relevant factors, such as specialties or qualifications or the need to develop them, or projects of limited duration assigned by the supervising Librarian or University Librarian;
 - g) the legal duty to accommodate; and
 - h) Professional Responsibilities undertaken by Members to support equity, diversity, Indigenization, decolonization, and anti-racism within, and external to, the University.
- 13.32 The University Librarian will review the assignments of Librarians using the criteria in s. 13.31 to ensure there has been:
- a) a reasonable and equitable distribution of Workload for Librarians;
 - b) a transparent process of Workload allocation within the Libraries, with decisions being made in accordance with criteria that are communicated to Librarians;
 - c) flexibility in Workload allocation that reflects the University's obligations and the mission of the Libraries; and
 - d) Workload allocation that is consistent with the nature of the Librarian's appointment.

- 13.33 A Librarian and their supervising Librarian or the University Librarian, as appropriate, will meet to discuss the assignment of the Librarian's Professional Responsibilities at least once each year and will use their best efforts to resolve any concerns regarding the assignment.
- 13.34 Within five (5) Working days of notice of the assignment, the Librarian may request revision to the assigned Professional Responsibilities on the basis of the factors outlined in s. 13.31. The supervising Librarian shall consider all requests for revisions and will respond within five (5) Working days. If the request is denied, the supervising Librarian will provide written reasons, referring to the factors outlined in s. 13.31. If the supervising Librarian has denied the requested revision, the Librarian may appeal to the University Librarian in writing and shall include all past correspondence regarding the request. A copy shall be provided to the supervising Librarian. The supervising Librarian shall make a written response to the University Librarian within five (5) Working days of notice of the appeal. The University Librarian shall provide a written response within fifteen (15) Working days to the Librarian and the supervising Librarian. The decision of the University Librarian is final.
- 13.35 When new Professional Responsibilities need to be assigned to a Librarian, the University Librarian will provide the members of the Appointments Advisory Committee (AAC), including the alternate member, with a written description outlining the proposed assignment, and the proposed period of time for the assignment.
- 13.36 When the period of time is proposed to be for at least one (1) year, the University Librarian will indicate whether, with reference to s. 13.38, the assignment involves substantial responsibilities, or not.
- 13.37 With reference to s. 13.38, the members of the AAC will determine whether or not they agree with the University Librarian's determination regarding the nature of the proposed assignment. If the AAC and the University Librarian do not agree on whether the assignment is substantial or not, the AAC and the University Librarian will meet to discuss the issue and come to consensus.
- 13.38 In assessing whether an assignment involves "substantial responsibilities", consideration will be given to the Professional Responsibilities assigned to a Librarian; the impact on the organization; any change(s) in the reporting relationship(s); and whether there are significant additions to existing Professional Responsibilities.
- 13.39 When a new assignment is to be made to a Librarian for a period of at least one (1) year, and the University Librarian and the AAC have agreed that the duties involve substantial responsibilities, the AAC will advise all Librarians of the assignment, and circulate the written description. A search will be conducted, and the provisions of Article 22 *Appointment Procedures: Librarians* will apply, except for the requirement to advertise.
- 13.40 When an assignment is to be made to a Librarian for a period of less than one (1) year, or the proposed assignment is determined by the University Librarian and the AAC to be not substantial, as delineated in s. 12.29, the AAC will advise all Librarians of the assignment. Librarians will have the opportunity to self-nominate for the new assignment by submitting a brief expression of interest directly to the University Librarian, outlining their interest in the assignment or reassignment. The University Librarian will make the decision about the successful candidate and will send an announcement to all Librarians.
- 13.41 Before any change in the reporting relationship of an individual Librarian (where Professional Responsibilities are not changed) there shall be a consultation meeting between the Librarian,

the University Librarian, and the supervising Librarian(s) involved to discuss preferences and any concerns regarding the change. If no agreement regarding the reporting relationship can be reached the Librarian shall, within five (5) Working days, submit a written outline expressing their objections to the proposed change to the University Librarian and supervising Librarian. A further meeting will then be held to try to reach consensus. Following the second consultation, the Librarian shall be given at least fifteen (15) Working days notice of any decision by the University Librarian to complete the proposed change.

- 13.42 The requirement to consult will not apply when the new assignment is a requirement of law or is a result of the application of the provisions of this Agreement (other than s. 13.32, s. 13.33 and s. 22.1).
- 13.43 All discussions by members of the AAC are confidential. Members of the committee must not disclose or discuss the committee proceedings, opinions expressed during the committee proceedings, or the committee's recommendations, except as provided in this Agreement.

Standard for Librarians

- 13.44 The University Libraries must have a written Standard setting out expectations for Professional Responsibilities, performance expectations and evaluation criteria, any equivalencies that are acceptable for appointment and distribution of assigned duties for Librarians (hereafter, the "Libraries Standard or Standard for Librarians"). The University Libraries must review the Standard for Librarians, and amend it as required, within six (6) months after a new Agreement is ratified, to ensure that the University Libraries' service objectives and mandate are achieved, operational requirements are met, and to ensure compliance with the renewed Agreement.
- 13.44.1 The University Librarian and the Librarians will establish procedures for preparing and revising the Standard for Librarians. The Standard for Librarians and any revision must be recommended by a majority of the votes cast by regular Librarians after which it is to be forwarded to the University Librarian for approval.
- 13.45 The Standard for Librarians must include the following in its preamble: Minor administrative exceptions to the requirements of the Standard for Librarians may be made on consent of the affected Member and their Supervising Librarian, provided there is no breach of the Collective Agreement. Exceptions that go beyond minor administrative matters must be waived on consent of the Provost (or designate) and the President of the Faculty Association (or designate). Affected Members have the right to consult with the Faculty Association before providing their consent.
- 13.46 The Standard for Librarians shall include a mechanism for the selection of members and non-elected chairs to Libraries committees.
- 13.47 Should the University Librarian find the Standard for Librarians does not meet the criteria in s. 13.44, the University Librarian will refer it back to the Librarians for revision, together with written reasons. The University Librarian must not withhold approval of the Standard for Librarians as long as the Standard meets the criteria in s. 13.44.
- 13.48 Should the Standard not be ratified by Librarians and approved by the University Librarian within one (1) year of the initiation of s. 13.44 or s. 13.49 the University Librarian may impose a Standard that meets the criteria in s. 13.44 provided the Standard for Librarians does not exceed Professional Performance or Service obligations in the previous Standard for Librarians.

13.49 The University Librarian or the Librarians, as represented by Faculty Association Librarians Committee, may request a review of the Standard for Librarians if the University Librarian or the Librarians are of the view that the Standard for Librarians does not meet the criteria in s. 13.44. The procedures established under s. 13.44.1 will then be followed. Any changes to the Normal Workload will take effect the following Academic Year.

13.49.1 Subject to s. 13.49, once the Standard for Librarians has been approved by the University Librarian, it will normally only be revised in the event of a substantive, non-transient change in relation to the Libraries ability to meet the criteria in s. 13.44.

13.50 The Standard for Librarians will describe Normal Workload and performance expectations for each component of Professional Responsibilities of Librarians holding Regular and Limited Term Librarian appointments across the Libraries. The ratio of Professional Responsibilities components may vary from the Standard from time to time provided that over time the aggregate contribution of each Librarian is consistent with their Normal Workload, unless otherwise altered under the provisions for Alternative Workload.

13.51 The Standard for Librarians must address such matters as the length of a normal work week, averaged over one (1) year, and normal scheduling practices.

13.52 The Standard for Librarians may incorporate or refer to the Libraries' Evaluation Policy that is developed under s. 25.23, but cannot conflict with it.

13.53 The Standard for Librarians shall be provided to Librarians.

Librarian Workload

13.54 A Librarian's Workload consists of activities taken in fulfilment of their Professional Responsibilities, as defined in the Article 12 Academic and Professional Responsibilities and in the Standard for Librarians under s. 13.44.

13.55 Professional Responsibilities undertaken by Members to support equity, diversity, Indigenization, decolonization and anti-racism within, and external to, the University shall be considered part of Workload.

13.56 Subject to the provisions relating to Alternative Workload below, the Normal Workload of a Librarian shall reflect a ratio of 80% Professional Performance, 10% Scholarly and Professional Achievement and 10% Service.

Alternative Workload for Faculty and Librarians

13.57 The balance of Academic or Professional Responsibilities components in the Normal Workload of a Member may be altered for a specified period. Any such alteration must be done under the provisions of this Article.

13.58 Alternative Workload arrangements shall not change the overall magnitude of a Member's Workload.

13.59 Any Alternative Workload arrangement must continue to reflect active involvement in each component of the Member's Academic or Professional Responsibilities. The minimum commitment to each component is 5% of Workload.

- 13.60 A Member seeking an Alternative Workload arrangement shall apply to the Dean (through the Chair or Director, if applicable) or the University Librarian (hereinafter “Dean”), as applicable, for Alternative Workload.
- 13.60.1 A Member shall apply in writing at least six (6) months before the proposed Alternative Workload arrangement is to take effect. In unforeseen circumstances this timeline can be waived on mutual agreement of the Member and the Dean.
- 13.61 The Member’s application shall state the reasons for the proposed Alternative Workload arrangements, the period for which they are to apply, the proposed duties of the Member during that period and the method of weighting any evaluation of the Member’s performance based on the alternative arrangements.
- 13.62 Where a Member believes that their Service contributions warrant a reduced expectation in Research/Scholarly Activity, Teaching, or Professional Responsibilities the Member may request an Alternative Workload for this purpose. If the Chair is considering denying such a request from an Indigenous Member, the Chair shall discuss the request with the Associate Dean Indigenous or in the case of the Libraries through the analogous Associate University Librarian position.
- 13.63 The Dean’s approval of such applications shall not be arbitrarily withheld and shall be based on the value of the arrangement to the teaching or research mission of the Unit, and the operational needs of the Unit. Any decision by the Dean not to approve the application shall be accompanied by written reasons. The Dean’s approval of the application shall be contingent on agreement of the Chair or Director, if applicable.
- 13.64 A Member’s Chair or Dean may initiate discussion of a possible Alternative Workload arrangement for a Member at a meeting with the Member convened for the purpose. Following such a discussion, a Member’s Chair or Dean may propose an Alternative Workload arrangement for the Member. Such a proposal shall be in writing, shall invite the Member to discuss its provisions, shall state that the Member’s participation in any Alternative Workload agreement is voluntary, and that the Member has the right to have a representative of the Association present at any discussion of the proposal.
- 13.64.1 The Chair or Dean shall make any such proposal at least six (6) months before the proposed Alternative Workload arrangement is to take effect. This timeline can be waived on mutual agreement of the Member and the Chair and Dean.
- 13.65 The period of Alternative Workload shall depend on the agreement entered into between the University and the Member.
- 13.66 An initial or subsequent period of Alternative Workload may run for part or all of an Academic Year, for consecutive Academic Years, or until the end of the Member’s appointment.
- 13.67 An initial period of Alternative Workload may be followed by additional periods of Alternative Workload. Application for such additional period(s) of Alternative Workload must be made in writing at least six (6) months before the beginning of any additional period. The Member’s Dean shall not arbitrarily withhold approval of such application(s). Any decision by the Dean not to approve the application shall be accompanied by written reasons. The Dean’s approval of the application shall be contingent on agreement of the Chair or Director, if applicable.
- 13.68 The Workload of a Member who is a Department Chair or Director of a School or a Director of a Research Centre shall be adjusted at the time of the administrative appointment to express the

proportion of Service in the Workload. The provisions of this Article shall be used for this adjustment.

- 13.69 A Member who performs Unit administrative work delegated by the Chair such that their Service obligations exceed 20% shall have their Workload adjusted at the time of the Administrative appointment or delegation to express the proportion of all Service in the Workload.
- 13.70 If the Member and Dean (and Chair or Director, if applicable) agree on the provisions of the proposed Alternative Workload, these provisions shall be confirmed in writing and signed by the Member and Dean (and Chair or Director, if applicable). The provisions shall include the period of the Alternative Workload arrangement, duties during the period of Alternative Workload, and the ratio of components of the Member's Academic or Professional Responsibilities.
- 13.71 The Dean shall forward any Alternative Workload agreement to the Vice-President Academic and Provost or designate for final approval on behalf of the University. The Vice-President Academic and Provost shall not arbitrarily withhold such approval. Any decision by the Vice-President Academic and Provost not to approve the proposal shall be accompanied by written reasons.
- 13.72 A copy of the approved proposal shall be placed in the Member's Official File and sent to the Member involved and to the Association.
- 13.73 Members on Alternative Workload shall be eligible for consideration for Reappointment, Continuing Appointment, Promotion and Tenure.
- 13.74 Requests for amendments to the Alternative Workload arrangements shall follow the foregoing procedures.

Reduced Workload

- 13.75 A Reduced Workload is one in which the Workload of a Full-Time Member is reduced from one (1) FTE to less than one (1) FTE on a regular basis, either temporarily or permanently. Reduced Workload shall not be less than 50% of Normal Workload.
- 13.76 Notwithstanding the provisions regarding Alternative Workload, the ratio of components in a Member's Workload may be altered by a Reduced Workload. However, no component of Academic or Professional Responsibilities may be excluded. The minimum commitment to each component is 5% of Workload.
- 13.77 A Member may apply to the Dean (through the Chair, if applicable) or University Librarian (hereafter "Dean") for Reduced Workload. The Dean shall not arbitrarily withhold approval. The Dean shall consider the reasons for the request, the benefit to the Unit and the operational needs of the Unit. Any decision by the Dean not to approve the application shall be accompanied by written reasons. The Dean's approval of the application shall be contingent on agreement of the Chair or Director, if applicable.
- 13.78 Members shall apply in writing at least six (6) months before any Reduced Workload is to take effect. Application made less than six (6) months before the proposed change will be considered only in cases of unforeseen circumstances.
- 13.79 A Member's Dean may initiate discussion of a possible Reduced Workload for a Member at a meeting with the Member convened for the purpose. Following such a discussion, a Member's Dean may propose (through the Chair or Director, if applicable) a Reduced Workload. Such a proposal shall be in writing, shall invite the Member to discuss its provisions, shall state that the

Member's participation in any Reduced Workload is voluntary, and shall state that the Member has the right to have a representative of the Association present at any discussion of the proposal. Such a proposal shall be made at least six (6) months before the proposed Reduced Workload is to take effect. Such requests made less than six (6) months before the proposed change will be considered only in cases of unforeseen circumstances.

- 13.80 If the Member and Dean (and Chair or Director, if applicable) agree on the provisions of the proposed Reduced Workload those provisions shall be confirmed in writing and signed by the Member, Chair or Director (where applicable) and Dean. The agreement shall specify the period of the Reduced Workload, the proportion of Reduced Workload to Full-Time Workload, duties during the period of Reduced Workload, timing of duties, extensions to the period for any Reappointment or Promotion if any, provisions for alterations to evaluation processes during and after the period of Reduced Workload and the level of salary and benefits during the period of Reduced Workload including during any Study Leave.
- 13.81 An initial or subsequent period of Reduced Workload normally will be for a period of one to three years. A Reduced Workload that extends until the end of the Member's appointment carries with it no entitlement for reinstatement to Normal Workload.
- 13.82 An initial period of Reduced Workload may be followed by additional periods of Reduced Workload. Application for such additional period(s) of Reduced Workload must be made in accord with the provisions of this Article and must be made in writing at least six (6) months in advance. Approval of such applications(s) shall not be arbitrarily withheld, and any decision not to approve the application shall be accompanied by written reasons.
- 13.83 The signed agreement produced in accord with the provisions of s. 13.80 shall be forwarded to the Vice-President Academic and Provost or designate for final approval on behalf of the University. Such approval shall not be arbitrarily withheld and any decision by the University not to approve the proposal shall be accompanied by written reasons. A copy of the approved agreement shall be sent to the Member, the Dean and the Chair or Director if applicable. A copy of each approved proposal shall be sent to the Association.
- 13.84 Notwithstanding the provisions of Article 31 *Stopping the Clock*, in the case of Members with eligibility for Tenure or Continuing Appointment, if a reduction of 50% has occurred for two (2) years or longer, the period for Reappointment, Continuing Appointment, Tenure or Promotion shall be extended by one (1) year. It is the responsibility of the Member to request such an extension through the Chair or Director (if applicable) and the Dean no later than the beginning of the second year of 50% Reduced Workload.
- 13.85 The amounts of any salary adjustments shall occur pro-rata according to the FTE value of the Reduced Workload. Any percentage increases in salary shall be applied as a percentage of the Member's pro-rated salary. For those whose Reduced Workload is for a fixed term, a nominal full-time base salary rate will be recorded annually.
- 13.86 Provided that the Reduced Workload is at least 50% of Full-Time Workload, eligibility for and participation in all group insurance plans shall continue, subject to benefit plan amendments, as if the Member had Normal Workload, except that coverage for wage impacted benefits shall be on a pro rata basis.
- 13.87 Salary during Sick Leave will be based on actual salary at the time of the commencement of Sick Leave.

- 13.88 For Study Leave, the qualifying periods for Faculty Members on Reduced Workload are the same as for Faculty Members with Normal Workloads. Salary during the Study Leave will be prorated in accordance with the percentage of full-time service during the qualifying period.
- 13.89 Members on Reduced Workload shall be eligible for consideration for Reappointment, Continuing Appointment, Promotion and Tenure.
- 13.90 Requests for amendments to the Reduced Workload shall follow the foregoing procedures. A request to terminate a Reduced Workload agreement early will be approved only if there are no negative operational impacts.

14. Academic Freedom

- 14.1 In a democratic society, academic freedom in teaching, scholarship, and research is a fundamental value that is essential to the common good. The search for knowledge and the free expression of it are inherent rights that both Parties will protect vigilantly. Academic freedom is the freedom to conduct research, examine, question, teach and learn, and it involves the right to investigate, speculate and comment, as well as the right to criticize and challenge the University, the Association and society at large.
- 14.2 The Parties agree that they will not infringe on or abridge the academic freedom of any Member. Members have the right, regardless of prescribed doctrine, to be free from the threat of institutional reprisals and arbitrary constraint, and without regard to outside influence, to pursue their academic interests and activities, to conduct research and publish the results thereof, to engage in teaching and discussion, to pursue creative activity, and to select, acquire, disseminate, or otherwise use all forms of documentary materials in the exercise of their professional responsibilities.
- 14.3 Except as otherwise specified in this Agreement, Members will not be hindered in any way by the University or the Association in the exercise of their legal rights, including but not limited to the exercise of their freedom of thought, belief, opinion or expression, nor will they suffer any institutional reprisals because they choose to exercise such rights. A Member must not purport to represent or speak on behalf of the University except to the extent that the Member has been authorized by the University. This does not limit Members in expressing their own academic or professional opinions.
- 14.4 Access to information is fundamental to the free pursuit of knowledge. The Parties recognize and agree that subject to the laws of Canada and the Province of British Columbia:
- 14.4.1 the collection, organization, and dissemination of knowledge must proceed fairly without censorship based on moral, religious, commercial, political or other grounds;
 - 14.4.2 Members have the right to collect, organize, disseminate and use any information, knowledge and creative works without censorship; and
 - 14.4.3 the development of the University Libraries' collections will proceed without censorship.
- 14.5 In exercising academic freedom, Members must act in a responsible manner and respect the academic freedom and rights of other members of the University community.

15. Intellectual Property and Scholarly Integrity

- 15.1 The University *Policy on Intellectual Property* (GV0215), appended as Appendix B to this Agreement, sets out rights and responsibilities of Members in the creation and commercialization of knowledge and dispute resolution processes where policy or commercial contract breaches are alleged. This policy is subject to approval by the Association under s. 8.4 a) of this Agreement.
- 15.2 The University *Policy on Scholarly Integrity* (AC1105(B)) appended as Appendix D to this Agreement, sets out principles and expectations regarding scholarly integrity and processes for the determination of allegations of policy breach. This policy is subject to approval by the Association under s. 8.4 c) of this Agreement.

16. Equity, Diversity and Inclusion

- 16.1 The University and the Association are committed to ensuring equal opportunities for Members and to ensuring that no systemic discrimination or unnecessary barriers (including discriminatory or hostile environments) to the full participation of Members exist or arise. Together, the Parties are also committed to the recruitment of a diverse workforce and to the identification and removal of discriminatory barriers in all processes related to the selection, hiring, evaluation, compensation, promotion, retention and training of Faculty Members and Librarians in equity deserving groups.
- 16.2 There will be no discrimination, interference, restriction or coercion exercised or practiced regarding any term or condition of employment, including but not limited to:
- 16.2.1 salary, rank, appointment, Promotion, Tenure, termination of employment, lay-off, study leave, other leaves or benefits, by reason of age (except as provided in s. 16.3), race, colour, ancestry, place of origin, citizenship, political affiliation or belief, religion or spiritual belief, creed, marital status, family status, physical or mental ability (provided that such condition can be accommodated as required by law), language (except where the lack of language competence would impede the effective carrying out of duties), sex, sexual orientation, gender identity or expression, physical attributes, conviction of a criminal or summary conviction offence that is unrelated to the Member's employment, investigation by the Member's professional association into behaviour unrelated to the Member's employment, place of residence (provided that the place of residence does not impede the carrying out of any part of the Member's assigned duties), membership or participation in the Association, or any other prohibited ground of discrimination that is stipulated in the *British Columbia Human Rights Code*.
- 16.3 Notwithstanding the above, s. 16.2 does not apply to:
- a) any personnel benefits that have been mutually accepted by the Parties or which make actuarial distinctions on the basis of age; or
 - b) appointments or accommodations made under an employment equity program including, but not limited to, preferential or limited hires under the *BC Human Rights Code*; or
 - c) a refusal, limitation, specification or preference by the University based on a bona fide occupational requirement; or
 - d) a decision which, by law, must be made on the basis of a characteristic listed in s. 16.2.1.

17. Discrimination, Harassment and Sexualized Violence

- 17.1 The Association and the University recognize the right of Members to work in an environment free from discrimination and harassment, including sexualized violence. The Parties also recognize the obligation of each Member to not engage in conduct proscribed by the University's *Discrimination and Harassment Policy and Procedures* (GV0205) and *Sexualized Violence Prevention and Response Policy* (GV0245).
- 17.2 The University's *Discrimination and Harassment Policy and Procedures* (GV0205) and *Sexualized Violence Prevention and Response Policy* (GV0245) apply to and are accessible to all Members. Nothing in these policies bars Members from claiming their rights available at law.
- 17.3 All Members may access the support and advice or informal or formal complaint processes offered by the office of Equity and Human Rights.
 - 17.3.1 The office of Equity and Human Rights will inform a Member that Association representation is available to assist them.
 - 17.3.2 Where a Member chooses to submit a formal complaint under one of these policies, the office of Equity and Human Rights will notify the Association of the complaint in confidence.
 - 17.3.3 Where a Member is a respondent to a complaint under these policies or under another collective agreement beyond the informal stage, the Association will be advised in confidence of the existence of a complaint affecting the respondent, and the respondent will be referred to the Association for representation throughout any proceedings.
 - 17.3.4 Nothing precludes the Association's right to file a grievance based on any outcomes that result from the procedures outlined in this Article.

18. Member's Official Files

Official Performance File

- 18.1 Where the performance of a Member is being evaluated for the purpose of Reappointment, Continuing Appointment, Tenure, Promotion, removal of probationary status (Librarian), or salary, the only documents and information that may be considered are documents or information that are contained or deemed by this Article to be contained in the Member's Official Performance File, documents or information submitted by the Member, and as otherwise provided for in this Agreement.
- 18.2 A Member's Official Performance File will be kept and maintained: in the case of a Faculty Member, in the office of the Home Unit; and in the case of a Librarian, in the office of the University Librarian.
- 18.3 The Official Performance File of a Member must contain only documents and information that pertain to the evaluation of the Member for the purpose of Reappointment, Continuing Appointment, Tenure, Promotion, removal of probationary status (Librarian), or salary. Examples of information that pertains to evaluation for these purposes include:
- a) the Member's curriculum vitae;
 - b) recommendations with regard to Reappointment, Continuing Appointment, Tenure and/or Promotion of a Faculty Member made by a Unit committee, the University Academic Appointments Committee, Dean or the President of the University including all documents specified in the list of documents provided to the candidate with the committee recommendation;
 - c) recommendations with regard to Promotion of a Librarian made by the University Libraries Advisory Committee on Ranks and Promotions (ACRP) or by the University Librarian;
 - d) recommendations for salary adjustments by a Chair, Director, Dean, University Librarian or the Vice-President Academic and Provost, including decisions by the Vice-President Academic and Provost with regard to a Member's request for a salary review under s. 50.45;
 - e) an evaluation of a Librarian;
 - f) an Annual Review of a Faculty Member and any response to it;
 - g) reports with regard to the Member by a body appointed under the *Discrimination and Harassment Policy and Procedures* (GV0205) and *Sexualized Violence Prevention and Response Policy* (GV0245); the *Policy on Scholarly Integrity*; or any other University policy.
- 18.4 A Member's Official Performance File will be deemed to include any publications of the Member that are referred to in the Member's curriculum vitae, without the need to physically include a copy in the Official Performance File, and a Faculty Member's teaching dossier.
- 18.5 Each document and other forms of information contained in the Official Performance File will identify the author or creator of the document or information except where a summary is authorized by s. 18.11.
- 18.6 A Member's Official Performance File will not include anonymous letters, documents or information, except those specified in s. 25.32 – s. 25.35, whose authors or creators are not

identified; records of disciplinary action; letters, documents or information with regard to any complaint that, after investigation, and any hearing or appeal, has been resolved in favour of the Member; or letters, documents or information with regard to any complaint that has been determined not to require investigation or has not been investigated.

- 18.7 At the conclusion of any consideration of the Member for Reappointment, Continuing Appointment, Promotion, Tenure, or removal of probationary status of a Librarian, any documents created specifically for or as a result of that process, such as letters of reference and reports of committees, will be removed from the Member's Official Performance File and retained in accordance with the Unit's policy in the Personnel File in the Unit. Nothing in this section precludes a Member from including in their Official Performance File, on any subsequent consideration, any of these documents. The final recommendation will be retained in the Official Performance File.

Personnel File

- 18.8 All documents related to the Member's employment status or performance that are not included in the Official Performance File, and that are retained by the University administration, regardless of their location, will be deemed to constitute the Member's Personnel File.
- 18.8.1 Notwithstanding s. 18.8, documents held by University administration relating to a process under Articles 46, 47 or 49 are not part of the Member's Personnel File except where a copy of the document has been placed in the Member's Personnel File within their Home Unit.
- 18.8.2 The information contained in these files will not be used in the evaluation of a Member, except as specified in s. 46.7 for the purpose of Reappointment, Continuing Appointment, Tenure, Promotion, removal of probationary status (Librarian), or salary adjustment.
- 18.8.3 A Member's Personnel File will not include anonymous letters or un-attributed documentation.
- 18.9 Any record of disciplinary action taken under Article 46 *Discipline* will be removed or will be deemed to be removed in accordance with s. 46.4 and will be or will be deemed to be disposed of in accordance with the University's Directory of Records.

Access to Official Performance and Personnel Files

- 18.10 Members have the right, during normal business hours, and upon reasonable notice, to examine the entire contents of their Official Performance File and Personnel File referred to in this Article except for confidential letters of reference and confidential interview reports.
- 18.11 With regard to confidential letters of reference and confidential interview reports, the Member is entitled either to a copy of the body of the letter with the letterhead and the signature of the writer removed or, if the writer could still be identified, a summary of the letter prepared in a manner that is unlikely to disclose the identity of the author.
- 18.12 A Member making a request to examine their Official Performance File and Personnel File must produce identification, if requested, that is satisfactory to the custodian of the file and the examination will be carried out in the presence of the custodian.
- 18.13 A Member may not remove any of the contents when inspecting their Official Performance File or Personnel File.

- 18.14 A Member has the right, on written request, to obtain a copy of any document in their Official Performance File or Personnel File subject to s. 18.11. Such copies will be provided free of charge.
- 18.15 A Member's Official Performance File is confidential to the Chair of the Member's Department, Dean of the Member's Faculty, University Librarian in the case of a Librarian, Associate Vice-President Faculty Relations and Academic Administration, Associate Vice-President Academic Planning, Vice-President Academic and Provost, President, and their respective administrative and secretarial staff.
- 18.16 A Member's Personnel File is confidential to staff members in the Department of Human Resources, and the relevant University administrators listed in s. 18.15.
- 18.17 The Member's Official Performance File and Personnel File referred to in this Article are not open to other persons except with the written permission of the Member.

Member's Challenge of Documents

- 18.18 A Member may challenge the inclusion or exclusion of documents in either of the Member's official files referred to in this Article. The Member has the right to include in their official files a statement commenting on the accuracy of any documents in the file. The Chair, Dean and the University Librarian have the responsibility and authority to remove documents. For any portion of the Member's Personnel File kept in the Department of Human Resources, the Associate Vice-President Human Resources has the responsibility and authority to remove documents; for any portion of the Member's Personnel File kept in the office of the Vice-President Academic and Provost, the Associate Vice-President Faculty Relations and Academic Administration has the responsibility and authority to remove documents.

19. Legal Representation and Indemnity

- 19.1 Members performing their normal responsibilities in good faith and within the scope of their employment or other authorized employment responsibilities will be defended and indemnified by the University against legal actions brought by third parties in accordance with this Article. Such legal actions may concern bodily injury, personal injury (e.g. libel or slander), damage to the property of others or by error or omission causing financial loss to the third party. Such defence and indemnification will be provided even if there is error or negligence by the Member. The University will not defend or indemnify Members against legal actions arising from outside professional activities not expressly sanctioned and approved by the University.
- 19.1.1 Where a Member receives a threat of legal action under the circumstances described in s. 19.1, the Member will be provided with legal advice regarding liability and appropriate response, upon request.
- 19.2 The University may choose not to defend and/or indemnify a Member who has not acted in good faith, such as where the Member has inflicted intentional or willful injury to others or damage to property; or committed acts of fraud, dishonesty, criminal activity, harassment, sexual harassment, or discrimination on a ground that is prohibited under the *British Columbia Human Rights Code*.
- 19.3 To support the financial cost of defending legal actions and paying settlements, the University maintains on behalf of itself, its officers, employees, volunteers and certain other named insureds, Comprehensive General Liability, Errors and Omissions and other insurance policies. These policies contain certain exclusions requiring the University to carry the risk itself of certain exposures such as contract liability, wrongful dismissal, or pollution (other than sudden and accidental). Where the insurer will defend and indemnify, the insurer must be in agreement on the selection of legal counsel, the terms of any settlement and other such issues during the course of proceedings. Similarly, in consideration for such defence and indemnification, the University and/or its insurer shall be permitted by the Member to reduce contributions to defence and indemnity settlements by calling on other insurers who have insured the same risk to contribute and/or provide reimbursement from other wrongdoers by way of exercising legal rights to subrogation.

Indemnity

- 19.4 To clarify the agreement of the University to indemnify Members, the University agrees to indemnify Members with regard to a judgment or settlement in a legal proceeding in which the Member is named as a defendant or respondent where:
- a) the Member was authorized to act on behalf of the University with regard to the subject matter of the proceedings;
 - b) the subject matter of the proceeding relates to performance in good faith of the Member's duties and responsibilities within the scope of the Member's employment with the University; or
 - c) the proceeding is under a federal or provincial statute where the Member may be liable for a monetary administrative penalty or award with regard to the performance in good faith of the Member's normal duties and responsibilities within the scope of the Member's employment with the University; and

- d) the Member fully cooperates and provides information and assistance in the investigation and other required activity necessary to resolve or defend the claim.
- 19.5 The agreement to indemnify under s. 19.4 prevails notwithstanding that the form of the proceeding may take the form of a prosecution that would otherwise be excluded from indemnification under s. 19.2 and that portion of s. 19.6 that refers to conduct by the Member that constitutes an offence under the laws of Canada or British Columbia.
- 19.6 For further clarity, the University's agreement to indemnify Members does not extend to or include intentional or wilful damage to property caused by a Member; intentional or wilful injury to persons caused by a Member; acts of fraud or dishonesty by the Member; harassment or sexual harassment by the Member; discrimination on a ground that is prohibited under the *British Columbia Human Rights Code*; or conduct by the Member that constitutes an offence under the laws of Canada or British Columbia.
- 19.7 Before any obligation by the University to indemnify a Member arises, the Member must give timely notice of the claim, or threat of legal action, to the University. Immediately after the University receives notice of the claim, or threat of legal action, the University must be given the opportunity to assume carriage of the defence of the claim; and in the case of an out of court settlement of the claim, the University must approve the settlement. Notwithstanding any other provision in this Article, the University may elect not to indemnify a Member where the Member fails to give timely notice of the claim such that it prejudices the position of the University or the Member in responding to the claim.

Part 4: Appointment

20. Appointments

Appointment Classification

- 20.1 Research Stream faculty appointments with eligibility for Tenure or eligibility for Grant-Tenure may be made at any of the following academic ranks:
- a) Lecturer, in accordance with the provisions of s. 21.19 – s. 21.25;
 - b) Assistant Professor;
 - c) Associate Professor; and
 - d) Professor.
- 20.2 Research Stream faculty appointments with Tenure or Grant-Tenure may be made at any of the following academic ranks:
- a) Associate Professor;
 - b) Professor.
- 20.3 Teaching Stream faculty appointments with eligibility for Tenure or eligibility for Grant-Tenure, may be made at any of the following academic ranks:
- a) Lecturer, in accordance with the provisions of s. 21.19 – s. 21.25;
 - b) Assistant Teaching Professor;
 - c) Associate Teaching Professor;
 - d) Teaching Professor.
- 20.4 Teaching Stream faculty appointments, with Tenure or Grant-Tenure may be made at any of the following academic ranks:
- a) Associate Teaching Professor;
 - b) Teaching Professor.

Limited-Term Faculty Appointments

- 20.5 Because it is in their mutual interest, the Parties agree that appointments at the rank of Assistant Professor, Associate Professor, Professor, Assistant Teaching Professor, Associate Teaching Professor and Teaching Professor normally will be made with Tenure or with eligibility for Tenure. Nevertheless, the Parties recognize that occasionally it will be in the interest of the University to appoint a person at one of these ranks where a person's skill or experience are required only for a limited period of time.
- 20.6 A Limited-Term appointment may be made under this Agreement at the rank of Assistant Professor, Associate Professor, Professor, Assistant Teaching Professor, Associate Teaching Professor or Teaching Professor:

- a) to replace a person who is on leave or who has been appointed to an administrative appointment at the University;
 - b) for a term exceeding one year, but not exceeding five years, with one or more renewals such that the length of the initial appointment and any renewals will not exceed, in the aggregate, seven years; or
 - c) when the funds from which the holder of the appointment will be paid are non-recurring and from sources external to the University.
- 20.7 Only Limited-Term appointments exceeding one year in duration initially or through renewal, are subject to this Agreement.
- 20.8 A Limited-Term faculty appointment is without Tenure or eligibility for Tenure.
- 20.9 At the request of the Association, the University will provide a report to the Association with regard to the Limited-Term faculty appointments exceeding one year of duration made in the Academic Year preceding the date of the request. The report will include:
- a) the term of each appointment;
 - b) the rank and FTE of each appointment; and
 - c) the Academic Unit(s) in which each appointment was made.
- 20.10 Where a Limited Term appointment is externally funded, the provisions of s. 20.20 and s. 20.21 of this Article apply.

Librarian

- 20.11 An appointment as a Librarian is either a Regular Librarian Appointment, Limited-Term Librarian Appointment or an appointment as Hourly Librarian.
- 20.12 A Regular Librarian Appointment is either probationary or confirmed.
- 20.12.1 Initially, a Regular Librarian Appointment normally has probationary status that continues for the period specified in the notice of appointment. A probationary Regular Librarian appointment does not guarantee continuation of employment; however, successful completion of a probationary period will result in a confirmed Regular Librarian Appointment.
- 20.12.2 A confirmed Regular Librarian Appointment will carry the expectation of continuation of employment until retirement.
- 20.13 A Limited-Term Librarian appointment is for a fixed term exceeding one year, but not exceeding three years, with one or more renewals such that the length of the initial appointment and any renewals will not exceed, in the aggregate, five years, at a minimum of 0.8 FTE. A Limited-Term Librarian may only be reappointed in accordance with s. 27.11. There is no right of Reappointment.
- 20.14 An appointment is full-time but Workload may be reduced under Article 13 *Standards and Workload*. An appointment may be posted and offered on a Reduced Workload basis.
- 20.15 An appointment as an Hourly Librarian is made under the processes listed in Article 22 and are subject to the following terms and conditions:

- a) Such positions shall not exceed 0.8 FTE nor one year in duration;
- b) Such positions are subject to the reappointment process in s. 27.11. There is no right of reappointment;
- c) Such appointments are without rank and do not allow for promotion under this Agreement.

Joint Appointments

- 20.16 A person may be jointly appointed in two or more Academic Units, regardless of appointment type.
- 20.17 A joint appointment may be made at the time of a person's initial appointment or later. The notice of appointment of a Member holding a joint appointment will specify the allocation of the Member's Academic Responsibilities as between Academic Units, the relevant criteria for assessment, including evaluation ratios and the Unit that has the responsibility for matters relating to Reappointment, Continuing Appointment, Tenure, Promotion and salary adjustments (Home Unit).
- 20.18 The evaluation of a person with Joint Appointment shall be in accordance with s. 25.28

Grant-Tenure

- 20.19 Any Faculty Member appointed with Grant-Tenure or with eligibility for Grant-Tenure will be subject to the same terms and conditions of this Agreement as apply to Faculty Members appointed with Tenure or eligibility for Tenure, except as provided in this Article.
- 20.20 Where the external funds paying the salary of the Faculty Member appointed with Grant-Tenure or with eligibility for Grant-Tenure can no longer fund that portion of the salary obligation to the Faculty Member (more than 50% of the Faculty Member's salary), the Faculty Member's appointment will terminate after the provision of appropriate notice in accordance with s. 20.22 and the University will have no obligation to continue that appointment after that date.
- 20.21 Except as provided in this Article, all provisions relating to the appointment, evaluation, Tenure or Promotion of Tenured Faculty Members or Faculty Members with eligibility for Tenure apply to the appointment, evaluation, Grant-Tenure or Promotion of Grant-Tenured Faculty Members or Faculty Members with eligibility for Grant-Tenure, with the necessary amendments.
- 20.22 A Member whose appointment must be terminated in accordance with s. 20.20 will be notified by the University of the date of termination as soon as the University receives notice that the funding will not be renewed and determines that it cannot be replaced. Where the funding agreement permits funding to be used to provide for a period of notice or salary in lieu of notice, the Member will be entitled to that period of notice or salary in lieu thereof. The letter of offer will describe what provisions are made for notice in the event of termination under s. 20.21.

Faculty-Level Appointments in Departmentalized Faculties

- 20.23 When a departmentalized Faculty seeks to appoint an individual and the Department to which this appointee will be assigned has not yet been identified, the appointment may be made to the Faculty. Once the Department is identified, the individual must be appointed according to the appointment procedures of that Department.

Open Competition for Initial Appointments

- 20.24 There must be an open competition prior to making any of the appointments listed in s. 20.1 – s. 20.7 and s. 20.11 – s. 20.12.
- 20.25 An open competition is not required prior to the appointment of:
- a) NSERC Industrial Chairs;
 - b) Canada Research Chairs;
 - c) other externally funded or endowed positions where an open competition would be inconsistent with the terms of the position;
 - d) chairs, professorships and fellowships awarded to faculty with existing UVic academic appointments; or
 - e) Limited Term faculty appointments of one year or less which are extended beyond one year.
- 20.26 An open competition is not required prior to the appointment of a candidate when a Department or the Libraries demonstrates that exceptional circumstances exist where it is in the interest of the University to waive the requirement of an open competition and the request for a waiver is approved by each of the Dean, or the University Librarian, the Vice-President Academic and Provost and, where the appointment is to a Unit which makes appointments through an advisory committee, the majority of the Appointments Committee of the Unit, who are satisfied that exceptional circumstances exist. In such cases, the candidate must be considered for an appointment in accordance with the appointment procedures of the Unit.
- 20.27 An open competition requires:
- a) publicizing the availability of a position in a manner that it will likely come to the attention of qualified candidates; and
 - b) interviewing a short-list of qualified candidates.
- 20.28 The minimum requirements for publicizing the availability of a position are that:
- a) the position be posted on a University website; and
 - b) subject to budgetary limitations and advertising copy deadlines, the position be advertised in print or electronic format in two or more of the following media:
 - i) University Affairs;
 - ii) CAUT Bulletin;
 - iii) a professional journal specific to the discipline that advertises academic employment opportunities;
 - iv) a newspaper with circulation in the geographic area(s) where potential qualified candidates likely reside; and
 - v) relevant computer listservs or external websites.
- 20.29 Notwithstanding s. 20.24, the University may designate a recruitment as “limited” or “preferential” as authorized under s. 42 of the *BC Human Rights Code*.

21. Terms of Initial Appointment

Assistant Professor

- 21.1 An initial appointment at the rank of Assistant Professor is made without Tenure and carries eligibility for Tenure.
- 21.2 An initial appointment at the rank of Assistant Professor is for a term of three years.
- 21.2.1 Where an initial appointment is made on or after September 1, the initial appointment term will be three years starting the July 1 following the appointment date.
- 21.3 Appointment at the rank of Assistant Professor normally requires:
- a) Credentials meeting one of the following criteria:
 - i) an earned doctoral degree or the standard academic credential for the discipline; or
 - ii) a relevant academic credential with evidence of substantial relevant professional achievement in the discipline which is deemed in the Unit Standard to be equivalent; or
 - iii) a relevant academic credential with evidence of substantial relevant experience in the discipline which is deemed in the Unit Standard to be equivalent. In determining equivalency of Indigenous knowledge, the VP Indigenous will be consulted by the Associate Dean Indigenous who will assist and/or support in identifying Indigenous experts with relevant expertise to assess equivalency in specific cases;
 - b) evidence of a potential for effective teaching; and
 - c) evidence of a potential for Research within the candidate's discipline that meets the requirements of the Unit Standard for this rank.

Associate Professor

- 21.4 An initial appointment at the rank of Associate Professor may be made with Tenure or with eligibility for Tenure.
- 21.5 An initial appointment at the rank of Associate Professor with eligibility for Tenure is for a term of four years.
- 21.5.1 Where an initial appointment is made on or after September 1, the initial appointment term will be four years starting the July 1 following the appointment date.
- 21.6 Appointment at the rank of Associate Professor normally requires the following qualifications:
- a) credentials as noted in s. 21.3 a);
 - b) evidence of effective teaching; and
 - c) a record of Research within the candidate's discipline that meets the requirements of the Unit Standard for this rank.

Professor

- 21.7 An initial appointment at the rank of Professor may be made with Tenure or with eligibility for Tenure.
- 21.8 An initial appointment at the rank of Professor with eligibility for Tenure is for a term of four years.
- 21.8.1 Where an initial appointment is made on or after September 1, the initial appointment term will be four years starting the July 1 following the appointment date.
- 21.9 Appointment at the rank of Professor normally requires the following qualifications:
- a) credentials as noted in s. 21.3 a);
 - b) evidence of effective teaching; and
 - c) a record of Research within the candidate's discipline that meets the requirements of the Unit Standard at this rank and that has been recognized at a national or international level.

Assistant Teaching Professor

- 21.10 An initial appointment at the rank of Assistant Teaching Professor is made without Tenure and carries eligibility for Tenure.
- 21.11 An initial appointment at the rank of Assistant Teaching Professor is for a term of three years.
- 21.11.1 Where an initial appointment is made on or after September 1, the initial appointment term will be three years starting the July 1 following the appointment date.
- 21.12 Appointment at the rank of Assistant Teaching Professor normally requires:
- a) credentials as noted in s. 21.3 a); and
 - b) substantial teaching experience at a university or college; and
 - c) Evidence of a potential for Scholarly Activity within the candidate's discipline or in the field of the scholarship of teaching and learning.

Associate Teaching Professor

- 21.13 Appointment at the rank of Associate Teaching Professor may be made with Tenure or with eligibility for Tenure.
- 21.14 An initial appointment at the rank of Associate Teaching Professor with eligibility for Tenure is for a term of four years.
- 21.14.1 Where an initial appointment is made on or after September 1, the initial appointment term will be four years starting the July 1 following the appointment date.
- 21.15 Appointment at the rank of Associate Teaching Professor normally requires:
- a) credentials as noted in s. 21.3 a); and
 - b) at least six years teaching at a university or college, in a full-time equivalent role or reasonably comparable experience; and

- c) a record of Scholarly Activity within the candidate's discipline, or within the field of the scholarship of teaching and learning, that meets the requirements of the Unit Standard for this rank.

Teaching Professor

- 21.16 Appointment at the rank of Teaching Professor may be made with Tenure or with eligibility for Tenure.
- 21.17 An initial appointment at the rank of Teaching Professor with eligibility for Tenure is for a term of four years.
 - 21.17.1 Where an initial appointment is made on or after September 1, the initial appointment term will be four years starting the July 1 following the appointment date.
- 21.18 Appointment at the rank of Teaching Professor normally requires:
 - a) credentials as noted in s. 21.3 a);
 - b) a record of outstanding achievement in teaching; and
 - c) a record of Scholarly Activity within the candidate's discipline or within the field of the scholarship of teaching and learning that meets the requirements of the Unit Standard for this rank and that has attained national or international recognition.

Lecturer

- 21.19 Where the recommended candidate for an appointment to a position as an Assistant Professor or Assistant Teaching Professor does not have the academic credential that is usually required for such an appointment but the candidate is enrolled in a program leading to that academic credential, the candidate may be appointed as Lecturer.
- 21.20 An appointment at the rank of Lecturer is without Tenure or Continuing Appointment.
- 21.21 An appointment as Lecturer is for a term of two years and carries no expectation or right of Reappointment.
 - 21.21.1 Where an initial appointment is made on or after September 1, the initial appointment term will be two years starting the July 1 following the appointment date.
- 21.22 The Faculty Member's rank will be revised to be an Assistant Professor or Assistant Teaching Professor where, prior to the expiration of the Faculty Member's appointment as a Lecturer:
 - a) the Faculty Member obtains the academic credential specified in the Faculty Member's appointment as a Lecturer, and
 - b) the Faculty Member's teaching has been evaluated by the Chair as meeting or exceeding the standards of the Unit in which the appointment has been made.
- 21.23 Where the prerequisites set out in s. 21.22 are satisfied on or before September 1, an appointment as an Assistant Professor or Assistant Teaching Professor will be made retroactive to the preceding July 1.
- 21.24 Where the prerequisites set out in s. 21.22 are satisfied after September 1, the appointment as an Assistant Professor or Assistant Teaching Professor becomes effective on the following July 1. If the Faculty Member's salary at the time the prerequisites are satisfied is less than the floor of

the Assistant Professor or Assistant Teaching Professor rank, the Faculty Member's salary will be raised to the floor of the Assistant Professor or Assistant Teaching Professor rank effective on the first day of the month following satisfaction of the prerequisites.

- 21.25 Where a Faculty Member's appointment rank is revised under s. 21.23 or s. 21.24, the length of the person's appointment as a Lecturer will not be included in determining when the person must be considered for Tenure or Continuing Appointment.

Appointments Designated as Clinical

- 21.26 At initial appointment, or during the appointment with approval of the Dean, a Member at any rank may be designated as "Clinical", where the Member is regularly engaging in Teaching, Research or Scholarly Activity, or Service in a Clinical setting. Members designated as Clinical will be evaluated based on criteria that are specific to work in a Clinical setting as set out in their Standard.

Librarian Ranks

- 21.27 A Librarian will be appointed at one of the following ranks:

- a) Librarian I;
- b) Librarian II;
- c) Librarian III;
- d) Librarian IV.

- 21.28 Consideration will be given to years of experience in determining rank at the time of initial appointment.

- 21.29 Appointment at the rank of Librarian I requires an undergraduate degree from a university of recognized standing; and

- a) a Master's degree from an accredited school of library science, or
- b) a relevant professional degree directly applicable to the area of scholarship for which the librarian is responsible, or
- c) a Master's or higher degree in another discipline with expertise and excellence in professional practice relevant to the position (or the equivalent combination of education and experience in archival science in the case of an Archivist).

- 21.29.1 In the case of s. 21.29 b) and c), the candidate must show potential for professional growth and development and shall agree to complete a MLS degree from an accredited school of library science before the end of their probationary period.

- 21.30 Appointment at the rank of Librarian II requires:

- a) qualifications of a Librarian I, including a completed MLS as specified in s. 21.29;
- b) demonstrated professional competence through successful performance of assigned responsibilities; and
- c) evidence of professional growth and development.

- 21.31 Appointment at the rank of Librarian III requires:

- a) qualifications of a Librarian II; and
- b) a record of full professional competence and significant achievement in librarianship including evidence of sound independent judgment, creativity and demonstrated ability in an area of library service or library administration.

21.32 Appointment at the rank of Librarian IV requires:

- a) qualifications of a Librarian III;
- b) a record of consistently excellent performance over a substantial period of time;
- c) a record of significant and sustained contribution to a university library or similar institution and to the profession; and
- d) evidence of the ability to perform independently at a senior level of librarianship.

22. Appointment Procedures: Librarians

Consultation

- 22.1 When there is a proposal by the University Librarian to create a new Librarian position or to fill a Librarian position vacant due to resignation or retirement, the University Librarian will meet with the members of the Appointments Advisory Committee (AAC) to discuss the proposal. Following this discussion the University Librarian will share a draft position description with the AAC. The members of the AAC will circulate the draft position description to all Librarians and solicit their views. The feedback will be available for all members of the AAC. The AAC will forward the University Librarian a summary of views received, and may add written recommendations regarding the proposal. In making the final decision, the University Librarian will give the feedback and recommendations good faith consideration.
- 22.2 Once approval has been received from the Vice-President Academic and Provost to go forward with a position, the AAC will review the final approved written position description and circulate it to all Librarians. The AAC will then strike a Search Committee, and the final approved written position description will be used to develop criteria for the search.

Appointments Advisory Committee

- 22.3 The Libraries must, by February 28 of each year, hold an election to select the regular and alternate members of an AAC to serve for staggered two-year terms beginning April 1. The vote must be by secret ballot decided by majority vote of all Librarians with Regular Librarian or Limited-Term appointment voting. Librarians in excluded positions are not eligible to vote. Librarians in excluded positions or those holding Limited-Term Appointments are not eligible to be elected to the AAC.
- 22.4 Four Librarians with confirmed Regular Appointments will comprise the three regular members and one alternate member of the AAC, each selected for staggered two-year terms.
- 22.5 The regular members of the AAC will include the alternate member of the AAC as a full member of the AAC in meetings and discussions up to the point where a particular Search Committee is struck. If one of the regular members of the Search Committee is unable to serve for that search, the alternate will serve for the duration of that search. If, prior to the search process commencing, the alternate member is added to the Search Committee, and one of the regular members of the Search Committee cannot participate in the search process, an election will be held to appoint an additional Librarian with confirmed Regular Appointment to the Search Committee for the duration of that search. In no case may a member of the AAC be replaced during the process of any particular search.
- 22.6 All committee members must have received University designated mandatory training in effective employment equity practices and current institutional expectations with respect to equity, diversity, inclusion, anti-racism, Indigenization and decolonization prior to the commencement of the AAC and Search Committee's work.
- 22.7 The regular members of the AAC will select from their number an Administrative Chair for the committee. The Administrative Chair will act as the primary liaison with the University Librarian in carrying out the AAC's responsibilities under this Article, and have primary responsibility for ensuring procedures of the AAC and the Collective Agreement are properly adhered to. Should the Administrative Chair not be available for a period of time, the Administrative Chair shall select one of the other two regular members to assume the role as interim Administrative Chair.

Search Committee

- 22.8 When a decision has been made to fill any vacant or new position with a Regular Librarian, Limited-Term appointment, or an Hourly Librarian, the Search Committee will be comprised of the Librarian (or Librarians) who will supervise the position and the three regular AAC members. If the candidate is to report directly to the University Librarian, the University Librarian will be added to the Search Committee. If the supervising Librarian is already one of the regular members of the AAC for the year, the alternate member of the AAC will become a serving member of the Search Committee for the duration of that search.
- 22.9 Before the search process commences, the members of the Search Committee will meet to determine whether the membership of the Search Committee should be increased. The Search Committee may decide to add members for any of the following reasons:
- a) to provide expertise in the area of responsibility of the vacant or new position if current members of the committee do not have expertise in that area;
 - b) to add a Librarian from within the same unit who would work closely with the candidate;
 - c) to add a member of CUPE 951 or a member of the Professional Employees Association who would work closely with the candidate;
 - d) to add a Faculty Member from a discipline relevant to the position.
- 22.10 Normally, where the position to be filled is a Limited-Term Librarian or Hourly Librarian position, the Search Committee will not increase the number of committee members beyond the membership in s. 22.8.
- 22.11 The supervising Librarian(s) will chair the Search Committee for all purposes connected with the search. If the supervising Librarian or the University Librarian is unable to serve as the chair, the Search Committee will select another member of the Search Committee as its chair for the search process. The chair will have primary responsibility for ensuring the procedures of the Agreement are followed in regard to the search process.

Guidelines

- 22.12 The AAC and the University Librarian will review the guidelines for consultation as needed with regard to s. 13.35 – s. 13.43 and s. 22.1. These guidelines will be ratified by a majority vote of all Librarian Members voting and any changes thereto will only be made with the mutual agreement of the University Librarian and a majority vote of all Librarian Members voting.

Advertising Positions to be Filled

- 22.13 Advertising for a Librarian position will include publicizing the availability of a position on the University website, appropriate listservs and external websites.
- 22.14 Where duties involving substantial responsibilities become available and are to be assigned to a Librarian for a period of at least one year, but do not comprise a new position, as provided in s. 13.39, advertising is not required.

Search Committee Procedures

- 22.15 The University Librarian will forward all applications received to the chair of the Search Committee.

- 22.16 The Search Committee will evaluate all the documentation provided by applicants, with specific regard to the position description and the criteria in s. 21.29 – s. 21.32, and will in accordance with its evaluation, establish a short list of candidates to be interviewed.
- 22.17 The short list must be approved by the University Librarian.
- 22.18 The Search Committee will determine its preferred candidate by secret ballot and a majority vote. Only members of the committee who have been present at all deliberations of the committee concerning the candidates for the position and at all interviews with the candidates for the position are eligible to vote.
- 22.19 In the case of a Regular Librarian Appointment, the chair of the Search Committee will request the Advisory Committee on Ranks and Promotions (ACRP) to provide written advice on the rank to be recommended for the Search Committee preferred candidate. The recommendation of the ACRP will be forwarded by the chair of the Search Committee to the University Librarian with the Search Committee recommendation.
- 22.20 In the case of a Regular Librarian Appointment, any recommendation by the Search Committee to waive a probationary period will be decided by majority vote.
- 22.21 The Search Committee will recommend the appointment of the selected candidate to the University Librarian. The recommendation will be in writing, will be accompanied by all related documentation, will include the rank advised by the ACRP and, in the case of a Regular Librarian Appointment, a proposal regarding probationary status in accordance with s. 23.2.1 and s. 23.2.2.
- 22.22 All discussions by members of the AAC, the Search Committee and the ACRP concerning appointments are confidential. Members of these committees must not disclose or discuss the committee proceedings, opinions expressed during the committee proceedings, or committee recommendations, except as otherwise provided in this Agreement, or as required by law.

The University Librarian

- 22.23 If the University Librarian accepts the recommendation, it will be forwarded to the Vice-President Academic and Provost with a written statement of endorsement.
- 22.24 If the University Librarian declines to accept the recommendation, the University Librarian must provide written reasons to the Search Committee and must meet with the Search Committee as soon as possible thereafter to discuss the matter.

23. Probationary Status: Librarians

- 23.1 A Regular Librarian Appointment will have probationary status for the first two years unless the probationary period is waived.
- 23.2 Notwithstanding s. 23.1, the Appointments Advisory Committee may recommend to the University Librarian that the probationary period be varied as follows:
- 23.2.1 the probationary period be extended to three years where the candidate agrees to complete a MLS under s. 21.29.1;
- 23.2.2 the probationary period be waived where:
- a) the candidate has held Limited-Term appointments in the Libraries performing duties similar to those of the position being filled during at least three of the last five years, including the year immediately prior to the initial Regular Librarian Appointment; or
 - b) the Appointments Advisory Committee determines that the candidate, at a minimum, has exceeded the qualifications for appointment in Librarian ranks (s. 21.29 – s. 21.32, depending on the rank of the appointment) and has had a consistently outstanding record of performance as a Librarian for more than ten years.
- 23.3 Subject to s. 21.29, successful completion of a period of probationary status will result in a recommendation to remove probationary status and to grant a confirmed Regular Librarian Appointment.
- 23.4 Failure to receive a recommendation to remove probationary status as described in this Article will result in a notice of intent to terminate employment.
- 23.5 The evaluation of a Librarian on probation will be based on the Librarian's Professional Performance under s. 25.23 a) i).
- 23.6 Evaluation of a Librarian on probation will be mandatory at twelve (12) months following their initial appointment date and every six (6) months thereafter until granted a confirmed Regular Librarian appointment.
- 23.7 The University Librarian will notify the Librarians on probation and their respective supervising Librarians when evaluations are required.
- 23.8 Evaluations will be made by the Librarian's supervising Librarian, who will send to all Librarians a written request for comments relating to the Professional Performance of the Librarian being evaluated. Following discussion with the Librarian being evaluated, the supervising Librarian may request written comments from any employees who directly work with the Librarian under evaluation. The request will state that the comments must be received in writing and that the comments will be included in the Official Performance File of the Librarian being evaluated. If the writer expressly states that the comment has been given in confidence, the comment will be treated as a confidential letter of reference in accordance with s. 18.11. After the evaluation is completed, the comments will be treated as letters of reference in accordance with s. 18.7.
- 23.9 The supervising Librarian will inform the Librarian being evaluated of the Librarian's right to request assessments from Librarians or Faculty Members of the Librarian's choice and to have

those assessments included in the Official Performance File in accordance with the provisions of s. 18.1.

- 23.10 The supervising Librarian and the Librarian on probation will meet to discuss all aspects of the Librarian's Professional Performance. The supervising Librarian will provide the Librarian being evaluated with copies of the comments received in accordance with s. 23.8 and s. 23.9, or with a summary thereof where authorized by s. 23.8. Where appropriate, the supervising Librarian will advise the Librarian of ways in which performance can be improved.
- 23.11 Following the meeting with the Librarian on probation, the supervising Librarian will prepare a written evaluation based on: the discussion at the meeting; the comments received pursuant to s. 23.8 and s. 23.9; and other documentation in the Official Performance File of the Librarian on probation. The evaluation will be sent to the University Librarian and to the Librarian being evaluated. The evaluation will state clearly whether or not the candidate is meeting the criteria for a confirmed Regular Librarian Appointment and will include any specific advice with regard to improving the Librarian's performance that was offered by the supervising Librarian at the meeting.
- 23.12 Within seven Working days after receiving the evaluation, the Librarian being evaluated may send a written response to the supervising Librarian. Any written response will be included in the Official Performance File together with the written evaluation and becomes part of the documentation of the evaluation.

Supervisor's Recommendations

- 23.13 If any evaluation required under s. 23.6 does not meet the criteria for confirmed Regular Librarian Appointment, another evaluation will be done three months later. If the second, consecutive evaluation also does not meet the criteria for confirmed Regular Librarian Appointment, the supervising Librarian will forward a recommendation, with reasons, to the Advisory Committee on Ranks and Promotions (ACRP) not to remove the probationary status of the Librarian being evaluated. This recommendation may result in a request to extend the probationary period or issue a notice of intent to terminate employment under s. 23.23 or s. 23.24. The supervisor will provide a copy of the recommendation to the Librarian being evaluated.
- 23.14 If the final two consecutive evaluations indicate the candidate is meeting criteria for confirmed Regular Librarian Appointment, the supervising Librarian will forward a recommendation, with reasons, to the ACRP to remove the probationary status of the Librarian being evaluated. The supervising Librarian will provide a copy of the recommendation to the Librarian being evaluated.

Advisory Committee on Ranks and Promotions

- 23.15 Where the ACRP receives a recommendation pursuant to s. 23.13 or s. 23.14, it will review the supervising Librarian's recommendation, the comments received pursuant to s. 23.8 and s. 23.9 and the Official Performance File of the Librarian on probation.
- 23.16 The ACRP will advise the University Librarian as to whether the supervising Librarian's recommendation constitutes a sound and unbiased evaluation of the Librarian on probation. It will confirm the supervising Librarian's recommendation unless it finds that the supervising Librarian made a serious error in procedure that materially affected the recommendation, or that a Reasonable Apprehension of Bias exists with respect to the recommendation, or that the

recommendation was clearly unreasonable in light of the facts and the relevant criteria being applied.

- 23.17 The decision of the ACRP will be made by secret ballot and on a majority vote.
- 23.18 The decision of the ACRP whether or not to concur with a supervising Librarian's decision regarding the removal of probationary status will be forwarded to the University Librarian. Where the decision does not confirm the supervising Librarian's recommendations, the ACRP must include its reasons and may include a minority report. The decision will be in writing and a copy will be provided to the Librarian on probation.

University Librarian

- 23.19 The University Librarian will review all recommendations regarding the removal of probationary status.
- 23.20 If the University Librarian accepts a recommendation to remove probationary status, they will forward a recommendation to the Vice-President Academic and Provost with a copy to the Librarian being evaluated recommending that:
 - 23.20.1 In the case of a Librarian I, probationary status be removed and a confirmed Regular Librarian Appointment at the rank of Librarian II become effective upon the completion of the probationary period specified in the letter of appointment; or
 - 23.20.2 In the case of a Librarian II, III or IV, probationary status be removed and a confirmed Regular Librarian Appointment become effective upon the completion of the probationary period specified in the letter of appointment.
- 23.21 If the University Librarian does not accept a recommendation, the University Librarian will meet with the supervising Librarian and the ACRP to discuss the disagreement:
 - 23.21.1 If this procedure results in agreement, the University Librarian and the supervising Librarian will forward a joint recommendation to the Vice-President Academic and Provost; but
 - 23.21.2 If there is no resolution of the disagreement, the University Librarian will forward to the Vice-President Academic and Provost the supervisor's recommendation, the advice of the ACRP, all the documentation of the evaluation, and the University Librarian's dissenting opinion; and
 - 23.21.3 The Vice-President Academic and Provost will make a recommendation to the President.
- 23.22 If the University Librarian accepts a recommendation not to remove probationary status, the University Librarian will forward the recommendation to the Vice-President Academic and Provost with a request to issue a notice of intent to terminate the employment of the Librarian being evaluated. The University Librarian will provide a copy of the recommendation to the Librarian being evaluated.

Notice of Intent to Terminate

- 23.23 Subject to a grievance under s. 47.21 – s. 47.24, notice of intent to terminate the employment of a Librarian on probation prior to the expiry of the probationary period under s. 23.13 will be

given in writing by the University to the Librarian no less than two months prior to the proposed date of termination.

- 23.24 Subject to a grievance under s. 47.21 – s. 47.24, notice of intent to terminate the employment of a Librarian at the end of a probationary period will be given in writing by the University to the Librarian no less than two months prior to the expiry of the probationary period. Failure to provide the specified notice will entitle the Librarian to an extension of the appointment for two months or, at the option of the University, to the equivalent salary.
- 23.25 A decision not to remove probationary status made in accordance with s. 23.23 or s. 23.24 is not regarded as discipline or dismissal.

24. Transfer and Conversion of Appointments

Transfer between Units

- 24.1 A Member's appointment may be transferred to a Unit or Units (receiving Unit(s)) within the University other than the Unit or Units to which they were originally appointed (transferring Unit(s)), subject to s. 24.4.
- 24.1.1 A transfer of appointment may be proposed by the Member holding the appointment (or the Faculty Association on their behalf) or by Administration.
- 24.1.2 A Faculty Member whose appointment is transferred from one Academic Unit to another, or a Librarian whose appointment is transferred from one Library Unit to another, in accordance with s. 24.1 will retain their rank, annual salary, benefits, accrued study leave credit, and seniority.
- 24.1.3 A Librarian whose appointment is transferred into an Academic Unit as a Faculty Member, or a Faculty Member whose appointment is transferred into a Library Unit in accordance with s. 24.1 will retain annual salary, benefits, accrued study leave credit and seniority. Rank shall be assessed in accordance with Article 21 *Terms of Initial Appointment*.

Conversion Between Streams

- 24.2 A Faculty Member's appointment may be converted to an appointment in a Stream other than the Stream their appointment is currently held in, subject to s. 24.4.
- 24.2.1 A conversion of appointment may be proposed by a Member (or the Faculty Association on their behalf) or by Administration.
- 24.2.2 A Faculty Member whose appointment is converted in accordance with s. 24.2 will retain annual salary, benefits, accrued study leave credit and seniority. Rank shall be assessed in accordance with Article 21 *Terms of Initial Appointment*.

General

- 24.3 Approval of a transfer under s. 24.1 or a conversion under s. 24.2 shall be subject to the same considerations as the establishment of a new appointment including, but not limited to, budget availability, programmatic requirements, and the qualifications of the candidate.
- 24.4 A transfer under s. 24.1 or a conversion under s. 24.2 shall only occur with:
- a) the written consent of the Member;
 - b) a recommendation for the transfer or conversion of appointment by the Dean(s)/University Librarian of the involved Units;
 - c) the approval of the transfer or conversion by the Vice-President Academic and Provost;
 - d) a recommendation for the approval of the transfer by the Unit(s) involved, made in accordance with the process for making appointments in the Unit; and
 - e) a memorandum of understanding providing for agreement on the following, signed by the Member and the Deans of the Units involved:
 - i) the start date of the transfer or conversion;

- ii) the normal teaching workload expectations and graduate student supervision expectations for the new appointment;
- iii) arrangements for the Member's graduate students, including whether they will transfer to the receiving Unit, and the extent to which the Member may continue to supervise incoming graduate students in either or both Units;
- iv) arrangements for the transition of teaching responsibilities including the disposition of any teaching related course releases, banked course arrangements, Alternative Workload or Reduced Workload arrangements held by the Member in the transferring Unit;
- v) if applicable, the dates by which the Member will vacate old office space and occupy new office space; and
- vi) the transfer of years accumulated towards Study or Administrative Leave.

24.5 The receiving Unit shall be responsible for salary evaluation and shall consult with the Member's transferring Unit in relation to any period of evaluation in the transferring Unit.

24.6 The reason the Member is seeking a transfer or conversion may only be disclosed with the Member's consent.

24.7 A Member may have a Faculty Association representative attend any discussions pertaining to transfer and conversion.

Part 5: Review of Career Progress

25. Evaluation of Members

- 25.1 Members are evaluated for the purposes of Reappointment, Tenure, Promotion, Continuing Appointment, salary adjustment, and removal of probationary status for Librarians.
- 25.2 Criteria for the evaluation of Members are elaborated in the Faculty or Libraries Evaluation Policy created pursuant to this Article, the Unit Standard created under Article 13 *Standards, Workload and Assignment Practice* and the terms of this Agreement.

Faculty Evaluation Policies

- 25.3 Every Faculty Evaluation Policy (FEP) must be reviewed by each Department and by the Faculty, (as applicable) within four (4) months after a new Agreement is ratified. Any amendments consequent upon that review must be developed in consultation between the Dean and the Unit. Faculty Evaluation Policies must be ratified by electronic vote by 60% of votes cast by those holding Regular Academic Appointments in the Faculty, as well as approved by the Dean and the Vice-President Academic and Provost.
- 25.4 Every FEP must include the following preamble: Minor administrative exceptions to the requirements of the FEP may be made on consent of the affected faculty member and their Dean, provided there is no breach of this Agreement. Exceptions that go beyond minor administrative matters must be waived on consent of the Provost (or designate) and the President of the Faculty Association (or designate). Affected Faculty Members have the right to consult with the Faculty Association before providing their consent.
- 25.5 Each Faculty must have a Faculty Evaluation Policy for the evaluation of the work of Members in the Faculty. The FEP and any amendment thereto must be consistent with this Agreement and will be submitted to the Members of the Faculty, the Dean and the Vice-President Academic and Provost for approval. The FEP shall include:
 - a) a description of any Faculty-wide evaluation criteria;
 - b) guiding principles to be applied in the assessment and evaluation of Faculty Members' work, including guidance to support equitable evaluation of diverse methodologies and pedagogies, decolonization and Indigenization;
 - c) a process for the review of the course materials of a Faculty Member by a Unit pedagogy committee, in accordance with this Article. Such a committee shall consist of no more than three (3) members, whose work will be credited as Service. The work of this committee shall be confidential.
 - d) a description of the format and essential content to be used by a Faculty Member in preparing the teaching dossier for evaluation of Teaching performance, which shall include, but is not limited to, summative peer reviews of teaching, and course reviews;
 - e) a clear and detailed description of the mechanism by which the Dean will allocate Performance Pay Increments (PPIs) once the Units have provided their ranked lists to the Dean.

- 25.6 The Faculty Evaluation Policy must be provided to Faculty Members within the Unit within one (1) week of Dean's approval. A new Faculty Member will receive the Faculty Evaluation Policy within one (1) week of their appointment start date.

Evaluation of Teaching Performance

- 25.7 Teaching performance requires the evaluation of all of a Faculty Member's methods and forms of teaching and student supervision including research-enriched, Clinical and community engaged teaching that are described and evaluated in accordance with the FEP and Unit Standard applicable to the Faculty Member.
- 25.8 The evaluation of Teaching performance will be conducted on the basis of a Faculty Member's teaching dossier which shall provide evidence for the consideration of the evaluation criteria in s. 25.9 and shall comply with requirements set out in the FEP. There must be no obligation to include anecdotal or subjective student comments, however where they are included, all such comments from the course must be provided. Evaluation of Teaching performance must consider all materials in the teaching dossier.
- 25.9 Evaluation of Teaching includes, but is not limited to, consideration of evidence related to:
- a) participation in panels, presentations and addresses related to teaching, curriculum development or learning;
 - b) contributions related to the Unit's teaching program in the form of course delivery, graduate and undergraduate student supervisions of research projects, curriculum development, course co-ordination, program assessment or development, and course design;
 - c) Peer Review of Teaching in accordance with s. 25.11 – s. 25.14;
 - d) evidence of professional development supporting growth as a teacher, supervisor or scholar of teaching and learning, including attending departmental and University teaching workshops;
 - e) Course review in accordance with s. 25.15;
 - f) written comments from Indigenous organizations and community representatives, Indigenous Elders, and or Knowledge Holders/Carriers/Keepers about a Member's Teaching;
 - g) evidence of innovative teaching, including research-enriched, Clinical and/or community-engaged teaching on behalf of the University including, but not limited to: creative and artistic works, productions and performances, web publishing, including the production of archives and blogs, and use of on-line teaching contexts;
 - h) teaching awards and grants;
 - i) evidence of mentoring to support the development of other faculty in the area of teaching, or as a member of the Unit;
 - j) student's experience of the course as indicated by course experience surveys, substantiated student complaints, and ability to meet reasonable expectations for assignment return and grade submission;
 - k) curriculum work to support equity, inclusion, Indigenization and decolonization;

- l) evidence related to teaching that supported the imposition of a disciplinary action, in accordance with s. 46.7; and
 - m) other contributions to the Department's or Faculty's teaching program.
- 25.10 Each Faculty Member with assigned graduate or undergraduate teaching shall undergo peer reviews of Teaching and course reviews according to the following schedule:
- a) For Lecturers, Assistant Professors, and Assistant Teaching Professors:
 - i) in the second year of appointment at Lecturer or Assistant or Assistant Teaching rank, and within the first two (2) years following first Reappointment, a formative peer review of Teaching;
 - ii) in the second year of appointment at Lecturer or Assistant or Assistant Teaching rank and in the second year following first Reappointment, a course review of all assigned courses in that year;
 - iii) during the last term taught prior to submission of the file for Reappointment and during the last term taught prior to the submission of the file for Promotion and Tenure, a summative peer review of Teaching;
 - iv) for peer reviews under s. 25.10 a) i) and iii), an approved evaluator will have one (1) classroom observation of a course selected by the Chair. Each peer review will be done in a different course, or in different course sections if only one course is taught by the Member;
 - v) for course reviews under s. 25.10 a) ii), the Unit pedagogy committee will review materials as defined in the Faculty Evaluation Policy, for each assigned course and provide comments to assist the Member in developing best pedagogy and ensuring the University's expectations and standards are met;
 - vi) all summative peer reviews of Teaching and all course review comments, and any response by the Member, shall be included in the teaching dossier for the purpose of salary evaluation, Reappointment, Promotion and Tenure.
 - b) For Associate and Associate Teaching Professors:
 - i) Peer Reviews of Teaching and course reviews as required for Promotion applications.
 - ii) Where the RPT committee upon Promotion to Associate notes a need for improvement in Teaching, at least one (1) summative peer review of Teaching every two (2) years until the Member is assessed as meeting or exceeding expectations in Teaching during a salary evaluation.
 - iii) For Members hired at Associate rank, one (1) summative peer review of Teaching within the first two (2) years after appointment. Where the evaluator notes the Member needs improvement in Teaching, at least one (1) summative peer review of Teaching every two (2) years until the Member is assessed as meeting or exceeding expectations in Teaching during a salary evaluation.
 - iv) For peer reviews under s. 25.10 b) i), ii) and iii), an approved evaluator will assess during one classroom visit in a course selected by the Chair.

- c) For Professors, Teaching Professors and for Associate Professors and Associate Teaching Professors

- i) one (1) course review of a regularly assigned course per year.

Peer Review of Teaching

- 25.11 The Faculty shall develop a peer review process, in consultation with LTSI, which shall be articulated in the Faculty Evaluation Policy. The process shall include a discussion with the Member in advance of the classroom visit, the requisite classroom visit, an evaluation based on an assessment template approved by the Dean, and a report by the evaluator. The department may, in consultation with LTSI, adjust the Faculty peer review process and forms to account for disciplinary difference. The Member shall respond to the evaluation in writing to the Chair to address any areas of concern, and may provide commentary on their views of the assessment.
- 25.12 Evaluators shall be Faculty Members with strong records in Teaching, appointed by the Dean at the Faculty level. Evaluators shall be trained to undertake peer review in a fair and equitable manner. Peer evaluation work shall be counted as Service and may require an Alternative Workload. The Evaluator must be arms-length from the Member being assessed by them. Members have the right to allege the Evaluator has a Conflict of Interest or Reasonable Apprehension of Bias under the processes in Article 49. Notwithstanding Article 49, a Dean may elect to replace the Evaluator without making a determination under s. 49.10.
- 25.13 Formative peer reviews of Teaching are arranged by the Chair but are provided only to the Member for development purposes. If the Member chooses to provide a written response to the formative evaluation, such a response would be sent to the Chair. The Member may elect to include formative peer reviews in their teaching dossier but are not required to do so. Summative peer reviews are provided to the Faculty Member and the Member's Chair and must be included in the teaching dossier for use in the evaluation of Teaching.
- 25.14 Peer and course reviews required for the purposes of Reappointment, Tenure, Promotion, and salary evaluation shall meet the requirements of this Article, commencing July 1, 2025.

Course Review

- 25.15 Course review is intended to enable regular review of course materials to ensure program requirements are met, course materials are current, best pedagogy is engaged and support is given in enabling instructor attention to universal design methods, equity principles, decolonization and/or Indigenization, and optimal assessment methodologies. The Unit pedagogy committee shall review materials related to the course being assessed, as required by the FEP, and provide supportive commentary on ways in which the Faculty Member may improve the course content or materials. The Faculty Member shall respond to the evaluation in writing to the Chair to address any areas indicated for improvement, and may provide commentary on their views of the assessment. Course review commentary and response shall be included in the teaching dossier.

Evaluation of Research, Scholarship and Creative Activity (Research Stream)

- 25.16 Research, scholarship and creative activity ("Research") means continuing mastery of one's field of knowledge and the awareness of current scholarship in one's own and closely related fields, and the nature, quality, and extent of one's research, scholarship and creative activity as described in the FEP and Unit Standard applicable to the Faculty Member.

- 25.16.1 Research includes but is not limited to disciplinary research, discipline based education research, clinical research, and community-engaged research.
- 25.17 Research will be evaluated, in accordance with the FEP and Unit Standard, in all possible manifestations and may include, but is not limited to, the following:
- a) peer-reviewed publications and scholarly papers, especially insofar as they reveal the quality of Research, including alternate and emerging forms of Scholarship and digital contexts;
 - b) other forms of creative achievement in areas that are directly relevant to a Faculty Member's discipline;
 - c) awards and fellowships granted by the University and institutions other than the University;
 - d) documented activities, outputs and impact, related to community-engaged Scholarship, including the development of long-term relationships with communities;
 - e) recognition of appointments to professional and scholarly adjudicatory or review boards or councils at federal, provincial and university levels;
 - f) recognition by learned and professional societies; and
 - g) evidence of reputation for scholarship that the Faculty Member establishes among professional colleagues at the University and at other academic and professional institutions.
- 25.18 The evaluation of Research will be conducted on the basis of a Faculty Member's curriculum vitae, except where otherwise provided for in this Agreement.

Evaluation of Scholarly Activity (Teaching Stream)

- 25.19 Scholarly Activity means activities which enhance teaching ability or effectiveness including: continuing mastery of one's field of knowledge and awareness of current scholarship in one's own and closely related fields and the nature, quality, and extent of one's own work; independent research on the scholarship of teaching and learning; and activities enhancing one's ability to engage in research-enriched teaching, as described in the FEP and Unit Standard applicable to the Faculty Member.
- 25.19.1 Scholarly Activity includes, but is not limited to, disciplinary research, discipline based education research, clinical research, and community-engaged research. It also includes the use of scholarly methods in the development of courses, programs or curricula and the scholarship of teaching and learning. A Member may be assessed as "meeting" or "exceeding" expectations in Scholarly Activity, and can meet Scholarly Activity requirements for Reappointment, Promotion, and Tenure, through any combination of the types of Scholarly Activity described in s. 25.19.
- 25.20 Scholarly Activity will be evaluated, in accordance with the FEP and Unit Standard, in all possible manifestations and may include, but is not limited to, the following:
- a) peer-reviewed publications and scholarly papers, especially insofar as they reveal the quality of research, including alternate and emerging forms of Scholarship and digital contexts;
 - b) other forms of creative achievement in areas that are directly relevant to a Faculty Member's discipline;

- c) awards and fellowships granted by the University and by institutions other than the University;
- d) documented activities, outputs and impact, related to all types of Scholarly Activity including, but not limited to, educational leadership and community-engaged scholarship, including the development of long-term relationships with communities;
- e) recognition of appointments to professional and scholarly adjudicatory or review boards or councils at federal, provincial and university levels;
- f) bibliographical work related to the Member's teaching or discipline;
- g) recognition by learned and professional societies; and
- h) evidence of reputation for Scholarly Activity that the Faculty Member establishes among professional colleagues at the University and at other academic and professional institutions.

25.21 The evaluation of Scholarly Activity will be conducted on the basis of a Faculty Member's curriculum vitae, except where otherwise provided for in this Agreement or the Faculty Evaluation Policy.

Evaluation of Service

- 25.22 Service means contributions to the Department, Faculty, University, one's discipline(s), or the community that are described in the FEP and the Standard for the Unit in which the Faculty Member holds an appointment. Service may include, but is not limited to, the following:
- a) contributions through service to the development of the Faculty Member's Academic Unit or Faculty, including through peer reviews of Teaching;
 - b) service in a defined administrative position within the Department or School, Faculty, or a centre or institute;
 - c) contributions through service to the University or the Association;
 - d) contributions to student life in relation to their academic success with specific recognition of support and mentorship provided to students from marginalized groups;
 - e) attainment of extra-University recognition of a Faculty Member's University related activities; and
 - f) professional or academic contributions to the Faculty Member's profession or community, including membership on boards or councils devoted to research and professional affairs, and in certain fields the extent to which the Faculty Member's professional services are in demand by academic, professional and community organizations outside the University.

Libraries Evaluation Policy

- 25.23 The University Libraries must have a Libraries Evaluation Policy (LEP) for the evaluation of Librarians. The LEP shall be reviewed by the Libraries within four (4) months of a new Agreement being ratified. Any amendments consequent upon that review shall be developed in consultation between the University Librarian or designate and Librarian Members. The LEP shall be ratified by electronic vote by 60% of votes cast by the Members in the Libraries, as well as approved by the University Librarian and the Vice-President Academic and Provost. The LEP shall include:

- a) a description of evaluation criteria for each of the following components within Professional Responsibilities:
 - i) Professional Performance, which means the performance of duties and responsibilities principally including: organizing, managing and facilitating access to library resources; providing reference, consultative, instructional and research services; developing, organizing, and maintaining the Libraries' collections and information systems, including digital initiatives; community-engagement activities; developing and maintaining archival acquisition strategies and archival records management frameworks; contributing to the Libraries' Indigenization, decolonization, and anti-racism initiatives; managing human and financial resources and contributing to library administration; identifying and implementing new initiatives in the libraries; and, where appropriate, incorporating Indigenous methods, practices, pedagogies, experience and/or Indigenous Knowledge systems.
 - ii) Scholarly and Professional Achievement, which may include research (including community-engaged research) in an academic subject, archival studies or librarianship; digital initiatives; the dissemination of the results of such research; completion of advanced degrees and other relevant educational programs; planning, implementing, or participating in workshops or conferences; decolonization initiatives; participation in scholarly, library, or professional associations; or community-university engagement.
 - iii) Service, which may include participation in the work of committees of the Libraries, the University, Faculties, and Departments; in the Association; professional or academic contributions to the Member's profession or community; and in other activities that contribute to the quality of the academic life of the University or community-university engagement.
- b) a clear and detailed description of the assessment techniques to be used in making evaluations;
- c) a clear and detailed description of the mechanism or process by which the University Librarian will allocate Performance Pay Increments (PPIs) once the Supervising Librarians have advanced their ranked lists to the University Librarian;
- d) specific provision for the removal of any period of approved leave under this Agreement from the assessment period and the consequent pro-rata reduction of expectations in accordance with s. 25.27.

25.24 A Limited-Term Librarian is evaluated for Reappointment on the basis of Professional Performance as stated in s. 27.11. This evaluation takes place at least one (1) month before the end of the Librarian's term. The supervising Librarian, or their delegate, will meet with the Limited-Term Librarian and prepare a written evaluation based on the evaluation criteria in the Libraries' Evaluation Policy and this Agreement.

25.25 An Hourly Librarian is evaluated for reappointment on the basis of Professional Performance. At least one (1) month before the end of the Hourly Librarian's term, the supervising Librarian, or their designate, will meet with the Hourly Librarian and prepare a written evaluation of the Hourly Librarian's Professional Performance based on the evaluation criteria in the Libraries' Evaluation Policy and this Agreement.

Expectations with Regard to Assessment

- 25.26 Members should be assessed taking into account their stage of career.
- 25.27 Assessment of performance against evaluation criteria must take into consideration the presence of any Reduced Workload or Alternative Workload arrangement or any approved leave or reduced period of service applicable to a Member during the evaluation period. Such arrangements shall not impact the qualitative expectations for performance, but shall alter the quantitative expectations pro-rata to the Normal Workload expectation. For assessment with respect to Reappointment, Continuing Appointment, Tenure and Promotion where the standard to be achieved is absolute, that standard must be achieved notwithstanding a Reduced or Alternative Workload.
- 25.28 In any assessment of a Faculty Member by the Home Unit, the chair of the relevant evaluation committee shall consult with the Chair of any other Unit in which the appointment is jointly held, or where the Faculty Member has undertaken Academic Responsibilities, for detailed written feedback on the Faculty Member's success in meeting applicable assessment criteria.

Curriculum Vitae

- 25.29 Faculty Members shall maintain a curriculum vitae, in a form prescribed in the FEP, that records their achievements in their Academic Responsibilities. The Faculty Member shall update their curriculum vitae and provide a copy to their Chair and Dean annually, no later than January 31. The Chair shall maintain a copy of the Member's curriculum vitae for public access.
- 25.30 Librarians shall maintain a curriculum vitae, in a form prescribed in the LEP, that records their achievements in their Professional Responsibilities. The Member shall update their curriculum vitae and provide a copy to the University Librarian annually, no later than January 31. The University Librarian shall maintain a copy of the Member's curriculum vitae for public access.

Teaching Dossier

- 25.31 Faculty Members shall maintain a teaching dossier, in a format determined by the Faculty Evaluation Policy, for the purposes of career progress that documents their Teaching performance. The teaching dossier will be provided to their Chair by a date set in the Faculty Evaluation Policy.

Use of Course Experience Surveys

- 25.32 Evidence of students' experience of a Member's Teaching shall include the generated frequency distribution reports of all course experience surveys administered during the period of review, in accordance with the Evaluation Policy of the Faculty in which the Faculty Member holds an appointment, or the Faculty in which the course is offered, and any relevant Department policies; however, anecdotal or subjective student comments will be included only if the Faculty Member chooses to include them.
- 25.33 In addition to the data specified in s. 25.32, evidence of students' experience of a Member's Teaching may include complete aggregated statistical results of all course experience questionnaires administered by the Faculty Member in a course during the period of review; however, the Faculty Member is not obliged to submit or include anecdotal or subjective student comments.
- 25.34 When course experience survey results are utilized in evaluation, it shall be on the basis of a frequency distribution and not an average or mean. Committees shall give consideration to

factors impacting the validity of the data including, but not limited to, response rate and empirically proven bias.

- 25.35 A Faculty Member may choose to include or not include anecdotal or subjective comments by students or former students in their teaching dossier. Where such comments are included that have been collected as part of a survey of students in a course, all the comments from that course must be included in the Faculty Member's teaching dossier.

Salary Adjustment Evaluation

- 25.36 Every Evaluation Policy must contain provisions for evaluation for salary adjustment and, in particular, must provide as relevant:

- a) that Research Stream Faculty Members be evaluated on the components listed in s. 25.9, s. 25.17, and s. 25.22;
- b) that Teaching Stream faculty be evaluated on the basis of the components listed in s. 25.9, s. 25.20; and s. 25.22; and
- c) that Librarians be evaluated on the components listed in s. 25.23.

- 25.37 Subject to s. 25.37.1 and s. 25.37.2, the evaluation ratio shall be the Normal Workload ratio (as stated in Article 13 *Standards, Workload and Assignment Practice*).

25.37.1 Where an Alternative Workload arrangement has been in effect during the period of evaluation, the components of the Member's Workload shall be evaluated on a ratio which is the weighted average of those ratios in the period.

25.37.2 An alternative evaluation ratio, which is different than the Member's Workload ratio, may be requested by the Member and approved by the Dean or University Librarian. Such a request shall be made at least three (3) months in advance of the commencement of the evaluation process. Such requests will be granted where the alternative evaluation ratio requested reflects alterations in Workload caused by a Study Leave, an Alternative Workload arrangement or by unanticipated fluctuations in a Member's duties during the period since last review.

26. Review of Career Progress

- 26.1 The purpose of the review of career progress is to provide formative feedback on a Faculty Member's progress toward Tenure or Continuing Appointment.

Faculty Members with Eligibility for Tenure or Continuing Appointment

- 26.2 By May 15 of each year the Chair will meet with each Faculty Member whose appointment carries eligibility for Tenure or Continuing Appointment to discuss:
- a) the current performance expectations of the Unit with regard to attaining Tenure or Continuing Appointment, as appropriate;
 - b) the Faculty Member's performance during the preceding 12 months and since the Faculty Member's initial appointment in relation to the current performance expectations of the Unit;
 - c) any concerns that the Chair has with regard to the Faculty Member's performance;
 - d) methods or resources that may assist the Faculty Member to enhance their performance; and
 - e) the Faculty Member's activity plan in respect of Academic Responsibilities for the forthcoming year.
- 26.3 After the conclusion of the discussion, the Chair will prepare a written annual evaluation (Annual Review) that summarizes the discussion and provides feedback and guidance to each Faculty Member and that addresses each of the criteria for achieving Tenure or Continuing Appointment, as appropriate.
- 26.4 The written Annual Review, signed by the Chair, will be sent to the Faculty Member by May 31. The Faculty Member will review the letter. Where they agree with the content, they shall sign the letter and return it to the Chair and a copy of the Annual Review with both signatures will be placed in the Faculty Member's Official Performance File.
- 26.5 Where the Faculty Member disagrees with the content, the Faculty Member may send a written response to the Chair who will place the response in the Faculty Member's Official Performance File.
- 26.6 Where a Faculty Member does not sign the letter because they believe that their Annual Review is inaccurate or unfair, the Faculty Member may, within 30 days of receiving the Annual Review, submit a request for a reassessment to the Chair. A request for a reassessment will include:
- a) a copy of the Annual Review;
 - b) a written statement that specifies the portions of the Annual Review that are believed to be inaccurate or unfair and describes how those portions are inaccurate or unfair; and
 - c) copies of any documents that will support the Faculty Member's assertions.
- 26.7 Upon receiving the request for a reassessment, the Chair may revise the Annual Review in a manner that removes some or all of the inaccuracies or unfairness identified by the Faculty Member and the revised Annual Review will be substituted in place of the initial Annual Review. A copy of the revised Annual Review will be sent to the Faculty Member.

- 26.8 Where the Chair declines to revise the Annual Review or the Faculty Member is not satisfied with the revisions, the Faculty Member may request that it be examined by a reviewer. If the Faculty Member and the Chair can agree upon a suitable reviewer, such person will undertake a review. If agreement cannot be reached with regard to who will undertake the review, the Dean of the Faculty (Vice-President Academic and Provost in the case of a non-departmentalized Faculty) will appoint the reviewer. The function of the reviewer is to review the Faculty Member's performance and determine whether the Annual Review contains any inaccuracies or unfair comments that should be corrected.
- 26.9 The reviewer will meet individually with the Faculty Member and the Chair to discuss the content of the Annual Review in relation to the Faculty Member's performance.
- 26.10 Where the reviewer concludes that the initial or revised Annual Review was accurate and fair, the Faculty Member and the Chair will be informed of this conclusion in writing. Where the reviewer concludes that the initial or revised Annual Review was inaccurate or unfair, the reviewer will prepare a written report that identifies any inaccuracies or unfairness. A copy of the reviewer's report will be sent to the Faculty Member and the Chair and a copy of the report will be placed in the Faculty Member's Official Performance File together with a copy of the initial or revised Annual Review.

Faculty Members with Tenure or Continuing Appointment

- 26.11 Upon the request of a Faculty Member, or upon the initiative of the Chair, an annual meeting will be held to discuss the Faculty Member's career progress. Upon the request of a Faculty Member, or upon the initiative of the Chair, the latter will provide the Faculty Member with a written summary of the discussion.

Annual Evaluation of Librarians

- 26.12 A Librarian and their supervising Librarian will meet annually to discuss the Librarian's performance based on the Libraries Evaluation Policy.
- 26.13 Within 10 Working days after the meeting, the supervising Librarian will give a written evaluation of the Librarian's performance to which the Librarian may respond in writing.
- 26.14 A copy of the written evaluation and any response will be placed in the Librarian's Official Performance File.
- 26.15 Any forms and procedures used in annual evaluations will be consistent with the Libraries Evaluation Policy as provided for in s. 25.23 and will be developed and revised by mutual agreement between the University Librarian and the Librarians.

Part 6: Reappointment, Promotion and Tenure

27. Reappointments

Assistant Professor

- 27.1 An Assistant Professor who holds an appointment with eligibility for Tenure is eligible for Reappointment for a term that does not extend beyond the year in which the Faculty Member must formally be considered for Tenure.
- 27.2 An Assistant Professor is evaluated for Reappointment on the basis of:
- a) Teaching effectiveness since being appointed to the University;
 - b) Research and scholarly achievements during their career; and
 - c) Service since being appointed to the University.
- 27.3 An Assistant Professor under consideration for Reappointment must demonstrate that they have a record of performance that meets or exceeds the expectations for Reappointment in the Faculty Evaluation Policy and their Unit Standard and is making reasonable progress toward meeting the expectations in their Unit Standard with regard to the granting of Tenure and Promotion to Associate Professor rank. Where this is achieved, there is an expectation of Reappointment.
- 27.4 When an application for Reappointment by an Assistant Professor with eligibility for Tenure is unsuccessful, the Faculty Member will be offered a terminal appointment for one year. Alternatively, the Faculty member may request immediate termination with six months' salary, on consent of the Dean.

Assistant Teaching Professor

- 27.5 An Assistant Teaching Professor who holds an appointment with eligibility for Tenure is eligible for Reappointment for a term that does not extend beyond the year in which the Faculty Member must formally be considered for Tenure.
- 27.6 An Assistant Teaching Professor is evaluated for Reappointment on the basis of:
- a) Teaching effectiveness since being appointed to the University;
 - b) Scholarly Activity during their career; and
 - c) Service since being appointed to the University.
- 27.7 An Assistant Teaching Professor under consideration for Reappointment must demonstrate that they have a record of performance that meets or exceeds the expectations for Reappointment of an Assistant Teaching Professor in the Faculty Evaluation Policy and their Unit Standard and is making reasonable progress toward meeting the expectations in their Unit Standard with regard to the granting of Tenure and Promotion to Associate Teaching Professor rank. Where this is achieved, there is an expectation of Reappointment.
- 27.8 When an application for Reappointment by an Assistant Teaching Professor is unsuccessful, the Faculty Member will be offered a terminal appointment for one year. Alternatively, the Faculty member may request immediate termination with six months' salary, on consent of the Dean.

Limited-Term Appointment

27.9 A person holding a Limited-Term appointment is eligible to be considered for Reappointment; however, there is no right of renewal or Reappointment.

27.10 A person holding a Limited-Term appointment may be Reappointed where:

- a) the Reappointment is within the circumstances described in s. 20.6 or s. 20.7;
- b) in the case of a Limited-Term Faculty Member who will be assigned Teaching responsibilities, the candidate must demonstrate they have a record of performance that meets or exceeds the standard for Teaching effectiveness in their Unit at the Member's rank; and
- c) in the case of a Limited-Term Faculty Member who will be primarily engaged in Research, the candidate must demonstrate they have a record of performance in Research that meets or exceeds the standard for Research in their Unit at the Member's rank. The requisite funds, resources and physical space must also be available to continue the Research.

Limited-Term and Hourly Librarians

27.11 A Librarian appointed for a limited term or on an hourly basis, whose performance consistently meets the expected standard, may be Reappointed for one or more additional limited terms.

28. Tenure and Promotion (Research Stream)

- 28.1 The provisions in this Article apply only to Research Stream Faculty Members.
- 28.2 Only Research Stream Faculty Members whose appointment letter provides that they are appointed with eligibility for Tenure may apply for Tenure.
- 28.2.1 A candidate seeking Tenure at time of Reappointment must first be assessed as meeting the criteria for Reappointment before consideration for Tenure can be given.
- 28.3 When a Promotion and/or Tenure reconsideration process under Article 33 *Consideration Process for Appointment, Reappointment, Promotion and Tenure* continues past the employment end date of a candidate, the candidate's employment shall be maintained until such time as the reconsideration is completed.
- 28.4 When a Faculty Member is considered for Tenure in the final year of eligibility for Tenure and is denied Tenure, the Faculty Member will be offered a terminal appointment for one year. Alternatively, the Faculty member may request immediate termination with six months' salary, on consent of the Dean.

Deadlines for Consideration and Application for Promotion and Tenure

- 28.5 Subject to Article 31 *Stopping the Clock* and Appendix G – *LOU: Collective Agreement Adjustments and Considerations in Response to COVID-19 Impacts*, an Assistant Professor with eligibility for Tenure must be considered for Promotion and Tenure not later than the sixth year in this rank at the University.
- 28.6 Subject to Article 31 *Stopping the Clock* and Appendix G – *LOU: Collective Agreement Adjustments and Considerations in Response to COVID-19 Impacts*, an Associate Professor or Professor with eligibility for Tenure will be considered for Tenure not later than the fourth year in this rank at the University.
- 28.7 When the effective date of an initial appointment is after September 1, the period between the effective date of the appointment and the following June 30 is not counted in determining the year when the Faculty Member must be considered for Tenure.
- 28.8 A Faculty Member may apply for Tenure/Tenure and Promotion before the deadline specified in s. 28.5 or s. 28.6.
- 28.9 When an application for Tenure/Tenure and Promotion under s. 28.8 is denied and the Faculty Member's appointment continues beyond the Academic Year in which the application was denied, the Member may only apply again at the normal deadline.
- 28.10 When an application under s. 28.8 is denied and the Member's appointment does not continue beyond the Academic Year in which the application was denied, s. 28.4 shall apply.

Associate Professor with Tenure

- 28.11 An Assistant Professor who is promoted to Associate Professor is granted Tenure.
- 28.12 To become a Tenured Associate Professor, a Faculty Member must meet the requirements in s. 21.6 and have a record of performance in each of their Academic Responsibilities that meets or exceeds the criteria for Promotion as indicated in the Unit Standards. The record shall show

positive performance for a minimum of two years in their current rank while at the University of Victoria, unless an exception is granted by the Dean. The candidate's record shall evidence:

- a) Teaching effectiveness and a continued commitment to excellence in teaching;
- b) Research that has made a substantial contribution to their academic discipline; and
- c) Service that furthers the goals of the University and the Faculty Member's academic discipline.

Professor with Tenure

28.13 An untenured Associate Professor who is promoted to Professor is granted Tenure.

28.14 To become a Tenured Professor, a Faculty Member must meet the requirements in s. 21.9, and have a record of performance in each of their Academic Responsibilities that meets or exceeds the criteria for Promotion as indicated in the Unit Standards. The record shall show positive performance for a minimum of two years in their current rank while at the University of Victoria, unless an exception is granted by the Dean. In addition to the requirements in s. 21.9, the record of performance must evidence outstanding achievements, as defined in the Unit Standard, with regard to either:

- a) Teaching; or
- b) Research that has attained recognition at a national or international level.

28.15 When an application for Promotion to Professor is denied, the Member may only apply again after two years.

Reduced or Alternative Workload

28.16 A Member with eligibility for Promotion or Tenure whose appointment has been based on a Reduced Workload or an Alternative Workload will be evaluated in accordance with s. 25.27.

Right of Withdrawal

28.17 A Faculty Member may withdraw an application for Promotion and/or Tenure at any time before the Dean makes a recommendation with regard to the application. When an application is withdrawn, the limitations for reapplication under s. 28.9 and s. 28.15 shall apply.

29. Tenure and Promotion (Teaching Stream)

- 29.1 The provisions in this Article apply only to Teaching Stream Faculty Members.
- 29.2 Subject to the *Letter of Understanding: Conversion to Tenure for Teaching Stream*, only Teaching Stream Faculty Members whose appointment letter provides that they are appointed with eligibility for Tenure may apply for Tenure.
- 29.2.1 A candidate seeking Tenure at time of Reappointment must first be assessed as meeting the criteria for Reappointment before consideration for Tenure can be given.
- 29.3 When a Promotion and/or Tenure reconsideration process under Article 33 *Consideration Process for Faculty Reappointment, Continuing Appointment, Promotion and Tenure* continues past the employment end date of a candidate, the candidate's employment shall be maintained until such time as the reconsideration is completed.
- 29.4 When a Faculty Member is considered for Tenure in the final year of eligibility for Tenure and is denied Tenure, the Faculty Member will be offered a terminal appointment for one year. Alternatively, the Faculty member may request immediate termination with six months' salary, on consent of the Dean.

Deadlines for Consideration and Application for Promotion and Tenure

- 29.5 Subject to Article 31 *Stopping the Clock* and Appendix G – *LOU: Collective Agreement Adjustments and Considerations in Response to COVID-19 Impacts*, an Assistant Teaching Professor with eligibility for Tenure must be considered for Promotion and Tenure not later than the sixth year in this rank at the University.
- 29.6 Subject to Article 31 *Stopping the Clock* and Appendix G – *LOU: Collective Agreement Adjustments and Considerations in Response to COVID-19 Impacts*, an Associate Teaching Professor or Teaching Professor with eligibility for Tenure will be considered for Tenure not later than the fourth year in this rank at the University.
- 29.7 When the effective date of an initial appointment is after September 1, the period between the effective date of the appointment and the following June 30 is not counted in determining the year when the Faculty Member must be considered for Tenure.
- 29.8 A Faculty Member may apply for Tenure/Tenure and Promotion before the deadline specified in s. 29.5 or s. 29.6.
- 29.9 When an application for Tenure/Tenure and Promotion under s. 29.8 is denied and the Faculty Member's appointment continues beyond the Academic Year in which the application was denied, the Member may only apply again at the normal deadline.
- 29.10 When an application under s. 29.8 is denied and the Member's appointment does not continue beyond the Academic Year in which the application was denied, s. 29.4 shall apply.

Associate Teaching Professor with Tenure

- 29.11 An Assistant Teaching Professor who is promoted to Associate Teaching Professor is granted Tenure.
- 29.12 To become a Tenured Associate Teaching Professor, a Faculty Member must meet the requirements in s. 21.15 and have a record of performance in each of their Academic

Responsibilities that meets or exceeds the criteria for Promotion as indicated in the Unit Standard. The record shall show positive performance for a minimum of two years in their current rank while at the University of Victoria, unless an exception is granted by the Dean. The candidate's record shall evidence:

- a) excellence in Teaching, including initiative in the development or delivery of the academic program of the candidate's Unit as described in s. 25.9;
- b) Scholarly Activity, as described in 25.19, that contributes to their disciplinary field or Scholarship of Teaching; and/or leadership in the improvement of teaching at the Department, Faculty or University level;
- c) Service that furthers the goals of the University and the Faculty Member's academic discipline.

Teaching Professor with Tenure

29.13 An untenured Associate Teaching Professor who is promoted to Teaching Professor is granted Tenure.

29.14 To become a Tenured Teaching Professor, a Faculty Member must meet the requirements under s. 21.18 and have a record of performance in each of their Academic Responsibilities that meets or exceeds the criteria for Promotion as indicated in the Unit Standard. The record shall show positive performance for a minimum of two years while at the University of Victoria, unless an exception is granted by the Dean. The candidate's record shall evidence outstanding achievements, as defined in the Unit Standard, in either:

- a) Teaching; or
- b) Scholarly Activity, as described in s. 25.19, that contributes to their disciplinary field or the Scholarship of Teaching that has attained national or international recognition; and/or substantial leadership in the improvement of teaching in the candidate's Department, Faculty or in the University.

29.15 When an application for Promotion to Teaching Professor is denied, the Member may only apply again after two years.

Reduced or Alternative Workload

29.16 A Member with eligibility for Promotion and/or Tenure whose appointment has been based on a Reduced Workload or an Alternative Workload will be evaluated in accordance with s. 25.27.

Right of Withdrawal

29.17 A Faculty Member may withdraw an application for Promotion and/or Tenure at any time before the Dean makes a recommendation with regard to the application. When an application is withdrawn, the limitations for reapplication under s. 29.9 and s. 29.15 shall apply.

30. Promotion: Librarians

- 30.1 Promotion, or advancement in rank, is not automatic. It is based on an appraisal of performance of a Librarian in relation to the specifications for each rank. Assumption of increased administrative responsibility will not necessarily result in advancement in rank, nor will such advancement depend solely on the assumption of administrative responsibility.
- 30.2 For probationary appointments at the rank of Librarian I, Promotion to the rank of Librarian II is recommended to the Vice-President Academic and Provost by the University Librarian upon satisfactory completion of the probationary period specified in the letter of appointment.
- 30.3 A person holding a confirmed Regular Librarian Appointment as a Librarian II may apply for Promotion to the rank of Librarian III after the completion of at least five years of successful performance at the rank of Librarian II at the University or at an equivalent rank at a similar institution.
- 30.4 A person holding a confirmed Regular Librarian Appointment as a Librarian III may apply for Promotion to the rank of Librarian IV after the completion of at least seven years of successful performance at the rank of Librarian III at the University of Victoria or at an equivalent rank at a similar institution.
- 30.5 A Librarian with a confirmed Regular Librarian Appointment may apply for Promotion to Librarian III or IV before the completion of years of service specified in s. 30.3 or s. 30.4. In that case, the application may be granted only upon demonstration of exceptional performance, which may include the holding or attainment of additional relevant degrees or academic qualifications. Degrees or qualifications which were the basis for Promotion or appointment to a lower rank will not be considered in this respect.
- 30.6 For purposes of calculating years in rank in s. 30.3 or s. 30.4, a Librarian appointed to the University or promoted between July 1 and March 31 will be deemed to have been appointed or promoted on the previous July 1, and a Librarian appointed or promoted between April 1 and June 30 will be deemed to have been appointed or promoted on the following July 1.

Standards for Promotion

- 30.7 A Librarian holding the rank of Librarian II who applies for Promotion to Librarian III requires:
- a) full professional competence and expertise demonstrated by a record, over a number of years, of significant achievement in Professional Performance at the Librarian II level; and
 - b) regular and substantive involvement in Scholarship and Professional Activities and Service.
- 30.8 A Librarian holding the rank of Librarian III who applies for Promotion to Librarian IV requires:
- a) a high level of professional expertise and an excellent record of Professional Performance at the Librarian III level;
 - b) a significant and sustained contribution over a substantial period of time in Scholarship and Professional Activities and in Service; and
 - c) evidence of initiative, leadership, creativity, and the ability to perform independently in professional activities of a complex nature.

Advisory Committee on Ranks and Promotions

- 30.9 An Advisory Committee on Ranks and Promotions (ACRP) consisting of five Librarians holding confirmed Regular Librarian Appointments will be constituted to consider applications and make recommendations for Promotion to Librarian III or Librarian IV, to make recommendations to the University Librarian regarding the assignment of rank at the time of any initial appointment, and to make recommendations to the University Librarian regarding decisions of supervising Librarians relating to the removal of any probationary period. The ACRP will consist of:
- a) three (3) Members to be elected by Librarians holding confirmed Regular Librarian Appointments, for staggered three-year terms;
 - b) two Librarians, which may include those in Librarian Excluded positions to be appointed by the University Librarian for staggered two-year terms;
 - c) where none of the members in a) or b) are Indigenous, at the request of an Indigenous candidate, an Indigenous Librarian Member. Where an Indigenous Librarian Member is not available internally, the candidate shall provide a list of names of Indigenous Librarians external to the University for consideration by the University Librarian, who shall appoint;
 - d) anyone appointed under s. 30.20.
- 30.10 All committee members must have received University designated mandatory training in effective employment equity practices and current institutional expectations with respect to equity, diversity, inclusion, anti-racism, Indigenization and decolonization prior to the commencement of the committee's work.
- 30.11 Librarians in Librarian Excluded positions are not eligible to vote in the election of Librarians to the ACRP or be elected to the ACRP, but may vote as appointees of the University Librarian. In making appointments to the ACRP, the University Librarian will give consideration to the representative nature of the committee with a view to achieving as fair a balance as possible in the representation of service and the administrative levels of the Libraries.
- 30.12 The ACRP will select one of its members to chair its meetings.
- 30.13 A Librarian holding a confirmed Regular Librarian Appointment, elected by all Librarians with Regular Librarian Appointments shall be the Observer. The Observer shall attend the meetings of the ACRP and shall ensure proper procedure, but shall not speak on the suitability of a candidate for Promotion nor vote.
- 30.14 The University Librarian has the right to attend meetings of the ACRP, but in such cases may only speak on matters regarding procedure and not on the suitability of a candidate for Promotion.
- 30.15 In the event that a member of the ACRP has a Conflict of Interest or there is a Reasonable Apprehension of Bias on any matter being considered by the ACRP, that member will withdraw from the ACRP for the course of those deliberations. An elected member will be replaced by another Librarian selected by the ACRP. An appointed member will be replaced by another appointee of the University Librarian.
- 30.16 A Librarian Member who is a candidate for Promotion may, in accordance with s. 30.17, question the composition of a committee on the grounds that:

- a) a committee member has a Conflict of Interest, or the candidate has a reasonable apprehension that the committee member is biased against the candidate; or
 - b) the membership of the committee does not include a person who is knowledgeable with regard to the candidate's Professional Responsibilities.
- 30.17 A question under s. 30.16 must be provided to the University Librarian in writing and must be made within ten (10) Working days after the Librarian Member has submitted their application. If a Librarian Member does not raise a question regarding the composition of the committee within the time specified in this section, the University Librarian may refuse to consider the question, and any later decision-maker may refuse to consider the composition of the committee on the grounds that the question was not raised in a timely fashion. Further, the decision may not be grieved on the grounds that a committee member had a Conflict of Interest or there was a Reasonable Apprehension of Bias, if the question regarding the composition of the committee was not raised within the time specified.
- 30.18 The University Librarian will determine within five (5) Working days of receipt of the question whether the question raised by the Librarian Member under s. 30.16 should result in a change to the composition of the committee after receiving submissions from the candidate, the chair of the committee and, when applicable, any person whose membership on the committee has been questioned. Such persons will have two (2) Working days to respond to the University Librarian's request for submissions. While the University Librarian is considering the matter, the committee will not undertake any discussion of the candidate.
- 30.19 Where the University Librarian agrees that the composition of the committee should be changed for reasons under s. 30.16 a), the University Librarian will direct the committee to replace the Librarian Member.
- 30.20 Where the University Librarian agrees that the composition of the committee needs augmentation for reasons under s. 30.16 b), the University Librarian will, following discussion with the committee chair, direct the chair to appoint a member to the committee who is knowledgeable with regard to the candidate's Professional Responsibilities.
- 30.21 All decisions of the ACRP will be made by secret ballot and will be by vote of the majority. All discussions by members of the ACRP are confidential. Members of the committee must not disclose or discuss the committee proceedings, opinions expressed during the committee proceedings, or the committee's recommendations, except as otherwise provided in this Agreement, or as required by law.

Application for Promotion

- 30.22 By January 7, a Librarian who wishes to apply for Promotion will submit an application to the University Librarian and notify their supervising Librarian that the application has been submitted. A recommendation for Promotion, if approved by the Vice-President Academic and Provost, will take effect on July 1 of the same year. The application will be accompanied by:
- a) a current curriculum vitae;
 - b) a statement from the candidate in support of the application that will be based on the relevant standards for Promotion in s. 30.7 or s. 30.8;
 - c) the names of not less than three referees, one of whom, in the case of an application for Librarian IV, will be from outside the University Libraries; and

- d) other documentation which the candidate wishes to have considered.
- 30.23 The candidate's supervising Librarian will prepare a written statement of evaluation of the candidate's suitability for Promotion. If the supervising Librarian does not support the application, the statement will contain advice that will assist the Librarian to enhance their suitability for Promotion. The supervising Librarian will provide a copy of the statement to the University Librarian and the candidate by January 15.
- 30.24 If the supervising Librarian's statement does not support the application, the candidate may withdraw an application before it has been referred to the ACRP. Otherwise, the University Librarian will refer the application and supporting documentation, including the supervising Librarian's statement, to the ACRP by February 1.

Advisory Committee Procedure

- 30.25 The ACRP will consider:
 - a) all documentation included with the application;
 - b) letters of reference from at least three referees named by the candidate; and
 - c) at its discretion, additional letters of reference from referees selected by the ACRP.
- 30.26 The ACRP will be provided with and will consider copies of the following documentation placed in the candidate's Official Performance File since the candidate's appointment or last Promotion, whichever is the more recent:
 - a) evaluation reports and other documents related to Professional Performance, Scholarly and Professional Achievement, and Service; and
 - b) where applicable the candidate's written comments on any of the documents.
- 30.27 At least ten days prior to a meeting at which the candidate's application will be considered, the ACRP will provide the candidate with a list of all the documents, other than those included with or referred to in the candidate's application, that the ACRP will consider in relation to the application. If requested by the candidate, within three Working days the chair of the ACRP will provide the candidate with a copy of any document on the list, except for a confidential letter of reference. In the case of such a letter, a summary of the letter that does not disclose the author will be provided to the candidate unless the author was informed when the letter was solicited that the letter might be made available to the candidate and attributed to the author, and the author has agreed.
- 30.28 The ACRP may request and consider additional documents that the ACRP considers relevant to the application, but must provide a copy of any such document to the candidate at least three Working days prior to the meeting of the ACRP at which the document will be considered.
- 30.29 A candidate may submit a written response to the complete list of documents mentioned in s. 30.27 to the chair of the ACRP not later than five Working days after receiving the list of documents. A candidate may submit a written response to any additional documents mentioned in s. 30.28 to the chair of the ACRP not later than three Working days after receiving a copy of such a document. The chair of the ACRP will provide a copy of any written response from the candidate to each member of the ACRP prior to a meeting at which the documents to which the response relates will be considered.

- 30.30 The ACRP will interview the candidate. An Indigenous candidate may attend with an Indigenous academic colleague, Elder, or Knowledge Keeper/Holder to support and/or speak on behalf of the candidate. The ACRP may conduct other interviews it considers relevant. At least five days before any such interviews are held, the ACRP must inform the candidate as to when the interviews will be held and who will be interviewed. To be eligible to vote, a member of the ACRP must have been present for any meeting between the committee and the candidate, and all of the committee's deliberations concerning a candidate.

Recommendation from ACRP

- 30.31 The ACRP will apply the relevant standards for Promotion in s. 30.7 or s. 30.8 and the criteria in s. 25.23 in assessing the application.
- 30.32 Within 5 days after conducting the ballot that determines the ACRP's recommendation, the chair of the ACRP (where appropriate with the assistance of the members of the committee) shall prepare a draft Recommendation Report that reflects the opinion of both the majority and the minority of members of the committee in a balanced way.
- 30.33 The Recommendation Report shall outline the process followed, shall provide a definitive recommendation for, or against, the application, and shall provide a summary of the committee's assessment on all factors significant to their assessment of the candidate's record in relation to the relevant criteria set out in this Agreement, the Libraries Evaluation Policy and the Standard for Librarians, together with reasons for their recommendation.
- 30.34 Prior to sending the written Recommendation Report to the candidate, the chair will send to each member of the committee a copy of:
- a) the record showing the number of votes in favour of the recommendation and the number of votes against the recommendation; and
 - b) the draft Recommendation Report with an invitation to propose changes to it within one week.
- 30.35 After considering any proposed changes and seeking the committee's agreement on the Recommendation Report the chair will sign the Recommendation Report and send a copy to the candidate and to each member of the committee.
- 30.36 The candidate shall acknowledge receipt of the Recommendation Report by signing a copy of the Recommendation Report and returning it to the chair. The candidate's signature on the Recommendation Report only constitutes an acknowledgement of the receipt of the Report. Where the candidate fails to or refuses to acknowledge receipt, the committee chair may attest to delivery.
- 30.37 The chair of the committee shall send to the University Librarian the ACRP's Recommendation Report signed by the candidate, copies of all the documents and reference to all resources considered by the committee, by April 1.
- 30.38 The candidate may send to the University Librarian a written response to the Recommendation Report no later than ten (10) days after receipt of the Report. Any allegations of Conflict of Interest or Reasonable Apprehension of Bias in relation to the committee must be raised in accordance with s. 30.16 – s. 30.20.

- 30.39 If the University Librarian finds that there have been procedural irregularities on the part of the ACRP, or that the recommendation is not consistent with the evidence presented, the University Librarian shall refer the recommendation back to the committee for reconsideration.
- 30.40 Where the file has been referred back to the committee under s. 30.39, all subsequent timelines in this Article will be extended by twenty (20) Working days.

University Librarian

- 30.41 The University Librarian is not bound by either a positive or negative recommendation of the ACRP, but must make a separate recommendation which must include reasons and which must be forwarded to the Vice-President Academic and Provost, together with the recommendation of the ACRP, by April 15.
- 30.42 The University Librarian must consider the information specified in s. 30.19 – s. 30.39 for each application for Promotion and make their own recommendation to the Vice-President Academic and Provost. The University Librarian will give detailed reasons for their recommendation and, if the University Librarian disagrees with the ACRP's recommendation, must address the reasons for that disagreement.
- 30.43 Should the University Librarian require clarification from the ACRP Committee, the University Librarian shall write to the committee with specific questions and provide a copy to the candidate.
- 30.44 The committee shall respond in writing to the University Librarian's request within five (5) Working days and shall provide a copy of that response to the candidate. The University Librarian shall provide a copy of the University Librarian's recommendation to the candidate.
- 30.45 The University Librarian shall transmit both their own recommendation and the ACRP's Recommendation Report, together with all materials considered in those assessments to the Office of Faculty Relations and Academic Administration, by April 15.
- 30.46 The candidate may submit a written response to the University Librarian's recommendation to the Office of Faculty Relations and Academic Administration no later than ten (10) Working days after receipt of the University Librarian's recommendation.

Vice-President Academic and Provost

- 30.47 Where the Vice-President Academic and Provost receives a recommendation from the University Librarian, the candidate and the University Librarian will be notified by May 15 of the Vice-President Academic and Provost's decision.
- 30.48 Where the Vice-President Academic and Provost does not accept a recommendation that a candidate be promoted, the Association may grieve the decision pursuant to the provisions of Article 47 *Dispute Resolution* and any arbitration resulting from the grievance will be referred in accordance with s. 47.21 – s. 47.24.2.

31. Stopping the Clock

- 31.1 The date by which a Faculty Member must be considered for Reappointment, Continuing Appointment or Tenure, or a Librarian for a confirmed Regular Librarian Appointment, is automatically deferred for one year if, in any of the years preceding the deadline or in the year in which the case must be considered, the Member has been granted Maternity, Parental or Adoption Leave, Special Leave, Sick Leave or Long-Term Disability Leave (LTD) or any combination thereof for 15 weeks or more. If the total number of weeks for which the Member has been granted Maternity, Parental or Adoption Leave, Special Leave, Sick Leave or Long-Term Disability Leave or any combination thereof exceeds 52 weeks then the total deferral will be: two years for more than 52 and up to 104 weeks; three years for more than 104 and up to 156 weeks; and four years for more than 156 weeks.
- 31.2 Where a Member's Academic or Professional Responsibilities have been adversely affected to a significant degree by illness, injury, disability, family responsibilities or personal circumstances:
 - 31.2.1 the Faculty Member may apply in writing to the Dean of the Faculty to defer consideration for Reappointment, Continuing Appointment or Tenure; and
 - 31.2.2 the Librarian on probationary status may apply in writing to the University Librarian to defer consideration for a confirmed Regular Appointment.
- 31.3 The Vice-President Academic and Provost, in consultation with the Dean (in the case of a Faculty Member) or the University Librarian (in the case of a Librarian) may grant a deferral for a specified period.
- 31.4 Periods of unpaid leave and Study Leave count as regular service in determining the time when a Member must be considered for Reappointment or Tenure.

Part 7: Consideration Processes

32. Committees for Appointments, Reappointments, Promotion, Tenure, and Continuing Appointment

Committee Structures

- 32.1 Each Department or non-departmentalized Faculty must have a policy outlining structures and processes for making the Unit's recommendations on Appointments, Reappointments, Promotions and Tenure/Continuing Appointment. A Unit may choose a different structure for each. The policy or any changes to it must be ratified by the Members in the Unit.
- 32.2 A Unit's committees must be structured in accordance with the following rules:
- a) except where the committee is considering joint appointments, Faculty Members holding Regular Academic Appointments who are elected from and by the Unit must form the majority of the voting members of the committee;
 - b) except where the committee is considering joint appointments or has added voting members to the committee in compliance with s. 32.2.1, the committee shall normally comprise of no fewer than three (3) voting Members and no more than nine (9) voting Members, plus alternate;
 - c) the Unit shall elect an alternate to serve on a committee when a Faculty Member on a committee is unable to serve;
 - d) the Unit may add non-voting members to the committee, as they consider appropriate in the circumstances;
 - e) in structuring any committee, the Unit may decide to select its Faculty Member representatives to represent all ranks in the Department or may decide to require Faculty Members on the committee to be of a rank higher than that of the candidate to be evaluated or may adopt any other reasonable limit or requirement for membership that the Unit decides;
 - f) in the case of a joint appointment (whether the appointment is shared by Departments in the same Faculty or in different Faculties or by a Department and a non-departmentalized Faculty), the composition of the committee will be determined by the Home Unit but:
 - i) in addition to complying with s. 32.3 the committee must include Faculty Members holding Regular Academic Appointments elected from and by each Unit to which the Faculty Member is or will be appointed and they must, together, form a majority of the committee; and
 - ii) the number of Faculty Members from each Unit should reflect the proportionate share of the joint appointment that each has;
 - g) for any case in which Tenure or Promotion may be granted to a Research Stream candidate, a maximum of one Teaching Stream Faculty Member may be appointed to the committee. Such a Member shall have Tenure or Continuing Appointment;

- h) for any case in which Continuing Appointment, Tenure or Promotion may be granted to a Teaching Stream candidate, a Unit shall appoint at least one Teaching Stream Faculty Member to the committee. Such a Member shall have Tenure or Continuing Appointment;
- i) at the request of the Member, the Associate Dean Indigenous shall co-chair the committee where the candidate under consideration is Indigenous. Where the Associate Dean Indigenous is unavailable, an Indigenous scholar whose work is in, or close to, the disciplinary area in which the candidate works will serve as the delegate of the Associate Dean Indigenous;
- j) the committee may have such additional members as the Unit considers advisable and as are approved by the Dean;
- k) in cases where committees consider community-engaged Research, Scholarly Activity, Teaching, or Service, committees shall include at least one committee member with expertise in community-engaged activities;
- l) in cases where committees consider clinical Research, Scholarly Activity, Teaching, or Service, committees shall include at least one committee member with expertise in clinical activities.

32.2.1 If, in the cases of s. 32.2 h), k) or l), a Unit does not have such a Member to serve on the committee, then the Committee shall appoint a suitable Member from another Unit as a voting member of the committee.

32.3 Every Department and non-departmentalized Faculty must develop and approve written procedures for each committee of the Unit. The Unit may amend the procedures from time to time. The procedures (or any amendments to them) must be approved by the Dean and must contain provisions:

- a) for the election or ratification of internal committee members by the Members of the Unit;
- b) ensuring that the committee will have an odd number of voting members or providing for a voting mechanism to break a tie;
- c) ensuring that committees are structured so as to be as inclusive as possible, in accordance with s. 32.2, in particular ensuring representation of qualified members from designated equity-deserving groups;
- d) governing voting procedures of the committee, including provisions to ensure that only those who have participated sufficiently in the interview, assessment and discussion processes can vote;
- e) setting rules to ensure confidentiality of the committee's work;
- f) outlining processes to ensure broad consultation within the Department where required in Appointment processes; and
- g) outlining processes to ensure effective employment equity practices.

Committee Process

32.4 All committee members must have received University designated mandatory training in effective employment equity practices and current institutional expectations with respect to

equity, diversity, inclusion, anti-racism, Indigenization and decolonization prior to the commencement of the committee's work. It is the responsibility of the Committee Chair to confirm that all committee members have completed up-to-date training in the last two years. Where the candidate engages in community-based work, committee members must also have received University designated mandatory training in evaluating such work.

- 32.5 At the outset of their work, committees must consider, lay out and record the means through which concerns related to equity will be addressed throughout the life of the committee.

Membership of a Unit's Committee

- 32.6 A Faculty Member who is on leave is not eligible to serve as a member of a committee under this Article other than in exceptional circumstances and with the approval of the Dean.
- 32.7 The Chair, or Dean or designate in the case of a non-departmentalized Faculty, is normally the chair of the Unit's committee. The chair is a voting member of the committee.
- 32.7.1 Notwithstanding s. 32.7, the duties of the chair may be delegated in accordance with s. 54.14.1 and s. 54.14.2.
- 32.7.2 Notwithstanding s. 32.7, if the chair is unable to serve, the committee shall select another Faculty Member on the committee as its chair.
- 32.8 A Faculty Member who is a candidate for Reappointment, Continuing Appointment, Tenure or Promotion may, in accordance with s. 32.9, question the composition of a committee on the grounds that:
- a) a committee member has a Conflict of Interest, or the candidate has a reasonable apprehension that the committee member is biased against the candidate; or
 - b) the membership of the committee does not include a person who is knowledgeable with regard to either the candidate's methods or field of research, or the candidate's teaching methods or lived experience as a member of an equity-deserving group.
- 32.9 A question under s. 32.8 must be provided to the Dean in writing and must be made within ten (10) Working days after the Faculty Member has been advised of the composition of the committee, or of a change in the composition of the committee. If a Faculty Member does not raise a question regarding the composition of the committee within the time specified in this paragraph, the Dean may refuse to consider the question, and any later decision-maker may refuse to consider the composition of the committee on the grounds that the question was not raised in a timely fashion. Further, the decision may not be grieved on the ground that a committee member had a Conflict of Interest or there was a Reasonable Apprehension of Bias, if the question regarding the composition of the committee was not raised within the time specified.
- 32.10 The Dean will determine within five (5) Working days of receipt of the question whether the question raised by the Faculty Member under s. 32.8 should result in a change to the composition of the committee after receiving submissions from the candidate, the Chair of the Department and, when applicable, any person whose membership on the committee has been questioned. Such persons will have two (2) Working days to respond to the Dean's request for submissions. While the Dean is considering the matter, the committee will not undertake any discussion of the candidate.

- 32.11 Where the Dean agrees that the composition of the committee should be changed for reasons under s. 32.8 a), the Dean will direct the Department to replace the Faculty Member.
- 32.12 Where the Dean agrees that the composition of the committee should be changed for reasons under s. 32.8 b), the Dean will, following discussion with the Chair, direct the Chair to ensure the committee is structured to include a member to the committee who is knowledgeable with regard to either the candidate's methods or field of research or the candidate's teaching methods or lived experience as a member of their equity-deserving group.

Search Committee

- 32.13 Where a position is to be posted such that the Home Unit of the incumbent is not known in advance, a Search Committee shall be struck under this Article.
- 32.14 The Search Committee shall undertake the responsibilities of the Appointments Committee, except that once the recommended candidate is determined and an appropriate Home Unit is ascertained, the Appointments Committee of the proposed Home Unit shall consider the appointment.
- 32.15 The chair of the Search Committee shall convene the Search Committee and shall monitor compliance with provisions of this Collective Agreement, in particular, without limitation, s. 32.3.
- 32.16 In the case of a Search Committee where several Units are involved and the appointing Unit is not known, the Search Committee shall include, but is not limited to:
- a) the Dean or Dean's designate from each involved Faculty (with voice but no vote); and
 - b) where fewer than three (3) Units are involved, the Chair/Director of any Unit which may potentially be asked to offer the appointment or in the case of a Faculty without Departments or Schools, a Member from that Unit appointed by the Dean and one further Member from the Appointments Committee of each Unit potentially involved in the hire, elected by the Appointments Committee of that Unit; or
 - c) where three (3) or more Units are involved, at least three Members appointed by the Provost from Appointments Committees of potential Units, selected for their expertise in the area of the search. In such cases, once short-listing has occurred the Search Committee shall consult with the Appointments Committee of the Unit where each short-listed candidate might be appropriately appointed.
- 32.16.1 Where the appointing Unit is not known, the Search Committee shall make a recommendation as to the most appropriate Unit(s) for the appointment of the candidate based on their disciplinary focus and shall make a recommendation to the (joint) Appointment Committee of the Unit(s) to consider an appointment, with a recommendation on rank and appointment type.
- 32.16.2 The (joint) Appointments Committee of the appointing Unit(s) may call the candidate for a secondary interview and shall decide whether to recommend the candidate to the Provost for appointment.

33. Consideration Process for Faculty Reappointment, Continuing Appointment, Promotion and Tenure

- 33.1 The Faculty Evaluation Policy may set reasonable timelines for the conduct of the processes described in this Article provided that they are not later than those required in this Article.
- 33.2 This Article does not apply to appointments processes or Reappointment of Limited Term faculty and librarians or Hourly librarians, but only to Reappointment, Continuing Appointment, Promotion and Tenure for faculty.

Commencement of Consideration Process

- 33.3 By April 15 of the year preceding the Academic Year in which a Faculty Member must be considered for Reappointment, Continuing Appointment, Promotion or Tenure, the Chair of the Faculty Member's Unit must notify the Faculty Member of the documentation that the Faculty Member will be expected to submit and, in the case of Tenure, that the Faculty Member is required to nominate referees by the dates specified.
- 33.4 By April 15 of the year preceding the Academic Year in which a Faculty Member intends to apply for Tenure and/or Promotion, a Faculty Member must so notify the Chair of the Unit in writing.
- 33.4.1 A candidate on an approved leave who will be able to engage in all aspects of the consideration process may apply for consideration during their period of leave.

Referees

- 33.5 By May 15, ranked referee nominee lists shall be exchanged. A Faculty Member who must be considered or who intends to apply for Tenure and/or Promotion in the next Academic Year shall nominate a minimum of six (6) referees. The Unit's committee shall also nominate a minimum of six (6) referees. A non-academic Indigenous referee may be nominated to comment on the file of an Indigenous Member where their qualifications or experience are appropriate to the assessment of the candidate's work.
- 33.6 For Research Stream candidates, letters of reference are used primarily to gain an external assessment of the candidate's Research. For Teaching Stream candidates, letters of reference are used primarily to gain an external assessment of the candidate's Teaching.
- 33.7 A minimum of three (3) letters of reference is required for all applications for Tenure and/or Promotion. A Faculty may specify a higher minimum for some or all types of applications in the Faculty Evaluation Policy.
- 33.7.1 In the case of a consideration for Continuing Appointment, Tenure and/or Promotion of a Teaching Stream Faculty Member, the Faculty Evaluation Policy may substitute two (2) teaching peer reviews in addition to those required under s. 33.20 d) i), no older than two (2) years, for one of the letters of reference. The peer review of Teaching process must be done in accordance with s. 25.11 – s. 25.14 and the Faculty Evaluation Policy.
- 33.8 All referees who are requested to submit a letter of reference for a Research Stream candidate must be established academics or artists within the candidate's academic discipline or profession, or within a related discipline who are at a rank equal to, or above, the rank the candidate is applying for. Any exceptions, other than those in s. 33.5 which references non-academic Indigenous experts, are subject to approval by the Dean. Referees whose familiarity

with the candidate's work does not arise through extensive prior contact with the candidate should be included where possible.

- 33.9 All referees who are requested to submit a letter of reference for a Teaching Stream candidate must be established scholars with a record of excellence in scholarship related to teaching or curriculum development, or academics within the candidate's academic discipline or profession, or within a related discipline who are at a rank equivalent to, or above, the rank the candidate is applying for. Any exceptions, other than those in s. 33.5 which references non-academic Indigenous experts, are subject to approval by the Dean. Referees whose familiarity with the candidate's work does not arise through extensive prior contact with the candidate should be included where possible.
- 33.10 Unless the Dean of the Faculty has granted approval, a referee must not hold an academic appointment at the University; be in Conflict of Interest as defined in Article 49 *Conflict of Interest and Reasonable Apprehension of Bias*; have been the supervisor of the candidate's PhD (or equivalent academic degree) or the supervisor of the candidate's post-doctorate program; or be a co-author of any of the candidate's publications or a co-investigator on any of the candidate's research projects where the work will form a significant portion of the candidate's work that will be reviewed by the referee.
- 33.10.1 Notwithstanding s. 33.10, where a Faculty Member is being considered for Promotion to Associate Teaching Professor or Teaching Professor, one (1) of the referees may hold an academic appointment at the University, but must be external to the candidate's Unit.
- 33.11 Within ten (10) days of the exchange of referee nominee lists under s. 33.5, the candidate and the committee may challenge the inclusion of referees on the other's list on the basis of Conflict of Interest or Reasonable Apprehension of Bias; or on the basis that the nominee is not qualified to assess the scholarly or creative achievements of the candidate.
- 33.12 Where a challenge to the inclusion of a referee has been made under s. 33.11 and the nominator refuses to nominate a substitute, the challenger shall state the grounds of the challenge in writing and request the Dean to determine the legitimacy of the challenge, in accordance with Article 49.

Selection of Referees and Disclosure

- 33.13 By June 1 following the nomination of the referees, the candidate must select a minimum of two (2) nominees from the Committee's list of nominees and notify the Chair of the Committee in writing of this selection. A Faculty may specify a higher minimum for some or all types of applications in the Faculty Evaluation Policy.
- 33.13.1 If the total number of nominees is less than four (4) once both the candidate and the Committee have selected nominees, the candidate will then select an additional nominee, followed by the Committee selecting an additional nominee, until four (4) nominees have been selected.
- 33.14 After the Committee has received the candidate's written notice under s. 33.13 and before June 15, the committee must select a minimum of two (2) nominees from the candidate's list of nominees and notify the candidate in writing of this selection. A Faculty may specify a higher minimum for some or all types of applications in the Faculty Evaluation Policy.

- 33.15 The selection of referees or their willingness to serve is not an appropriate factor in consideration of the candidate's application.
- 33.16 The candidate will disclose any previous or current relationship between the candidate and a person nominated as a referee including, those relationships specified in s. 33.10 and those relationships identified as potential conflicts of interest in Article 49 *Conflict of Interest and Reasonable Apprehension of Bias*. The candidate will provide a written statement of the extent of the relationship and the reason why each such referee the candidate nominates or intends to select should be used.

Invitation to Referees to Serve and Material Sent to Referees

- 33.17 By June 15, a candidate may at the candidate's discretion submit to the chair of the committee copies of, or citations to, scholarly or creative works that the candidate wishes to be made available to the referees prior to the date for distribution of materials as outlined in s. 33.22.
- 33.18 Where the candidate and the chair of the committee cannot agree on the scholarly or creative work or the evidence of Teaching performance that the referees will be requested to review, the referees will be sent copies of or citations to the scholarly or creative work and evidence of the candidate's Teaching performance that have been selected by both the candidate and by the chair of the committee.
- 33.19 Where a nominee who is invited to serve as referee either declines the invitation or does not, by August 31, signify their willingness to serve as a referee, a replacement will be selected from the same list from which the initial nominee was selected.
- 33.20 By September 1, a Faculty Member who will be considered for Reappointment, Continuing Appointment, Tenure or Promotion conferring Tenure (and by October 1, in the case of Promotion only), must submit the below documentation to the Chair. The Faculty may, in the Faculty Evaluation Policy, establish guidelines for the organization of the material listed below and require the candidate to submit a summary of any part of the material to be submitted.
- a) the candidate's curriculum vitae.
 - b) a brief orientation to the candidate's academic work that is interdisciplinary, multi-disciplinary or transdisciplinary, or involves non-traditional approaches in the discipline.
 - c) a summary of the nature and extent of the candidate's specific contributions to work that has been done in collaboration with others.
 - d) the candidate's teaching dossier which shall include, but is not limited to:
 - i) For Reappointment, a minimum of one summative peer review of Teaching from the last term taught before application. For Promotion to Associate and Professor, a minimum of two (2) summative peer reviews of Teaching, one of which must be from the last term taught before the application and the other no less than one (1) year apart and no more than 24 months apart. The peer review of Teaching process shall be done in accordance with s. 25.10 – s. 25.14 and the Faculty Evaluation Policy;
 - ii) Course reviews completed under Article 25 since last Reappointment or Promotion;
 - iii) Notwithstanding i) and ii), for the purposes of transition, the provisions of i) and ii) shall come into effect July 1, 2025. For earlier Reappointment, Continuing Appointment,

Promotion and Tenure considerations, Units shall follow the expectations related to peer review set out in the 2019-22 Collective Agreement and in their respective Faculty Evaluation Policies in effect as of July 1, 2022.

- e) copies of, or citations to, the scholarly or creative works that the candidate wants the committee and referees to consider (this must include, but is not limited to, those works provided under s. 33.23).
 - f) a summary of the candidate's major achievements during the period under review which, at the option of the candidate, may include a statement of any special circumstances during the period under review which may have affected the candidate's achievements during the period under review (for specific guidance re COVID-19 impacts see Appendix G - *LOU: Collective Agreement Adjustments and Considerations in Response to COVID-19 Impacts*).
 - g) copies of other documents that the candidate wants the committee to consider. The candidate may elect to submit a brief statement to provide context to the submission to the referees if the candidate feels this is necessary to convey an adequate picture of their achievements.
- 33.21 Subject to the requirements in s. 33.20, a committee must not require a candidate to submit any material not in the candidate's Official Performance File.
- 33.22 By September 15 in the case of Tenure (or Promotion that will confer Tenure) and by October 15 in the case of Promotion, the chair of the committee will send to the referees who have signified their willingness to serve as a referee:
- a) the information provided by the candidate as specified in s. 33.20 (except the candidate's teaching dossier in the case of Research Stream Faculty);
 - b) a statement of whether the candidate is seeking Tenure or Promotion or both;
 - c) relevant language from this Agreement;
 - d) the candidate's FEP and Unit Standard related to the criteria for Promotion and/or Tenure; and
 - e) any aspects of the decision on which the referee is asked to advise.

A copy of the body of the letter sent to referees requesting a letter of reference shall be provided to the candidate.

Information Considered

- 33.23 The information considered by the ARPT Committee will include:
- a) the criteria in the FEP, Unit Standard and this Agreement;
 - b) all items specified in s. 33.20;
 - c) letters from external referees and peer reviewers as collected under s. 33.7;
 - d) reviews of career progress and any responses to them under Article 26 *Review of Career Progress*;
 - e) evidence that supported the imposition of disciplinary action as specified in s. 46.7; and

- f) materials arising from the consideration of the application through this Article (e.g. recommendations, responses to recommendations or requests for information).
- 33.23.1 The Committee will consider the candidate's record of Research or Scholarly Activity (as appropriate to the candidate's stream) since inception; and will consider performance in Teaching and Service since appointment at the University of Victoria, and shall ensure that the candidate has a record of positive performance for at least two (2) years in current rank at the University of Victoria, unless an exception was granted by the Dean.
- 33.24 The committee cannot consider information with regard to any unsubstantiated complaint. Where the committee will consider any additional sources of information, such sources shall be specified in the Faculty Evaluation Policy or Standard for the Unit.
- 33.25 Upon request for a confidential letter of reference or evaluation report, the candidate will be provided with a copy of the letter in a form that does not identify the author. If this is not possible, a summary should be prepared in a manner that is unlikely to disclose the identity of the author.
- 33.26 At least ten (10) days before the ARPT Committee is to make a decision on the application, either the candidate or the committee may request that the candidate meet with the committee. Any such request shall be in writing and shall be documented in the application file.
- 33.26.1 If, during the decision-making process, the committee requires additional information to fairly evaluate the application or if the committee is considering a negative recommendation, the committee must request a meeting under s. 33.26. The notice requesting the candidate to meet with the committee shall clearly define what additional information is required by the committee and, where the committee is considering a negative recommendation, the specific areas of concern for the committee.
- 33.27 A candidate may make written or oral submissions to the ARPT Committee and may be assisted by a Member or, for an Indigenous candidate, an Elder or Knowledge Keeper/Holder chosen by the candidate and a representative of the Faculty Association.
- 33.28 The ARPT Committee shall take into account all of the information provided by the candidate in the evaluation of the application but may, in its discretion, ascribe differential weight to that information.

Recommendation from Department

- 33.29 Immediately after conducting the ballot that determines the Unit committee's recommendation, the chair of the committee (where appropriate with the assistance of the members of the committee) shall prepare a draft Recommendation Report that reflects the opinion of both the majority and the minority of members of the committee in a balanced way.
- 33.30 The Recommendation Report shall outline the process followed, shall provide a definitive recommendation for, or against, the application, and shall provide a summary of the committee's assessment on all factors significant to their assessment of the candidate's academic record in relation to the relevant criteria set out in this Agreement, the Faculty Evaluation Policy and the Standard for the Unit, together with reasons for their recommendation. This assessment must include an explanation of how any special circumstances presented by the candidate under s. 33.20 f) affected the committee's decision.

- 33.31 Prior to sending the written Recommendation Report to the candidate, the chair will send to each member of the committee a copy of:
- a) the record showing the number of votes in favour of the recommendation and the number of votes against the recommendation; and
 - b) the draft Recommendation Report with an invitation to propose changes to it within one (1) week.
- 33.32 After considering any proposed changes and seeking the committee's agreement on the Recommendation Report the chair will sign the Recommendation Report and send a copy to the candidate, to each member of the committee, and to the Dean.
- 33.33 The candidate shall acknowledge receipt of the Recommendation Report by signing a copy of the Recommendation Report and returning it to the chair. The candidate's signature on the Recommendation Report only constitutes an acknowledgement of the receipt of the Report. Where the candidate fails to or refuses to acknowledge receipt, the committee chair may attest to delivery.
- 33.34 The candidate may send to the Dean a written response to the Recommendation Report no later than ten (10) days after receipt of the Report. Any allegations of Conflict of Interest or Reasonable Apprehension of Bias in relation to the committee must be raised in accordance with s. 32.8 a).
- 33.35 The Chair of the committee shall send to the Dean the Unit's Recommendation Report and copies of all the documents and reference to all resources considered by the committee by the following date:
- a) Reappointment and Continuing Appointment: October 15 of the candidate's final contract year;
 - b) Tenure or Promotion which will confer Tenure: November 15 of the Academic Year in which the application is made; and
 - c) Promotion only: January 15 of the Academic Year in which the application is made.

Post-Unit Processes for Reappointment, Continuing Appointment, Promotion and Tenure

Dean's Recommendation

- 33.36 The Dean must consider the information specified in s. 33.23 for each application for Reappointment, Continuing Appointment, Tenure and/or Promotion and make their own recommendation to the President. The Dean will give detailed reasons for their recommendation and, if the Dean disagrees with the Unit committee's recommendation, must address the reasons for that disagreement. The Dean shall review the Recommendation Report to ensure that proper procedures have been followed, that all material in the file as defined in s. 33.23 has been considered, and that the recommendations are consistent with the evidence presented.
- 33.37 In reviewing the process and recommendation of the ARPT Committee, in assessing whether the recommendation is consistent with the evidence presented and in making their own assessment, the Dean will consider only the materials in s. 33.23 and s. 33.24 and any response of the candidate under s. 33.34. The Dean will apply the standards set out in the Agreement and in the Faculty Evaluation Policy and Standard for the Unit and will give substantial weight to the

judgment of experts in the relevant discipline, including both peers at the University and external referees.

33.37.1 Should the Dean require clarification from the ARPT Committee, the Dean shall write to the committee with specific questions and provide a copy to the candidate.

33.37.2 The committee shall respond in writing to the Dean's request within five (5) Working days and shall provide a copy of that response to the candidate.

33.38 If the Dean finds that there have been procedural irregularities on the part of the ARPT Committee, and/or that the recommendation is not consistent with the evidence presented, the Dean shall refer the recommendation back to the committee for reconsideration.

33.39 If the Dean finds that procedural irregularities were the result of Conflict of Interest or Reasonable Apprehension of Bias, the Dean shall direct the Chair to reconstitute the ARPT Committee.

33.39.1 Where the file has been referred back to the committee under s. 33.38 or s. 33.39, all subsequent timelines in this Article will be extended by twenty (20) Working days.

33.40 Following receipt of an acceptable Recommendation Report from the Unit, the Dean shall provide a copy of the Dean's recommendation to the candidate.

33.41 The Dean shall transmit both their own recommendation and the Unit's Recommendation Report, together with all materials considered in those assessments to the Office of Faculty Relations and Academic Administration not later than:

- a) for Reappointment and Continuing Appointment: November 15 of the candidate's final contract year;
- b) for Tenure or Promotion which confers Tenure: December 15; and
- c) for Promotion: February 15.

33.42 The candidate may submit a written response to the Dean's recommendation to the Office of Faculty Relations and Academic Administration no later than ten (10) Working days after receipt of the Dean's recommendation.

President's Consideration

33.43 The President may consult the Dean, the Vice-President Academic and Provost, the Vice-President Indigenous and/or the Vice-President Research regarding the application. Any such consultation shall be documented in writing, and this record shall be included in the file.

33.44 The President shall consider the recommendations of the ARPT Committee and the Dean and, where applicable, the advice of the Vice-President Academic and Provost, the Vice-President Research, and/or the Vice-President Indigenous. If the President is considering a decision which differs from that of the Unit committee, the President shall refer the file to the University Academic Appointments Committee. The President may refer the file to the University Academic Appointments Committee if the President is considering a decision which differs from any of the recommendations received. The President shall refer the file to the UAAC no later than:

- a) for Reappointment and Continuing Appointment: December 15 of the candidate's final contract year;

- b) for Tenure and Promotion that will confer Tenure: January 30; and
 - c) for Promotion: March 15
- 33.45 The Member will be informed within five (5) days of the file being referred to the Chair of the UAAC, and the Faculty Association will be copied on this notification.

University Academic Appointments Committee

- 33.46 By October 15, the President of the University and the President of the Faculty Association shall jointly appoint four Faculty Members, one alternate, and a Chair to the University Academic Appointments Committee (UAAC), according to the following criteria;
- a) at least three (3) seats must be filled by Research Stream Faculty Members with Tenure;
 - b) at least one (1) seat must be filled by a Teaching Stream Faculty Member with Tenure;
 - c) at least two (2) of the seats shall be filled by a Member from an equity-deserving group;
 - d) each member must normally have served a minimum of two (2) years on a committee that has considered Promotion and Tenure decisions;
 - e) where a case is referred to UAAC and either the President of the University or the President of the Faculty Association are of the view that the committee requires expertise or experience specific to the nature of an application, they shall select one (1) additional voting member for the committee reflecting that expertise or experience. In cases where the committee requires both expertise and experience, and one (1) additional member cannot provide both, two (2) additional voting members shall be added, one (1) having the necessary expertise and the other having the necessary experience.
- 33.47 UAAC members will absent themselves from any deliberations on any case where they have sat on the Unit committee that considered that case or where they are in a Conflict of Interest or there is a Reasonable Apprehension of Bias with respect to the particular candidate. Such questions shall be referred to and determined by the chair of the UAAC, who shall consult with the Office of Faculty Relations and Academic Administration. In the event a UAAC member cannot serve on a particular case, the alternate member will serve.
- 33.48 The UAAC will determine its recommendation by means of a secret ballot of members who are entitled to vote. In cases when 33.46 e) is implemented, such that there is an even number of members on the UAAC, the chair shall not vote. When there is an odd number of members on the UAAC, the chair shall vote.
- 33.49 All members of the UAAC eligible to vote must vote on the UAAC's recommendations. No abstentions, absentee or proxy votes are permitted. To be eligible to vote, a UAAC member must have been present in person or via synchronous remote communication during the whole of the proceedings of the UAAC relevant to the decision.
- 33.50 The chair must record in writing the results of the ballot conducted with regard to the recommendation. The record must include the number of votes in favour and the number of votes against.
- 33.51 All discussions by members at meetings of the UAAC are confidential. Members must not disclose or discuss the committee proceedings, opinions expressed during the committee's

proceedings, or the committee's recommendations except as otherwise provided in this Agreement or as required by law.

- 33.52 The Office of Faculty Relations must retain the records of the committee, including any ballots cast, as required by University policies.
- 33.53 When the UAAC reviews an application, the UAAC reviews the entire file of the candidate, including all recommendations and all responses, and will make a recommendation to the President based on the criteria of this Agreement, the relevant Faculty Evaluation Policy and the Standard for the Unit.
- 33.54 The candidate will be advised of the UAAC's proposed recommendation with reasons in writing. The candidate may, upon giving five (5) Working days notice to the UAAC, make an oral presentation or written submission to the UAAC. The candidate may be assisted by a representative of the Faculty Association.
- 33.55 The final recommendation of the UAAC will be sent to the President and shall include detailed reasons for its decision and will be copied to the candidate, the chair of the Unit committee, the Dean, the Vice-President Academic and Provost, and the Faculty Association.
- 33.56 The UAAC may only make the following recommendations to the President:
- a) that the application be granted based on the view of the UAAC that the candidate met the academic standards required;
 - b) that the application not be granted based on the view of the UAAC that the candidate did not meet the academic standards required;
 - c) that, for reasons related to procedural error, the application should be reconsidered either by the President, the Dean or by a newly constituted Unit committee. In such a case, the process for re-consideration shall follow the normal process for initial consideration.
- 33.57 The UAAC will forward its report including any submissions made by the candidate, to the President, copied to the candidate, the Dean, the Vice-President Academic and Provost, and the Faculty Association by the following dates:
- a) for Reappointment and Continuing Appointment: January 30 of the candidate's final contract year;
 - b) for Tenure and Promotion that will confer tenure: February 28; and
 - c) for Promotion: April 15.

President's Decision

- 33.58 The President shall consider the recommendations of the ARPT Committee and the Dean and, where applicable, the advice of the Vice-President Academic and Provost, the Vice-President Indigenous, the Vice-President Research and the UAAC, in making a decision.
- 33.59 The candidate will be notified of the President's decision by the following applicable date:
- a) for Reappointment and Continuing Appointment: February 15 of the candidate's final contract year;
 - b) for Tenure and Promotion that will confer Tenure: March 15; and

c) for Promotion: May 1.

- 33.60 Where the President does not grant Reappointment, Continuing Appointment, Tenure and/or Promotion, the President shall notify the candidate and provide the candidate with written reasons for the decision. Where the decision involves reconsideration, the notice shall indicate the procedure to follow.
- 33.61 Where the President decides that a reconsideration is required, the candidate's employment shall be maintained until such time as the reconsideration is completed.

Grievance

- 33.62 Where there is no decision allowing for reconsideration, the Association may grieve the decision not to award Reappointment, Continuing Appointment, Promotion and/or Tenure pursuant to the provisions of Article 47 *Dispute Resolution*.

Part 8: Leaves

34. Study Leave: Faculty

- 34.1 Study Leave is provided to Research Stream Faculty Members to enable them to engage in Research, scholarly, and creative activities. It is provided to Teaching Stream Faculty Members to enable them to engage in activities that will enhance the Faculty Member's Teaching and Scholarly Activity performance as defined in Article 25 *Evaluation of Members*, and thereby assist the University to achieve greater excellence in the areas of effective teaching and the advancement of research enriched teaching and learning. Its purpose is to provide an extended period of time, uninterrupted by Teaching and Service responsibilities, for Faculty Members to enhance and increase their knowledge, expertise or Teaching effectiveness in their respective academic disciplines.
- 34.2 Faculty Members who are on Study Leave will not serve on University, Faculty, or departmental committees without the approval of the Faculty Member's Dean.
- 34.3 Study Leave is not granted automatically and approval will be contingent on the merits of an application for Study Leave.
- 34.4 October 1 is the deadline for an eligible Faculty Member to submit an application for Study Leave to the Chair of the Faculty Member's Unit for a Study Leave that will commence in the Academic Year following the year in which the application is made.
- 34.5 Faculty Members who apply for Study Leave by October 1 will be notified by January 31 whether the application has been approved.

Deferral of Study Leave

- 34.6 The Parties recognize the importance of maintaining academic programs at a level that will enable students to complete the requirements of their respective degree programs. The University has the right to defer a Faculty Member's Study Leave in order to fulfill the Unit's teaching commitments and graduate student supervision obligations. The number of consecutive deferrals of an approved Study Leave is limited to one unless the Faculty Member otherwise agrees.
- 34.7 Where a Faculty Member's approved Study Leave is deferred at the University's request, the period of deferral counts as eligible service toward a Study Leave that follows the deferred Study Leave under s. 34.11. The approval of this Study Leave will be valid so long as the University continues to defer the Study Leave.

Approval Process

- 34.8 The Chair or, in the case of a Faculty without departments, an Associate Dean will review each application for Study Leave and make a recommendation to the Dean that includes:
- an assessment of the merit of the Faculty Member's proposed Research or Scholarly Activity or of the other activities or projects to fulfill the purposes for which Study Leave is granted under s. 34.1;
 - confirmation that a Faculty Member's proposed arrangements with regard to any use of space or facilities at the University or at other institutions are suitable;

- c) where the applicant has previously been granted a Study Leave at the University: confirmation of whether or not the applicant submitted a Study Leave report upon the completion of the Study Leave; a brief comment on the Research or Scholarly Activity, as appropriate, that were undertaken during the Faculty Member's last Study Leave; a brief assessment of the Faculty Member's scholarly achievements, Teaching performance and other contributions as appropriate to the Faculty Member's Academic Responsibilities since the Faculty Member's last Study Leave;
 - d) an assessment of the Unit's ability to fulfill adequately its academic responsibilities during the period of the Faculty Member's Study Leave; and
 - e) confirmation that suitable arrangements will be made for the supervision of graduate students who are being supervised by the Faculty Member.
- 34.9 Applications for Study Leave are considered by the Dean who determines whether an application will be approved after determining whether the Faculty Member satisfies the eligibility requirements for a Study Leave, and considering the recommendations of the Chair or the Associate Dean.
- 34.10 In calculating the number of years of service in the employment of the University for the purpose of determining a Faculty Member's eligibility for Study Leave, the following periods, if for a period of more than four weeks, are not counted as eligible years of service:
- a) Leave without Salary;
 - b) Study Leave;
 - c) administrative leave;
 - d) Political Leave;
 - e) Compassionate Leave Without Salary;
 - f) disciplinary suspension;
 - g) any period when the Faculty Member is on Long-Term Disability except periods on a return to work program as provided for in s. 34.33;
 - h) any period prior to the Faculty Member's last Study Leave other than as defined in s. 34.7 or s. 34.11; and
 - i) any period that the Faculty Member held a primary appointment at a former academic institution unless the credit for such service is expressly granted in the Faculty Member's appointment letter.
- 34.11 Where a Faculty Member is granted Study Leave, and the number of years of eligible service prior to the leave exceeds the required period of service, the Faculty Member may apply the unused years, to a two (2) year maximum, to the next Study Leave.

Return to Work from Leave

- 34.12 Faculty Members who take a Study Leave are under an obligation to return to the University for a period of time that is equal to the length of the Study Leave. This provision may be waived with the consent of the Vice-President Academic and Provost.

- 34.13 A Faculty Member who does not fulfill the obligation to return to the University under s. 34.12 is in breach of contract with the University and will be required to repay 50% of the net salary paid to the Faculty Member during the period of leave, unless the Vice-President Academic and Provost expressly releases the Faculty Member from this obligation.

Duration of Leaves

- 34.14 Based on years of service in the employment of the University since the completion of the Faculty Member's last Study Leave, or since the date of appointment where the Member has not previously had a Study Leave, a Faculty Member will be eligible for:
- a) a half Study Leave after a minimum of three (3) years of service (which means four (4) months for Teaching Stream faculty, and six (6) months for Research Stream faculty); or
 - b) a full Study Leave after a minimum of six (6) years of service (which means eight (8) months for Teaching Stream faculty, and twelve (12) months for Research Stream faculty).
- 34.15 When a Member takes a half Study Leave they will be released from one (1) half of their Normal Teaching Workload for that Academic Year. Where a Faculty Member's Normal Teaching Workload cannot be evenly divided in half and taken over the period in s. 34.14 a) the release from Teaching Workload shall be alternated so that over two (2) half Study Leaves the Member will have had Teaching release equal to one (1) year of their Normal Teaching Workload.
- 34.16 When a Member takes a full Study Leave they will be released from their Normal Teaching Workload for that Academic Year.
- 34.17 A Faculty Member with Reduced Workload is eligible for Study Leave of the same duration as for a Faculty Member with a Normal Workload, and is eligible to receive Study Leave after the same period of service as a Member with a Normal Workload, however salary will be pro-rated in accordance with the Member's Workload arrangements during the qualifying period.

Compensation While on Leave

- 34.18 A Faculty Member on Study Leave will receive 90% of their base salary for the duration of the Study Leave.
- 34.19 The University and the Faculty Member will continue to contribute fully to pension and the University's benefit plan for Faculty and Librarians in the same manner as if the Faculty Member were not on Study Leave.
- 34.20 If a Faculty Member's salary is increased during the period of Study Leave, the effect of the increase will be reflected in the remaining portion of the Study Leave salary.
- 34.21 Prior to the salary adjustment review immediately following the completion of a Study Leave, a Faculty Member shall submit a Study Leave report to the Faculty Member's Chair, with a copy to the Dean. The report shall include whether activities undertaken during the Study Leave varied from the approved Study Leave application and, if so, the nature of the variation.
- 34.22 The Study Leave report submitted by a Research Stream Faculty Member shall provide an account of the Research activities undertaken during the Study Leave, and shall comment on how the Faculty Member expects the Research activities undertaken during the Study Leave will contribute to or enhance one or more of the Faculty Member's Research publications or creative works, or Teaching effectiveness.

- 34.23 The Study Leave report submitted by a Teaching Stream Faculty Member shall provide an account of the Scholarly Activity undertaken during the Study Leave and shall comment on how the Faculty Member expects those activities will contribute to or enhance the Faculty Member's forms of Scholarly Activity, and/or effective Teaching and/or the advancement of research-enriched teaching and learning at the University.

Conditions of Leave

- 34.24 Any significant modification to a Study Leave project that has been approved by the Dean must be approved by the Faculty Member's Dean on recommendation from the Faculty Member's Chair or, in a Faculty without Departments, the Associate Dean.
- 34.25 For Teaching Stream faculty, a Study Leave shall commence on September 1, January 1, or May 1. For Research Stream faculty, a Study Leave shall commence on either July 1 or January 1. With the approval of the Dean, a Study Leave may commence on another date.
- 34.26 During a period of Study Leave, a Faculty Member continues to be an employee of the University, is subject to this Agreement and University policy, and is expected to devote their time to the purposes for which Study Leave is granted. A Faculty Member remains subject to the provisions under Article 48 *Conflict of Commitment (External Professional Activities)*.
- 34.27 A Faculty Member who provides instruction or other services at another post-secondary institution during Study Leave, while complying with Article 48 *Conflict of Commitment (External Professional Activities)*, may receive remuneration, subject to this Article.
- 34.28 A Faculty Member may not accept remuneration or enter into an employment relationship with another employer that is incompatible with the provisions in Article 48 *Conflict of Commitment (External Professional Activities)* or the policies that apply to a Faculty Member who is not on Study Leave. Any exception to this restriction must be consistent with the purposes for which Study Leave is provided and requires the prior written approval of the Vice-President Academic and Provost.
- 34.29 If, during Study Leave, a Faculty Member whose service over the qualifying period was 1 FTE receives remuneration that, taken together with their salary during Study Leave, equals more than 125% of the Faculty Member's regular salary for the period, the Faculty Member must disclose in writing to the Dean the nature and extent of the activities from which the remuneration was derived.

Study Leave and Administrative Leave

- 34.30 When a Faculty Member is appointed to an administrative position within the University, any Study Leave eligibility that the Faculty Member has accumulated prior to the commencement of the administrative appointment is deferred until completion of the term of the administrative appointment and any reappointment, and may be counted toward eligibility for a future Study Leave.
- 34.31 If a Faculty Member who is appointed to an administrative position within the University does not acquire a sufficient number of years of eligible service to become eligible for an Administrative Leave, those years of administrative service to the University are regarded as years of eligible service toward a Study Leave.

Study Leave, Sick Leave and Long-term Disability

- 34.32 A Faculty Member may not apply for a Study Leave that will commence while the Faculty Member is on Sick Leave or Long-Term Disability (LTD) or that will commence during any return to work program.
- 34.33 A Member on Sick Leave continues to accrue credit toward Study Leave. In accordance with s. 34.10 g), a Member on Long-Term Disability shall accrue credit toward Study Leave based on pro-rata service during an approved Return to Work program.
- 34.34 Where a Member becomes ill or disabled while on Study Leave, the Study Leave may be cancelled under s. 39.29, and replaced under s. 39.30.

35. Study Leave: Librarians

- 35.1 Study Leave is provided to eligible Librarians to enable them to engage in a professional project (which may include research, scholarship or registration in a course of study with regard to librarianship, archival studies or an academic or technical subject) for an extended period of time for the purpose of increasing knowledge related to the Librarian's Professional Responsibilities, advancing academic librarianship, or enhancing the Librarian's ability to meet changing needs in the Libraries.
- 35.2 Librarians who are on Study Leave will not serve on committees of the University or the Libraries without the approval of the University Librarian.
- 35.3 Study Leave is not granted automatically and is subject to approval of an application for Study Leave.
- 35.4 An eligible Librarian will submit an application for Study Leave to the University Librarian not less than six (6) months prior to the intended commencement of the Study Leave.

Approval Process for Librarian Study Leaves

- 35.5 The University Librarian will review each application for Study Leave and determine whether the Study Leave should be approved, based upon the following factors:
- a) an assessment of whether the Librarian's proposed professional project has merit;
 - b) confirmation that a Librarian's proposed arrangements for any use of space or facilities at the University or another institution are suitable;
 - c) a brief assessment of the Librarian's Professional Performance, Scholarly and Professional Achievement, and Service since the Librarian's last Study Leave;
 - d) an assessment of the ability of the Librarian's Unit to fulfill its operational requirements during the period of the Librarian's Study Leave; and
 - e) a determination of whether the Librarian satisfied the eligibility requirements.

Notification and Modification of a Study Leave Proposal

- 35.6 A Librarian who has applied for Study Leave will be notified in writing within one (1) month of submitting the application to the University Librarian if the application has been denied. The decision to deny a Study Leave can be appealed in accordance with the procedure outlined in Article 37 *Leave Appeal Procedures*. A successful applicant will be notified in writing within one (1) month or as soon thereafter as is practical.
- 35.7 Any significant modification to a Study Leave proposal that has been approved by the University Librarian must be approved by the University Librarian.

Obligation to Return

- 35.8 A Librarian who takes a Study Leave is obliged to return to the University for a period of time that is not less than the length of the Study Leave. This provision may be waived with the consent of the Vice-President Academic and Provost.
- 35.9 A Librarian who does not fulfill the obligation to return to the University under s. 35.8 is in breach of contract with the University and will be required to repay 50% of the net salary paid to

the Librarian during the period of leave, unless the Vice-President Academic and Provost expressly releases the Librarian from this obligation.

Eligibility and Remuneration

- 35.10 A Librarian who has completed a minimum of three (3) years' continuous full-time service at the University as a Librarian or who has completed a minimum of three (3) years of continuous full-time service since their last Study Leave will be entitled to a half Study Leave on the basis of three (3) months.
- 35.11 A Librarian who has completed a minimum of six (6) years' continuous full-time service at the University as a Librarian or who has completed a minimum of six (6) years of continuous full-time service since their last Study Leave will be entitled to a full Study Leave on the basis of six (6) months Study Leave at 100% of the Librarian's base salary.
- 35.12 In calculating the number of years of service in the employment of the University for the purpose of determining a Librarian's eligibility for Study Leave, the following periods, if for a period of more than four (4) weeks, are not counted as eligible years of service:
- a) Leave Without Salary;
 - b) Study Leave;
 - c) administrative leave;
 - d) Political Leave;
 - e) Compassionate Leave Without Salary;
 - f) disciplinary suspension;
 - g) any period when the Librarian is on Long-Term Disability except periods on a return to work program as provided for in s. 35.24; and
 - h) any period prior to the Librarian's last Study Leave, except as provided for in s. 35.13 and s. 35.22.
- 35.13 Where a Librarian is granted Study Leave under this Article, and the number of years of eligible service prior to the leave exceeds the required number of years of service, the unused years, up to a two (2) year maximum, may be counted as eligible service for the next Study Leave.
- 35.14 If a Librarian's salary is increased during the period of Study Leave, the effect of the increase will be reflected in the remaining portion of the Study Leave.
- 35.15 The University and the Librarian will continue to contribute fully to the pension and the University's benefit plan for Faculty and Librarians as if the Librarian were not on Study Leave.
- 35.16 During a period of Study Leave, a Librarian continues to be an employee of the University, subject to this Agreement and University policy, and is expected to devote their time to the purposes for which Study Leave is granted. A Librarian remains subject to the provisions under Article 48 *Conflict of Commitment (External Professional Activities)*.
- 35.17 A Librarian who provides instruction or other services at another institution during Study Leave while complying with Article 48 *Conflict of Commitment (External Professional Activities)*, may receive remuneration subject to this Article.

- 35.18 A Librarian may not accept remuneration or enter into an employment relationship with another employer that is incompatible with the provisions in Article 48 *Conflict of Commitment (External Professional Activities)* or the policies that apply to a Librarian who is not on Study Leave. Any exception to this restriction must be consistent with the purposes for which Study Leave is provided and requires the prior written approval of the Vice-President Academic and Provost.
- 35.19 If, during Study Leave, a Librarian whose service over the qualifying period was 1 FTE receives remuneration that, taken together with their salary during Study Leave, equals more than 125% of the Librarian's regular salary for the period, the Librarian must disclose in writing to the University Librarian the nature and extent of the activities from which the remuneration was derived.

Study Leave Report

- 35.20 Within ninety (90) days following the completion of a Study Leave, a Librarian must submit a Study Leave report to the University Librarian that provides an account of:
- a) the research, scholarly activity, or professional project undertaken during the Study Leave; and
 - b) how the Librarian expects the research, scholarly activity, or professional project undertaken during the Study Leave will contribute to or enhance the Librarian's ability to meet changing needs in the Libraries.

Deferment of Study Leave at the Request of the University

- 35.21 The number of Study Leaves that can be granted in each year is contingent upon suitable arrangements being made within the University Libraries to fulfill the University Libraries' service obligations during the period of Study Leave.
- 35.22 Where a Librarian is granted Study Leave by the University Librarian and such leave is subsequently deferred at the request of the University, the period of deferment may be counted as eligible service toward a Study Leave that follows the deferred leave. The approval of the deferred Study Leave by the University Librarian continues to be valid so long as the University continues to defer the Study Leave.

Study Leave, Sick Leave and Long-term Disability

- 35.23 A Librarian may not apply for a Study that will commence while the Librarian is on Sick Leave or LTD or that will commence during any return to work program.
- 35.24 A Member on Sick Leave continues to accrue credit toward Study Leave. In accordance with s. 35.12 g), a Member on Long-Term Disability shall accrue credit toward Study Leave based on pro-rata service during an approved return to work program.
- 35.25 Where a Member becomes ill or disabled while on Study Leave, the Study Leave may be cancelled under s. 39.29, and replaced under s. 39.30.

Scholarly and Professional Activity Days

- 35.26 The University recognizes the desirability of allowing Librarians with Regular Librarian Appointments to designate fourteen days annually to pursue, inter alia, research in an academic subject, archival studies or librarianship; creative work; completion of relevant educational programs or courses; planning, implementing or participating in workshops or conferences; participation in scholarly, library or professional associations; and professional expertise used in

service to the community at large. Procedures for scheduling these days are outlined in the Scholarly and Professional Activities Guidelines of the Libraries which will only be revised by mutual agreement between the University Librarian and the Librarians.

36. Administrative Leave

- 36.1 Administrative Leave is provided to Faculty Members who have served as a Chair of a Department as follows:
- a) a Member who has served a single term of five (5) years as a Chair of a Department is eligible for twelve (12) months of Administrative Leave; and
 - b) a Member who has served a term of three (3) years as a Chair of a Department is eligible for six (6) months of Administrative Leave; and
 - c) a Member with a term of five (5) years as a Chair of a Department can elect to take six (6) months of Administrative Leave after serving three (3) years and an additional six (6) months of Administrative Leave after serving the final two (2) years.
- 36.2 A Member has no right to use accrued service credit or to receive pay in lieu of accrued service credit in the event they resign or retire prior to completion of the eligibility requirements in s. 36.1.
- 36.3 The purpose of Administrative Leave is to enable eligible Faculty Members to renew themselves with regard to the resumption of their regular Academic Responsibilities.
- 36.4 Faculty Members who are on Administrative Leave will not serve on University, Faculty, or Departmental committees without the approval of the Faculty Member's Dean.
- 36.5 Administrative Leave is not granted automatically and is subject to approval by the Dean, who will determine whether the Member satisfies the eligibility requirements for Administrative Leave.
- 36.6 October 1 is the deadline for an eligible Faculty Member to submit an application for Administrative Leave to the Member's Dean for an Administrative Leave that will commence on July 1 or January 1 of the Academic Year following the year in which the application is made.
- 36.7 The remuneration of a Faculty Member on Administrative Leave will normally be at 100% of their regular salary, less any administrative stipend.
- 36.8 The University may change the remuneration of Faculty Members on Administrative Leave, provided the remuneration payable complies with s. 36.7. Any such change is not subject to negotiation with the Association, nor will it be charged against any Agreement.
- 36.9 The following Sections of Article 34 *Study Leave: Faculty* apply to Administrative Leaves under this Article:
- a) s. 34.5 notification of approval;
 - b) s. 34.12 and s. 34.13 obligation to return;
 - c) s. 34.21 to s. 34.23 leave report;
 - d) s. 34.24 modification of leave project after approval;
 - e) s. 34.25 commencement of leave;
 - f) s. 34.27 to s. 34.29 restrictions on supplementary employment during leave;

- g) s. 34.26 continued application of University policies; and
- h) s. 34.3 approval is on the merits of the application.

37. Leave Appeal Procedures

- 37.1 The leave appeal procedures apply to the following types of leaves:
- a) Study Leave: Faculty Members;
 - b) Study Leave: Librarians; and
 - c) Administrative Leave.
- 37.2 If the Dean or University Librarian declines to approve a leave application, they will give the Member written reasons for the decision that will accompany the notification of the decision.
- 37.3 By not later than thirty (30) days after receiving notice from the Dean or University Librarian declining to approve a leave to which this Article applies, the Member may appeal the denial of leave by sending a written notice of appeal to the Vice-President Academic and Provost who will decide the appeal in accordance with this Article. A deferral of Study Leave by the University pursuant to Article 34 *Study Leave: Faculty* or Article 35 *Study Leave Librarians* does not constitute a denial of leave and may not be appealed.
- 37.4 In an appeal under this Article, the issue to be determined by the Vice-President Academic and Provost is whether a reasonable person could have made the decision of the Dean or University Librarian upon considering the Member's leave application in the context of:
- a) the purposes of leave; and
 - b) the recommendations of the Dean and the Chair or, in a Faculty without departments, the Associate Dean, or of the University Librarian.
- 37.5 After receiving written submissions from the Member and the Dean or University Librarian, the Vice-President Academic and Provost will decide whether or not the appeal should be granted and will send copies of the decision to the President of the Association, the Member and the Dean or University Librarian. The Association has the right to represent the Member in the appeal process.
- 37.6 The decision of the Vice-President Academic and Provost may be grieved by the Association under the provisions of Article 47 *Dispute Resolution* of this Agreement.

38. Maternity, Parental and Adoption Leave

- 38.1 This Article applies to the following categories of Members who are referred in this Article as “Eligible Members”:
- a) Faculty Members who hold a Regular Academic Appointment;
 - b) Faculty Members with Limited-Term appointments of at least one (1) year in duration with at least 0.8 FTE;
 - c) Members who hold a Regular Librarian Appointment;
 - d) Librarians with Limited-Term appointments of at least one (1) year in duration with at least 0.8 FTE.
- 38.2 University supplementary top-up benefits described in this Article are contingent on the Member applying for the maximum Employment Insurance (“EI”) benefits available to the Member under the *Canada Employment Insurance Act*, and all leaves are subject to the provisions and regulations of both the *BC Employment Standards Act* and the *Canada Employment Insurance Act*. The relationship between University supplementary top-up benefits and EI benefits is described in s. 38.4.

Maternity and Parental Leave: Birth Mother

- 38.3 An Eligible Member who is the birth mother of a newborn child is entitled to the following types of leave and supplementary top-up benefits.
- 38.4 The birth mother is entitled to seventeen (17) consecutive weeks of Maternity Leave from the University. The Leave can commence up to twelve (12) weeks before the expected birth date, but no later than the actual birth date.
- 38.4.1 During the first one (1) week of leave (which is the waiting period for Employment Insurance benefits) the University will pay the Eligible Member a University supplementary top-up benefit equal to 95% of the Member’s regular salary, providing the Member has provided proof of the EI decision for their application for EI maternity benefits.
 - 38.4.2 During the remainder of Maternity Leave, to a maximum of sixteen (16) weeks, the University will pay the Eligible Member a University supplementary top-up benefit equal to 95% of the Member’s regular salary, less any amount of EI maternity leave benefits for which the Member is eligible.
 - 38.4.3 Further unpaid Maternity Leave of up to six (6) consecutive weeks will be granted where the birth mother is unable to return to work for reasons related to the birth, as certified by a qualified medical practitioner.
- 38.5 The birth mother is entitled to sixty-one (61) consecutive weeks Parental Leave from the University beginning immediately after the end of the Maternity Leave.
- 38.5.1 During the first eighteen (18) weeks of Parental Leave the University will pay the birth mother a University supplementary top-up benefit equal to 95% of the Member’s regular salary, less any amount of EI parental benefits for which the Member is eligible.

- 38.5.2 The remaining forty-three (43) weeks of Parental Leave are without pay from the University, however the birth mother may be eligible for continued Employment Insurance parental benefits during this period.
- 38.5.3 For the last week of Parental Leave, regardless of the length of Parental Leave chosen, the Member will receive from the University the equivalent of one (1) week at 55% of average weekly EI insurable earnings to reflect the one-week reduction in the EI benefit waiting period.
- 38.5.4 Where a Member is eligible for the Employment Insurance (EI) Parental Sharing Benefit, the duration of the Parental Leave available under this Article is extended by:
- a) five (5) weeks where the Member has elected to receive the standard parental benefit of thirty-five (35) weeks, such that the total Parental Leave is extended to forty (40) weeks; or
 - b) eight (8) weeks where the Member has elected to receive the extended parental benefit of sixty-one (61) weeks, such that the total Parental Leave is extended to sixty-nine (69) weeks.
- 38.5.5 In special cases where a child has a physical, psychological or emotional condition certified by a qualified medical practitioner and requires an additional period of parental care, Parental Leave without pay may be provided for up to five (5) additional weeks beginning immediately after the end of the Parental Leave.
- 38.6 The birth mother and co-parent as defined in s. 38.8 can share the sixty-one (61) weeks of EI parental benefits (69 weeks if eligible for EI Parental Sharing Benefit).
- 38.7 The total number of weeks of Maternity Leave with a University supplementary top-up benefit, Parental Leave with a University supplementary top-up benefit, and Parental Leave without pay for a birth mother is limited to seventy-eight (78) weeks, unless extended under s. 38.4.3 and s. 38.5.5.

Parental Leave: Co-Parent

- 38.8 For the purposes of this Article, “co-parent” means the birth father of a newborn child or another person who is recognized by the birth mother of a newborn child as her life partner and who will act as a parent in relation to the child, but excludes an adoptive parent. An Eligible Member who is the co-parent of a newborn child is entitled to the following types of leave and supplementary top-up benefits.
- 38.9 The co-parent is entitled to sixty-two (62) consecutive weeks Parental Leave of absence from the University that may be taken anytime within eighteen (18) months of the birth.
- 38.9.1 During the first one (1) week of leave (which is the waiting period for Employment Insurance benefits) the University will pay the Eligible Member a University supplementary top-up benefit equal to 95% of the Member’s regular salary, providing the Member has provided proof of the EI decision for their application for EI Parental Leave benefits.
- 38.9.2 During the remainder of Parental Leave, to a maximum of seventeen (17) weeks, the University will pay the Eligible Member a University supplementary top-up benefit equal

to 95% of the Member's regular salary, less any amount of EI parental leave benefits for which the Member is eligible.

- 38.9.3 Where a Member is required to serve the one-week waiting period for Employment Insurance parental benefits, for the last week of Parental Leave, regardless of the length of Parental Leave chosen, the Member will receive from the University the equivalent of one week at 55% of average weekly EI insurable earnings to reflect the one-week reduction in the EI benefit waiting period.
- 38.10 Where a Member is eligible for Employment Insurance (EI) Parental Sharing Benefit, the duration of the Parental Leave available under this Article is extended by:
- a) five (5) weeks where the Member has elected to receive the standard parental benefit of thirty-five (35) weeks, such that the total Parental Leave is extended to forty (40) weeks; or
 - b) eight (8) weeks where the Member has elected to receive the extended parental benefit of sixty-one (61) weeks, such that the total Parental Leave is extended to sixty-nine (69) weeks.
- 38.11 In special cases where a child has a physical, psychological or emotional condition certified by a qualified medical practitioner and requires an additional period of parental care, Parental Leave without pay may be provided for up to five (5) additional weeks beginning immediately after the end of the Parental Leave.

Parental Leave: Adoptive Parents

- 38.12 An Eligible Member who is a parent of a newly adopted child is entitled to the following leave and supplementary top-up benefits.

Pre-placement Leave

- 38.13 An adoptive parent is entitled to a maximum of six (6) weeks of pre-placement leave. The leave may be taken intermittently for adoption-related activities prior to the placement of the child. The leave shall be paid at a rate equal to 100% of the Member's regular salary.

Post-placement Leave

- 38.14 An adoptive parent is entitled to take any unused pre-placement leave as post-placement leave, provided the parent is not also receiving EI benefits for parental leave. The post-placement leave must be taken immediately after the child is placed with the parent. The leave shall be paid at a rate equal to 100% of the Member's regular salary, less any amount of EI adoption benefits that the Member is eligible for. Where the Member is eligible for EI adoption benefits, they must provide proof of the EI decision for their application for the adoption benefit.
- 38.15 An adoptive parent is entitled to sixty-two (62) consecutive weeks Parental Leave from the University that may be taken anytime within one (1) year after the child is placed with the parent.
- 38.15.1 During the first one (1) week of leave (which is the waiting period for Employment Insurance benefits) the University will pay the Eligible Member a University supplementary top-up benefit equal to 95% of the Member's regular salary, providing the Member has provided proof of the EI decision for their application for EI parental leave benefits for a period of at least eighteen (18) weeks.

- 38.15.2 During the next seventeen (17) weeks Parental Leave the University will pay the Eligible Member a University supplementary top-up benefit equal to 95% of the Member's regular salary, less any amount of EI parental benefits that the Member is eligible for.
- 38.16 The remaining forty-four (44) weeks of Parental Leave are without pay from the University to be taken within eighteen (18) months after the child is placed with the parent; however, an adoptive parent may be eligible for continued EI parental benefits during this period.
- 38.17 Additional Parental Leave without pay may be provided to parents of an adopted child for a maximum of five (5) additional weeks, which can be taken in any combination before the commencement or after the end of Parental Leave. This additional leave may not be unreasonably withheld.
- 38.18 Where a Member is required to serve the one (1) week waiting period for Employment Insurance parental benefits, for the last week of Parental Leave, regardless of the length of Parental Leave chosen, the Member will receive from the University the equivalent of one week at 55% of average weekly EI insurable earnings to reflect the one-week reduction in the EI benefit waiting period.
- 38.19 Where a Member is eligible for Employment Insurance (EI) Parental Sharing Benefit, the duration of the Parental Leave available under this Article is extended by:
- a) five (5) weeks where the Member has elected to receive the standard parental benefit of thirty-five (35) weeks, such that the total Parental Leave is extended to forty (40) weeks; or
 - b) eight (8) weeks where the Member has elected to receive the extended parental benefit of sixty-one (61) weeks, such that the total Parental Leave is extended to sixty-nine (69) weeks.

Employment Insurance Act and Supplementary Top-Up Benefits

- 38.20 All University supplementary top-up benefits payable by the University under this Article must in be accordance with the plan that has been filed by the University with Canada Employment and Social Development Canada pursuant to the *Employment Insurance Act* regulations. All payments by the University will commence when the Member provides proof that the Member is receiving Employment Insurance benefits, or that they are disqualified from Employment Insurance maternity or parental benefits because of an insufficient number of insurable weeks. Notwithstanding any other Section in this Article, the University supplementary top-up benefits are equal to 95% of regular salary, less the amount of EI maternity or parental benefits that the Member is receiving, or the amount of EI benefits that the Member would have received if the Member qualified for EI benefits at the rate of fifty-five (55%) of average weekly EI insurable earnings. The Employment Insurance Commission cannot provide such proof until after the leave has commenced and the University has issued a Record of Employment form. Hence, University supplementary top-up benefits will be made retroactively. To avoid additional delays in qualifying for benefits, Members should request the Record of Employment form from the Payroll department in Accounting be sent electronically to the Employment Insurance Office as soon as it is available. If the amount of any EI benefits received by an Eligible Member is subsequently reassessed under either the *Employment Insurance Act* or the *Income Tax Act*, there is no recourse to the University with regard to any amount required to be repaid by the Member.

Personnel Benefit Programs

38.21 During any period of leave with University supplementary top-up benefits under this Article, the Member is required to pay their share of the cost of personnel benefits programs in which the Member is enrolled during the full term of the leave. Likewise, the University will continue to pay its share of the cost of the personnel benefits program of the Member. During any periods of unpaid Parental Leave, the Member may continue any or all of the personnel benefits programs in which the Member is enrolled. The University will continue to pay its share of the cost of the benefits that the Member chooses to continue.

Further Leave of Another Type

38.22 An application for further Leave Without Salary, Sick Leave, Compassionate Care Leave, Compassionate Leave Without Salary, or Special Leave may be made prior to, during, or after the Maternity or Parental Leave (for birth and adoption). Any such application must be made in accordance with the provisions of the applicable Article governing that type of leave.

38.23 The Member is expected to request leave through the Chair of their Department (University Librarian in the case of a Librarian) in writing, providing at least four (4) weeks notice.

39. Sick Leave, Long-Term Disability, Return to Work, and Medical Accommodation

- 39.1 The provisions of this Article will be interpreted to recognize the mutual interest shared by the Member and the University in promoting the health of the Member and in appropriately minimizing the adverse impact of the Member's illness or disability on the Member's career progress. Both parties share a mutual responsibility to cooperate in the processes set out in these sections.
- 39.2 Members are entitled to be accompanied by an Association representative to any meetings or informal discussions that take place in relation to processes or discussions arising from this Article pertaining to Sick Leave, Long-Term Disability, return to work and medical accommodation.
- 39.3 In this Article, "health practitioner" may include, but is not limited to: Physicians, Psychiatrists, Nurse Practitioners, Midwives, Psychologists, Counselors, Physiotherapists, Naturopaths, Chiropractors, Acupuncturists and Osteopaths. The determination of what an acceptable health practitioner is, for the purpose of providing medical information to the University in any given case, shall be made by the Worklife Consultant.

Eligibility for Sick Leave

- 39.4 Faculty Members holding Regular Academic Appointments and Librarians holding Regular Librarian Appointments are eligible for Sick Leave under this Article and are referred to as "Eligible Member" or collectively as "Eligible Members".
- 39.5 Faculty Members with Limited Term appointments of at least one (1) year in duration and 0.8 FTE or greater and Librarians with Limited-Term appointments of at least one (1) year in duration and 0.8 FTE or greater are eligible for Sick Leave under this Article.
- 39.6 Where the University has reasonable cause to believe that a Member is unable to undertake their Academic or Professional Responsibilities, in whole or in part, or to engage in their work in a safe or satisfactory manner due to illness or injury, the University may require the Member to provide the University with medical documentation indicating the Member is medically able to undertake their work in a safe and satisfactory manner. The University shall pay reasonable and customary fees charged by a health practitioner for providing the documentation. The University may require an independent medical report prepared by a physician or medical specialist nominated by the University, at the University's cost.
- 39.6.1 Where the University requires an independent medical exam (IME) report under s. 39.6, the University will provide paid leave to the Member, for the portion of duties which are being reviewed in the IME, until receipt of the IME report. If the Member refuses, or fails to attend, an IME appointment without just cause, the paid leave shall cease.
- 39.6.2 Notwithstanding s. 39.6.1 Sick Leave will commence on any established Date of Disability.

Commencement of Sick Leave, Salary and Benefits

- 39.7 When an Eligible Member becomes ill or disabled and is thereby unable to perform some or any of their Academic or Professional Responsibilities, the Eligible Member must inform their Chair

(supervising Librarian in the case of a Librarian) as soon as possible and provide suitable medical documentation.

- 39.8 Where Sick Leave is approved for an Eligible Member under this Article, the Member will remain on full salary and benefits during the period of approved Sick Leave.

Approvals for Sick Leave Periods

- 39.9 Sick Leave for the two (2) weeks of an Eligible Member's illness or disability may be approved by the Member's Chair or the supervising Librarian in the case of a Librarian.
- 39.10 Where an Eligible Member's inability to perform their Academic or Professional Responsibilities because of illness or disability extends beyond two (2) weeks and is likely to continue, Sick Leave, supported by appropriate medical documentation from a health practitioner acceptable to the University may be approved by the Member's Dean or University Librarian who will inform the Chair or supervising Librarian of the approval.
- 39.11 The University may require an Eligible Member to provide medical reports to the University with regard to the Eligible Member's illness or disability as a condition of granting, continuing or extending, or ending Sick Leave. The University may require a medical report prepared by a physician or medical specialist nominated by the University, at the University's cost.
- 39.12 Sick Leave commences on the date when the illness or disability renders the Eligible Member unable to perform their Academic or Professional Responsibilities (Date of Disability). The University will require confirmation from the Eligible Member's health practitioner with regard to the Date of Disability.
- 39.13 Sick Leave with pay ends on the earliest of the date the Member returns to work fully, or the six (6) month anniversary of the Date of Disability.
- 39.14 During a graduated return to work, a Member shall receive Sick Leave pay to supplement what is earned for the graduated return to work such that salary during a graduated return to work during Sick Leave is equivalent to the Member's regular salary.

Work During Sick Leave

- 39.15 The primary obligation of an Eligible Member on Sick Leave is to regain their health to the extent possible. Responsibility for reallocating the Academic or Professional Responsibilities of an Eligible Member who has gone on Sick Leave rests with the Member's Chair or supervising Librarian. The Chair, Dean, supervising Librarian or University Librarian, as appropriate, may consult the Member regarding the reallocation of responsibilities if the Member's health circumstances so permit.
- 39.16 Subject to s. 39.17, an Eligible Member on Sick Leave will not engage in Academic or Professional Responsibilities or perform other employment-related duties or responsibilities without approval of the Dean.
- 39.17 With the written approval of the Dean, an Eligible Member on Sick Leave may engage in Academic or Professional Responsibilities or perform other related duties and responsibilities for up to ninety (90) days without impacting the six (6) month eligibility period for Long-Term Disability.

Long-Term Disability

- 39.18 Faculty Members holding Regular Academic Appointments and Librarians holding Regular Librarian Appointments are eligible for Long Term Disability in accordance with the University's Long-Term Disability Plan and are referred to as "Eligible Member" or collectively as "Eligible Members".
- 39.19 Long-Term Disability (LTD) benefits, if approved, will commence six (6) months after the date an approved Sick Leave for the illness or disability begins (Date of Disability).
- 39.20 If, at the end of three (3) months of Sick Leave, a medical opinion is provided to the University that the Member's recovery from the illness or disability is unlikely within six (6) months from the date of the commencement of Sick Leave, an Eligible Member must submit an application for LTD Insurance benefits to the insurance carrier and notify the Member's Dean (University Librarian in the case of a Librarian) and the Work Life Consultant.
- 39.21 Where after six (6) months of Sick Leave, an Eligible Member is totally unable to perform any of their Academic or Professional Responsibilities because of illness or disability, the insurance carrier will make a decision on the application and will notify the Human Resources Department and the Eligible Member and the Human Resources Department will notify the Dean (University Librarian in the case of a Librarian). The Eligible Member will be placed on leave without pay as long as the full LTD benefits continue.
- 39.22 The primary obligation of an Eligible Member who is approved for LTD is to regain their health to the extent possible. An Eligible Member on Long-Term Disability is on leave without pay from the University and will not engage in Academic or Professional Responsibilities or perform other employment-related responsibilities without approval of the Dean.
- 39.23 If LTD is not approved by the insurance carrier, the Member must either return to work fully, or partially on a temporary basis, following provision of any medical evidence required under s. 39.34. Alternatively, with the agreement of the University, the Member will be placed on leave without pay while the Member seeks additional medical information for the purposes of appeal
- 39.24 The Member has the right to appeal a decision by the insurance carrier to not approve LTD under the plan terms.
- 39.25 When recommended by the insurance carrier, an Eligible Member who has partially regained their health is obliged in accordance with the LTD contract with the insurance carrier to engage in a program of rehabilitation or treatment that may include a graduated return to work.
- 39.26 When the insurance carrier recommends medical accommodations to facilitate a return to work, the accommodations must be in place prior to the commencement of the return to work.
- 39.27 LTD benefits, if approved, commence six (6) months from the date that the Eligible Member becomes ill or disabled (Date of Disability) as confirmed by the Member's health practitioner or other health practitioner acceptable to the insurance carrier.

Illness or Disability While on Leave

- 39.28 If an Eligible Member becomes ill or disabled while on Leave Without Salary, Political Leave, Compassionate Leave Without Salary, or Compassionate Care Leave the Member is not entitled to have the leave cancelled. Where it appears that the Eligible Member's illness or disability may be protracted or continue beyond the period of the leave, the Eligible Member must notify the

Chair (supervising Librarian in the case of a Librarian) and the Work Life Consultant to establish the date of the beginning of the illness or disability for the purpose of making an application for LTD benefits, should such an application become necessary. If the relevant periods specified in s. 39.13 and/or s. 39.6.1 has not expired by the end of the period of leave, the Member will be placed on Sick Leave.

- 39.29 If an Eligible Member becomes ill or disabled while on Study Leave or Administrative Leave, and it appears that the illness or disability may prevent them from engaging in the Work Plan for the Study Leave or Administrative Leave, the Member may apply to their Dean (University Librarian in the case of a Librarian) with supporting medical documentation to cancel the remainder of the leave and go on Sick Leave.
- 39.30 Where an Eligible Member became ill or disabled while on a Study Leave or Administrative Leave and the remainder of the leave was cancelled under s. 39.29, the Eligible Member is entitled to a replacement Study Leave or Administrative Leave equal to the period of cancelled leave, provided that the Member can demonstrate that the Member has a viable project to pursue during the replacement leave. The Member will be entitled to a replacement leave as soon as practicable after the Member has returned to work, provided they are medically cleared to engage fully in the Study Leave, or Administrative Leave, at a time scheduled in consultation with the Member's Chair or supervising Librarian, as appropriate. A Member may apply to their Chair or supervising Librarian as appropriate, to have this replacement leave added to their next regular Study Leave.

Sick Leave After Normal Retirement Date

- 39.31 Eligible Members who work past their Normal Retirement Date will be entitled to Sick Leave in accordance with the terms of this Article subject to the limitations set out below.
- 39.31.1 No period of Sick Leave may extend for more than six (6) consecutive months;
- 39.31.2 Paid Sick Leave longer than two (2) weeks will be tracked beginning on the July 1 following the Member's Normal Retirement Date and will be limited to a total of six (6) months in any two (2) adjacent Academic Years. Where a Member is on Sick Leave at the time of their Normal Retirement Date, while the limit in s. 39.31.1 remains, only the period of the Sick Leave following July 1 will be tracked for the purpose of this section.
- 39.32 Where the Member has been on LTD in the year in which the Member reaches their Normal Retirement Date, any period of LTD in that year will be counted as tracked Sick Leave for the purposes of the calculation in s. 39.31.
- 39.33 Where a Member past their Normal Retirement Date has exhausted paid Sick Leave, the Member may take Leave Without Salary for up to one (1) year.

Return To Work After Sick Leave or Long-Term Disability

- 39.34 Before an Eligible Member on Sick Leave or Long-Term Disability resumes their Academic or Professional Responsibilities, the University has the right to require a medical report by an appropriately qualified health practitioner that the Eligible Member is fit to resume the Academic or Professional Responsibilities associated with the Eligible Member's position.
- 39.35 In advance of return from a Sick Leave in which a return to work will require medical accommodation or where requested by the University, a Member shall provide the Work Life Consultant with documentation from a health practitioner indicating the Member is medically

fit to return to work, and outlining details of any medical limitations which may require accommodation necessary to support a return to work.

- 39.36 Prior to return to work, the Member shall meet with their Chair or supervising Librarian to discuss a return to work plan and any work-related restrictions. The Member may seek the support of the Association. The Chair may seek the support of a Work Life Consultant and/or Faculty Relations Consultant. Where a work-related medical accommodation is required, the Chair and the Member shall consult with a Work Life Consultant as indicated in s. 39.44. The Member's return to work plan shall be documented and implemented as soon as possible after the Member is medically cleared.
- 39.37 On a temporary basis, a Member may return to work with a reduction of Academic or Professional Responsibilities, in order to meet a medical accommodation requirement for graduated return to work.
- 39.38 When a Member returns to full-time work from a period of LTD, the Member's salary will be adjusted in accordance with the *Procedures of the Vice-President Academic and Provost Regarding Salary Adjustments After Long-term Disability*, available on the web site for the Office of the Vice-President Academic and Provost. Salary adjustments will take into account the Member's FTE status.
- 39.39 A Member may return to work from a period of LTD only when they have provided evidence acceptable to the University and the insurance carrier that they are medically cleared to do so.
- 39.40 A return to work may take place in any term and at any point in a term.

Medical Accommodation

- 39.41 The University has the legal duty to accommodate Members with disabilities, including chronic illness and conditions that fluctuate in severity, in the manner and to the extent required by the British Columbia *Human Rights Code* and by law. The Parties agree that this means providing reasonable accommodation to Members who provide medical evidence of necessity, to the point of undue hardship if such accommodation will enable the Member to perform their Academic or Professional Responsibilities. Members will not be denied accommodation on the grounds that funds are not available.
- 39.42 The accommodation process will take into account both (a) the experience that Members with a disability have regarding the specific forms of support that will allow them to best perform their duties; and (b) other relevant expertise, provided by those with medical expertise and expertise regarding workplace accommodation, in determining appropriate accommodation. Members will be consulted in all decisions regarding their need for accommodation and are expected to participate cooperatively in order to achieve a timely and reasonable outcome.

Initial Procedures

- 39.43 A Member who needs medical accommodation shall first discuss their needs with their Chair or supervising Librarian. A Member has the right to have a representative of the Association present at this and any subsequent meetings regarding accommodation.
- 39.44 All parties involved in planning for an accommodation must consult with the Work Life Consultant. The Chair, or supervising Librarian, or Work Life Consultant may consult with a Faculty Relations Consultant, as needed. A Member has the right to consult with the Association at any time.

- 39.45 The Member has the responsibility to provide requested documentation relevant to the Member's ability to perform Academic or Professional Responsibilities to verify the need for medical accommodation and to assist in identifying the accommodation needed to the Work Life Consultant. Documentation acceptable to the University will be provided by an appropriate health practitioner and, when requested, by a medical expert in the field.
- 39.46 A medical accommodation plan shall identify all functional limitations identified by the treating health practitioner and the specific accommodations required. The plan shall refer to any relevant provisions in the Standard for the Unit. The Member, the Chair or supervising Librarian, and any consultants shall work to ensure the medical accommodation plan is implemented in a timely way.
- 39.47 A Member who requests a medical accommodation in order to perform Academic or Professional Responsibilities and provides the requested documentation in s. 39.45 will be granted an appropriate temporary accommodation or partial or full leave with pay until such time as the approved accommodation is in place. Such temporary accommodation or partial or full leave with pay will not be unreasonably denied.
- 39.47.1 The Parties shall make best efforts to develop and implement an agreed-to accommodation without unreasonable delay.

Medical Accommodations Requiring Modification of Terms and Conditions of Employment

- 39.48 Where the medical accommodation will represent a departure from the Workload described in the Unit's Standard, the Faculty Evaluation Policy, or the Libraries Evaluation Policy for a period of more than two (2) months, the medical accommodation must be approved by the Member's Dean or the University Librarian, as appropriate, and documented as a Reduced Workload or Alternative Workload arrangement.
- 39.49 Where the medical accommodation will represent a departure from this Agreement, the accommodation must be jointly approved by the Associate Vice-President Faculty Relations and Academic Administration and the President of the Association.

Record and Report of Medical Accommodations

- 39.50 Where a plan for accommodation of a Member has been agreed to by the Member and the Member's Chair or supervising Librarian and Dean or University Librarian, as appropriate, the Work Life Consultant will forward a copy of the medical accommodation plan to the Member, the Dean or University Librarian, the Chair or supervising Librarian, and the Association.
- 39.51 All personal information regarding a Member and the Member's medical accommodation plan will be treated in accordance with the University's obligations under the *Freedom of Information and Protection of Privacy Act* and in accordance with the University's *Protection of Privacy Policy* (GV0235).
- 39.52 The medical accommodation plan will be included in the Personnel File of the Member and is confidential as provided in s. 18.15 – s. 18.17 of the Agreement.

Disagreement

- 39.53 Where a Member and the Member's Chair or supervising Librarian cannot agree to a medical accommodation plan, the disagreement, together with all relevant documentation, will be referred to the Director of Faculty Relations and Academic Administration within five (5) Working days of written notice of denial of the requested accommodation plan. The Director of Faculty

Relations and Academic Administration will respond within ten (10) Working days. During any period of appeal, the University and the Member will continue to attempt to reach agreement. If agreement still is not reached, the decision of the Director of Faculty Relations and Academic Administration may be grieved.

- 39.54 If the Director of Faculty Relations and Academic Administration agrees that the matter should be referred to an independent consultant, the Director of Faculty Relations and Academic Administration and the President of the Association will select the independent consultant by agreement, at the University's cost. The independent consultant will be a person with expert knowledge about functional limitations similar to those of the Member and workplace accommodations for persons with such limitations. The independent consultant will be asked to provide advice to the Director of Faculty Relations and Academic Administration and the President of the Association as to one or both of the following:
- a) whether the documentation provided is adequate to determine the appropriate level and type of accommodation;
 - b) an assessment of whether the University is offering a plan that constitutes a reasonable accommodation plan in the case, including whether additional elements of the plan are required to adequately accommodate the Member.
- 39.55 The independent consultant may request that the Member consult a specialist selected by the independent consultant for another opinion. The cost of consulting the specialist will be paid by the University. The consultant may then take into account the opinion of the specialist, together with medical and other evidence, including that provided by the person seeking accommodation, in providing their advice.
- 39.56 The decision in s. 39.53 may be grieved by the Association and may be referred by the Association to arbitration within fifteen (15) Working days following notification of the decision. Arbitration will proceed in accordance with the provisions of Article 47 *Dispute Resolution* of this Agreement.

40. Employment Standards Act Leaves

- 40.1 Members are entitled to unpaid leaves provided by the *Employment Standards Act* (ESA) of British Columbia, or any successor legislation, in accordance with the legislation. Such leaves include:
- a) illness or injury leave (of the employee);
 - b) maternity leave;
 - c) parental leave;
 - d) family responsibility leave;
 - e) compassionate care leave;
 - f) critical illness or injury leave (of an employee's family member); and
 - g) COVID-19 related leave.
- 40.2 The Member must provide the Dean or University Librarian with the medical certificate required by the ESA within a reasonable time.
- 40.3 Terms and conditions of employment of a Member on an *Employment Standards Act* Leave will remain unchanged during the leave. Upon returning to work, the Member will be placed in the position that the Member held prior to the leave with no loss of rights or benefits, and will receive all upward salary adjustments that the Member would have received had the Member been receiving salary and had been evaluated in accordance with s. 50.34.

41. Compassionate Leave without Salary

- 41.1 A Compassionate Leave Without Salary is intended to provide an unpaid leave for Members in difficult family or personal circumstances where no other form of leave applies, but the Member is temporarily unable to perform their Academic or Professional Responsibilities. It may follow a period of Special Leave or an *Employment Standards Act* Leave, or may arise independently.
- 41.2 A Member may apply for Compassionate Leave Without Salary through a written request to the Dean or University Librarian setting out the reasons for the leave. The Dean or University Librarian will forward the request to the Vice-President Academic and Provost together with a statement of how the Unit will fulfill its responsibilities during the leave.
- 41.3 Compassionate Leave Without Salary is granted for a fixed period of time up to eighteen (18) months unless otherwise agreed in writing. A Member on Compassionate Leave Without Salary has a right to return to the University prior to the expiration of the leave, given reasonable notice.
- 41.4 During a Compassionate Leave Without Salary, the University will not make any contributions to pension or benefit plans for the Member. The Member may opt to continue the benefit plans or pension contributions by paying the full amount of the premium or contributions.
- 41.5 A Member on Compassionate Leave Without Salary may during such period hold an appointment as a sessional instructor with pay or other contractual arrangement with the University.
- 41.6 Members on Compassionate Leave Without Salary will have access to University travel grants, research funds and professional expense reimbursement accounts in accordance with University rules and procedures. If a Compassionate Leave Without Salary extends over all or part of a research term, the Faculty Member has no entitlement to a replacement term.
- 41.7 Except as provided for in this Article, terms and conditions of employment of a Member on unpaid Compassionate Leave will remain unchanged during the leave. Upon returning to work, the Member will be placed in the position that the Member held prior to the leave with no loss of rights or benefits, and will receive all upward salary adjustments that the Member would have received had the Member been receiving salary and had been evaluated in accordance with s. 50.34.

42. Leave for Jury and Witness Duty

- 42.1 The University will grant paid Leave for Jury and Witness Duty to Members, other than those on any form of leave without salary, who are required by law to serve as jurors in a court of law.
- 42.2 The University will grant paid Leave for Jury and Witness Duty to Members, other than those on any form of leave without salary, who are required by law to serve as witnesses in a court action or statutorily established tribunal, provided that such proceeding has not been initiated by the Member with regard to the Member's private affairs.
- 42.3 A copy of the notice to serve or to appear shall be provided at the time of leave request.
- 42.4 In cases where a Member's private affairs require the appearance of the Member before a court or a statutory tribunal, the University will grant Leave Without Salary to the Member.
- 42.5 Where Leave for Jury and Witness Duty is required under this Article, the Member will, as soon as possible, notify their Chair or supervising Librarian of the days when the Member is required to be in court.

43. Political Leave

- 43.1 Where a Member is nominated as a candidate to be a Member of Parliament or a Member of the Legislative Assembly of British Columbia and the Member applies for Political Leave, the University will grant the Member Political Leave without salary for the period of the campaign extending from the issuance of the election writ until the day of the election, subject to arrangements being made that are satisfactory to:
- a) a Faculty Member's Dean for the teaching of any classes and the supervision of any graduate students that would otherwise be the responsibility of the Faculty Member during this period; or
 - b) the University Librarian in the case of a Librarian for carrying out of the Librarian's Professional Responsibilities during this period.
- 43.2 A period of Political Leave for this purpose will not exceed four (4) months.
- 43.3 Where a Member is elected as a Member of Parliament or the Legislative Assembly of British Columbia, the Member shall advise the University and arrangements will be made for Political Leave without salary effective from the date of election until the dissolution of that Parliament or Legislative Assembly to which the Member has been elected or until the Member resigns the seat in the Parliament or Legislative Assembly to which the Member has been elected, whichever occurs first.
- 43.4 Where a Member has received and accepted a Ministerial appointment, the Member shall advise the University and arrangements will be made for Political Leave without salary effective from the date of appointment to the date when the Member resigns the appointment subject to the maximums in s. 43.6.
- 43.5 Where requested and approved by the Vice-President Academic and Provost, the Member may maintain up to 0.2 FTE Workload for the purpose of continuing graduate student supervision.
- 43.6 The maximum period of continuous Political Leave under this section is the longer of:
- a) two (2) consecutive Parliaments or Legislative Assemblies; or
 - b) seven (7) years.
- 43.7 If the Member does not resume at least half-time service to the University at the expiration of this period of Political Leave, the Member is deemed to have resigned their employment with the University.
- 43.8 A Member who is elected as a member of a Regional District, City or Municipal Council, or School Board is not eligible for Political Leave. However, the Member may elect to opt for:
- a) a Reduced Workload under Article 13 *Standards and Workload* for the term of the Member's elected office; or
 - b) Leave Without Salary.
- 43.9 When a Member accepts an appointment to the Senate of Canada, they shall advise the University and arrangements will be made for Political Leave without salary, effective from the date of appointment for a period up to seven (7) years or the date the Member no longer serves

as Senator, whichever comes first. If the Member does not resume at least half-time service to the University at the expiration of this period of Political Leave, the Member is deemed to have resigned the Member's employment with the University.

- 43.10 During a period of Political Leave, a Member may maintain their University pension and benefit plans by paying the total cost of both the Member's and the University's contributions to the plans. The University will not make any contributions to a Member's personnel benefit plans during a period of Political Leave.
- 43.11 Salary adjustments during a period of Political Leave are governed by provisions of this Agreement with regard to a period of Leave Without Salary. Evaluations for the purpose of salary adjustment shall occur in accordance with s. 50.34.
- 43.12 Where a Member is on Political Leave for an entire Academic Year, no funds are allocated to the Member's Professional Expenses Reimbursement account for that Academic Year. Where a Member is on Political Leave for a portion of an Academic Year, the funds allocated to the Member's Professional Expenses Reimbursement account for that Academic Year will be prorated accordingly.
- 43.13 A Member on Political Leave may not be reimbursed from Professional Expense Reimbursement funds for expenses incurred during the period of Political Leave.
- 43.14 A Member on Political Leave is not eligible to apply for any University research and travel grants where the funds will be expended during the period of Political Leave without salary.
- 43.15 Upon the expiration of Political Leave granted under this Article, the Member is entitled to return to the University at the same academic rank or Librarian rank that the Member had when the Political Leave was granted.

44. Special Leave

- 44.1 The purposes for which Special Leave may be granted include:
- a) Where a person in the immediate family of a Member or the Member's partner suffers a serious injury or illness that requires the Member to be absent from the University or renders the Member unable to perform their responsibilities, the Member may request Special Leave for compassionate reasons.
 - b) Where a person in the immediate family of a Member or the Member's partner dies, the Member may request Special Leave for bereavement.
 - c) Where an Indigenous Member needs to be absent to attend an Indigenous ceremonial gathering or cultural activity in fulfillment of cultural obligations, the Member may request Special Leave.
 - d) Special Leave may be granted in circumstances where other forms of leave are not applicable.
- 44.2 Special Leave is limited to two (2) weeks and is with full salary and benefits. In exceptional circumstances, the Vice-President Academic and Provost may approve a Special Leave longer than two (2) weeks.
- 44.3 An application for Special Leave must be submitted to the Dean through the Member's Chair, or University Librarian through the supervising Librarian in the case of a Librarian. Where an Indigenous Member is seeking leave under s. 44.1 c), the Associate Dean Indigenous shall provide a recommendation to the Dean and Provost.
- 44.4 The Chair and subsequently the Dean, in the case of a Faculty Member, or the supervising Librarian and subsequently the University Librarian in the case of a Librarian, will review each application for Special Leave and make a recommendation to the Vice-President Academic and Provost.
- 44.5 A Member on Special Leave may apply for a Compassionate Care Leave, a Compassionate Leave Without Salary, or a Leave Without Salary following the end of this initial leave.
- 44.6 Applications for Special Leave are considered by the Vice-President Academic and Provost who determines whether to approve the request after considering the recommendations of the Chair and the Dean, or supervising Librarian and University Librarian in the case of Librarians. Before denying a leave request under s. 44.1 c), the Vice-President Academic and Provost must consult with the Vice-President Indigenous.

45. Leave Without Salary

- 45.1 There is no entitlement to Leave Without Salary. Each application for Leave Without Salary is considered on its individual merits in relation to the best interests of the University, its academic programs and students, as well as the academic interests of the Member where they relate to the University.
- 45.2 Normally, the period of Leave Without Salary will not exceed one (1) year; however, a Leave Without Salary may be extended upon application.
- 45.3 An application for Leave Without Salary or for extension of an approved Leave Without Salary is made to the Member's Chair or, in the case of a Librarian, the supervising Librarian.
- 45.4 The Chair and the Dean, or the supervising Librarian and University Librarian in the case of Librarians, will review each application and make a recommendation to the Vice-President Academic and Provost.
- 45.5 Applications are considered by the Vice-President Academic and Provost who determines whether to approve the Leave Without Salary or extension of Leave Without Salary after considering the recommendations of the Chair and the Dean, or supervising Librarians and University Librarian in the case of Librarians.
- 45.6 During a period of Leave Without Salary, a Member does not receive any payment from the University by way of regular salary. Nevertheless, a Member on Leave Without Salary may, during such period, hold an appointment as a sessional instructor with pay or may receive payment under another contractual arrangement with the University.
- 45.7 Salary adjustments with regard to a period of Leave Without Salary are governed by s. 50.32.4 or s. 50.32.5 of this Agreement.
- 45.8 During a period of Leave Without Salary, a Member may maintain their University pension and benefits plans by paying the total cost of the pension and benefit plans including the University's normal contributions to the Member's pension and benefit plans to a maximum of (twelve) 12 months.
- 45.9 Where a Member is on Leave Without Salary for an entire academic year, no funds are allocated to the Member's Professional Expenses Reimbursement account for that academic year. Where a Member is on Leave Without Salary for a portion of an academic year, the funds allocated to the Member's Professional Expenses Reimbursement account for that academic year will be prorated accordingly.
- 45.10 A Member on Leave Without Salary may not be reimbursed from Professional Expense Reimbursement funds for expenses incurred during the period of Leave Without Salary.
- 45.11 A Member on Leave Without Salary is not eligible to apply for any University research and travel grants where the funds will be expended during the period of Leave Without Salary.
- 45.12 A Member is not entitled to return to work before the end of an approved Leave Without Salary, unless an early return is recommended by the Chair to the Dean or by the supervising Librarian to the University Librarian in the case of Librarians, and approved by the Vice-President Academic and Provost.

Part 9: Discipline and Conflict Resolution

46. Discipline

- 46.1 The University supports the principle of progressive discipline and will make its best efforts to bring conduct, which could be the subject of disciplinary proceedings, to the attention of a Member in a manner that will assist the Member to correct their conduct; and provide a reasonable time for the Member to correct the conduct in question.
- 46.2 Members may be disciplined only for just cause and in accordance with this Agreement.

Personnel File and Official Performance File

- 46.3 Where a written record of non-disciplinary Oral Discussion or any Letter of Expectations exists, it must be placed in a Member's Personnel File held in the Unit if it is to be referenced in formal disciplinary proceedings. Documentation referenced in a written record will be placed in the Member's Personnel File held in the Unit.
- 46.4 Except in the case of a suspension or a discipline related to sexualized violence, any record of Oral Discussion, Letter of Expectations or disciplinary action will be removed, or is deemed to have been removed, from a Member's Personnel File three years from the date of the document, provided there has been no further Oral Discussion(s), Letter(s) of Expectations, or disciplinary action related to the conduct of concern.
- 46.5 A Member's Official Performance File must not contain material or documentation arising from the application of this Article but such material may be used for the purposes, and within the limits, set out in s. 46.7.

Disciplinary Processes and Evaluation of Members

- 46.6 Disciplinary processes must be kept distinct from academic assessments such as those used in the processes of Reappointment, Continuing Appointment, Tenure, removal of probationary status, Promotion and evaluation for biennial salary adjustments.
- 46.7 The fact that an Oral Discussion, Letter of Expectations or any disciplinary action has been imposed under this Article cannot be considered in the assessment of a Member with regard to Reappointment, Continuing Appointment, Tenure, removal of probationary status, Promotion and evaluation for biennial salary adjustments. However, the Chair, Dean, supervising Librarian (in the case of a Librarian) or University Librarian may determine that the evidence that supported the imposition of the disciplinary action may be taken into account if:
- a) the evidence is directly relevant to the evaluation criteria under Article 25 *Evaluation of Members* for the Member's appointment;
 - b) the conduct resulting in the disciplinary action took place within the period for which the Member is being reviewed;
 - c) in accordance with s. 30.27 and s. 33.28, the Member is given written notice of the information that it is proposed be taken into account, with sufficient details to enable the Member to prepare a response; and
 - d) the Member is given the opportunity to present evidence by way of response, rebuttal or mitigation before the final assessment is made.

- 46.8 Where evidence is considered as provided for in s. 46.7, the assessment made must include a written statement of the weight that such evidence was given in the assessment and the effect that it had on the outcome. A copy of this statement must be given to the Member.
- 46.9 Where evidence is considered as provided for in s. 46.7, that evidence must not form part of the Member's Official Performance File.

University to Provide Information

- 46.10 The University agrees to provide at the earliest possible opportunity all reasonable information the Association requires to fulfill its role in representing its Members in disciplinary investigations and in processing grievances that might arise from such investigations, unless prohibited by law.

Oral Discussion

- 46.11 Chairs, Directors, and supervising Librarians may have an informal discussion with a Member to raise concerns and/or attempt to resolve issues related to performance or conduct prior to an Oral Discussion, provided they have agreement of the Dean/University Librarian.
- 46.12 Where an issue is raised with respect to a Member's conduct, including conduct related to s. 46.38 a), unless it represents serious misconduct, the first step will be an Oral Discussion between the Member and the Member's Dean or University Librarian (in the case of a Librarian), who will keep a note of the fact that the discussion took place. A record of the Oral Discussion will be entered in the Member's Personnel File held in the Unit and a copy of the note, together with a written statement that these documents will be placed in the Personnel File, must be sent to the Member.
- 46.13 An Oral Discussion, including the making and retention of a note of that discussion, under s. 46.12 is not a disciplinary action and may not be made the subject of a grievance under this Agreement.
- 46.14 A Member has the right to place a written response to the Oral Discussion on their Personnel File. The Association or University may refer to that response in any subsequent grievance.
- 46.15 Any note of an Oral Discussion or response to an Oral Discussion on a Personnel File will be removed, or deemed to be removed, in accordance with s. 46.4, unless the conduct or performance of concern has continued during that period and further action under this Agreement has been taken.

Letter of Expectations

- 46.16 Where conduct of a Member continues after an Oral Discussion under s. 46.10, unless the conduct represents serious misconduct, the next step will be a Letter of Expectations to the Member from the Dean or University Librarian that specifies actions the Member should take to correct the conduct.
- 46.17 A Letter of Expectations is not a disciplinary action and may not be made the subject of a grievance under this Agreement. The Letter of Expectations will notify the Member that the letter will be placed in the Member's Personnel File.
- 46.18 A Member has the right to place a written response to the Letter of Expectations in their Personnel File.

- 46.19 Any Letter of Expectations or response to a Letter of Expectations in a Personnel File will be removed, or deemed to be removed, in accordance with s. 46.4, unless the conduct or performance of concern has continued and further action under this Agreement has been taken.

Investigation Leading to a Decision

- 46.20 Where the President, Vice-President Academic and Provost, or the Member's Dean or the University Librarian (hereafter the "Administrator") is satisfied that there may be concerns of inappropriate Member conduct, the Administrator or designate may undertake a preliminary review of the case for the purpose of determining whether an investigation is warranted. Such an exercise will be carried out in a discreet and timely fashion. This preliminary review is not an investigation, and the Administrator will take all reasonable steps to minimize the impact on the Member's duties and working environment.
- 46.20.1 Where the Dean of the Faculty of Graduate Studies is satisfied that there may be concerns of inappropriate Member conduct in relation to a graduate student, or breach of the Graduate Supervision Policy, the Faculty of Graduate Studies may undertake a preliminary review of the case for the purpose of determining whether an investigation is warranted. The report of the preliminary review will be provided to the Member's Dean who, in consultation with the Dean of the Faculty of Graduate Studies, will determine whether an investigation is warranted.
- 46.21 Provided the Administrator concludes that there are reasonable grounds to believe that a situation exists that may lead to disciplinary action against the Member, the University may undertake an investigation. An investigation conducted under this Article does not constitute a disciplinary action and is not grievable under this Agreement.
- 46.22 Any investigation will normally be initiated within twenty (20) Working days of the date the University received a complaint or, in the case of a University led complaint, of the date the University knew, or ought reasonably to have known, of an occurrence of the conduct or the event giving rise to potential disciplinary action.
- 46.23 When the University decides to initiate an investigation, the Administrator will send a notice in writing to the Member, with a copy to the Association, identifying the nature of the conduct or event that is being investigated and informing the Member that disciplinary action is being considered. The Administrator may delay notifying the Member only if the Administrator has reasonable grounds to believe that disclosure of the investigation will produce a significant risk of harm to another person or that it will jeopardize the investigation; however, the Member and the Association will, in any event, be notified not later than five (5) Working days from the commencement of the investigation and will be provided with a written explanation of the reason for any delay in notification.
- 46.24 Once an investigator is identified, the University will give the Member notice of a meeting with the investigator. The notice will provide the Member the opportunity to raise objections to the choice of investigator based on Conflict of Interest or Reasonable Apprehension of Bias (as defined in Article 49) within five (5) Working Days. The University shall respond in writing to any objections to the investigator made by the Member and/or the Association. The notice will specify the right of the Member to be accompanied to the meeting by a representative of the Association. The Administrator may have a representative of their choice attend the meeting.

- 46.25 In exceptional circumstance, the notice may contain notification to the Member relieving the Member of some or all of their duties and/or restricting them from campus pending the outcome of the investigation. Such steps may be taken only where the Vice-President Academic and Provost has reasonable grounds to believe failure to relieve the Member of these duties would result in a risk to the mental or physical health or safety of other members of the University community, to University property, or to University operations. The Vice-President Academic and Provost must consider all reasonable alternatives proposed by the Member or the Association. This relief of duties under this Section is not disciplinary and is not grievable under this Agreement. The relief of duties must be reviewed every twenty (20) Working days. The notice must state the date on which the relief of duties commences and when it is expected to end and provide an explanation of the reasons for the relief of duties. Any relief of duties under this Section shall be with pay.
- 46.26 The intent of the meeting or meetings under s. 46.24 is to enable the Member to understand and to respond to all of the allegations.
- 46.27 After the completion of the investigation, normally within sixty (60) Working days after the investigation was commenced, the Administrator (or designate) will send the investigative report (unless prohibited by law) to the Member with a notice in writing indicating whether or not disciplinary action is warranted, including any proposed discipline and the rationale for the proposed discipline. A copy of the notice and report will be sent to the Association. Anyone receiving the investigative report shall keep it confidential, but may provide it to advisors bound by confidentiality.
- 46.28 If the Administrator notifies the Member that in their opinion disciplinary action is warranted, a meeting will be scheduled, normally within ten (10) Working days of the notice, to enable the Member to make submissions on the findings in the investigation and the proposed discipline, before the discipline decision is finalized. The Association may waive this meeting in writing within two (2) Working days of receipt of the notice. The Member must be accompanied to this meeting by a representative of the Association. The Administrator is entitled to have a representative of their choice attend the meeting. Within ten (10) Working days of this meeting, the Administrator will provide their decision regarding discipline in writing to the Member, with a copy to the Association.

Disciplinary Action

- 46.29 Disciplinary action may be taken against a Member following an investigation either under s. 46.20 – s. 46.28 or under relevant University policy, only for just and sufficient cause and only in accordance with the provisions of this Section:
- a) disciplinary action will be commensurate with the nature of the Member's conduct;
 - b) in any meeting attended by a Member who is subject to the provisions of this Section, the Member must be accompanied by a representative of the Association. An Indigenous Member may elect to also be accompanied by an Indigenous academic colleague, Elder or Knowledge Keeper/ Holder; and
 - c) the processes regarding disciplinary action included in this Section will, subject to the provisions of s. 46.7 and s. 46.9, be kept distinct from academic assessments such as those used for purposes of Reappointment, Continuing Appointment, Tenure, removal of probationary status, Promotion and evaluation for biennial salary adjustments.

- 46.30 Where the Administrator determines that the conduct of a Member warrants discipline, the Administrator may take whichever of the following actions is deemed appropriate in the circumstances:
- a) require remedial training or other remedial measures;
 - b) issue a written Letter of Reprimand;
 - c) recommend to the Faculty of Graduate Studies that graduate supervision privileges be restricted, suspended or withdrawn;
 - d) recommend to the President that the Member be suspended with or without pay for a specified period or until the occurrence of a specified event; or
 - e) recommend to the President that the Member be dismissed.
- 46.31 A Member may appeal a disciplinary suspension to the Board of Governors pursuant to the *University Act*, or the matter may be grieved under this Collective Agreement.
- 46.32 Subject to s. 46.31, all types of disciplinary action are grievable by the Association and may be sent to arbitration under Article 47 *Dispute Resolution*.
- 46.33 The University will provide the Association with any correspondence to a Member relating to a process occurring under this Article.

Letter of Reprimand

- 46.34 A Letter of Reprimand must be clearly identified as a disciplinary measure and must contain a clear statement of the reasons for issuing the reprimand and a statement of the actions that the Member should take to correct the conduct. The Letter of Reprimand will be placed in the Member's Personnel File. A Letter of Reprimand will be removed, or be deemed to have been removed, in accordance with s. 46.4.

Suspension

- 46.35 Suspension empowers the University to relieve a Member of some or all of the Member's University duties and/or to remove some or all of the Member's privileges without the Member's consent.
- 46.36 When the University suspends a Member with or without pay, the University will send written notice containing the dates of commencement and termination of the suspension together with a written statement of reasons to the Member either by:
- a) delivering the notice to the Member personally; or
 - b) delivering the notice to the Member by courier or by receipted registered mail addressed to the Member's last known address; or
 - c) delivering the notice to the Member by email upon consent of the Member to receive it in that manner; and
 - d) at the same time the University will send a copy of the Member's notice of suspension to the Association.

Dismissal for Just Cause

46.37 Dismissal for Just Cause occurs when employment of a Member is terminated without the Member's consent prior to the Member's retirement. Layoff under Article 55 *Program Discontinuance* or Article 56 *Financial Exigency* does not constitute Dismissal for Just Cause. Failure to re-appoint or renew appointments under academic review processes in this Agreement and denial of Tenure or Continuing Appointment do not constitute Dismissal for Just Cause.

46.38 Dismissal for Just Cause includes, but is not limited to:

a) with regard to Academic or Professional Responsibilities:

- i) persistent refusal or neglect to undertake duties necessary to the performance of Academic or Professional Responsibilities without reasonable justification; or
- ii) persistent inability to perform duties for non-culpable reasons that cannot be accommodated as required by law where the Member is ineligible for Long-Term Disability insurance or has refused to make application for it; or
- iii) serious and willful misconduct in the performance of Academic or Professional Responsibilities.

or

b) with regard to conduct not connected with Academic or Professional Responsibilities:

- i) a serious breach of the criminal law resulting in a conviction for an indictable offence;
or
- ii) violent behaviour or threats of violence against a member of the University community;
or
- iii) where professional standing (license, certification, registration) is an expressed condition of employment, removal of or failure to maintain such professional standing;
or
- iv) serious or persistent breach of law or University policy such that the well-being of others or the operation of the University is put at risk.

46.39 Where the Administrator is satisfied that there is just cause to justify a recommendation to the President that the Member be dismissed and the President confirms this decision, a notice of intention to recommend dismissal will be sent to the Member with a copy to the Association. Before proceeding with dismissal, the Member may within a period of five (5) Working days of the notice tender a written resignation which must take immediate effect.

46.40 Where the Dismissal for Just Cause is based on s. 46.38 a), dismissal of a Member in accordance with s. 46.38 shall not be initiated until after the steps of progressive discipline have been exhausted.

46.41 Where a person is dismissed from an administrative appointment outside of this Agreement and carries an appointment which is subject to this Agreement, the termination of the appointment which is subject to this Agreement shall occur only in accordance with this Agreement.

47. Dispute Resolution

Informal Resolution

- 47.1 Nothing in this Agreement prevents the Parties from using informal means to settle disputes. This may include an agreement to mediate or to use any other alternate dispute resolution method on which the Parties agree.
- 47.2 Members are encouraged to bring any disputes over the application or interpretation of this Agreement to their Chair, supervising Librarian, Dean or the University Librarian. Where the dispute relates to the Dean or University Librarian, the dispute may be referred to the Director Faculty Relations and Academic Administration. All disputes must be raised in a timely way. The Member may have a representative of the Association present at any discussion about a dispute that could result in a grievance. The Chair, supervising Librarian, Dean or University Librarian, and Director Faculty Relations and Academic Administration may also request another member of the administration to attend the meeting.
- 47.3 If informal resolution fails, the Association may submit a formal written grievance.

Filing a Grievance

- 47.4 The Association must submit any grievance by written notice from the Association to the Associate Vice-President Faculty Relations and Academic Administration.
- 47.5 A Member Grievance is a claim by the Association arising from a dispute with the University respecting the interpretation, application, operation or alleged violation of this Agreement on behalf of a Member, including a question as to whether a matter is arbitrable.
- 47.6 A grievance must contain a brief written statement of the facts, a reference to the breach or inappropriate application or violation of the Agreement or applicable legislation, and a statement of the remedy sought.
- 47.7 The Association may submit a Policy Grievance on a matter where the Association and the University disagree about the application or interpretation of this Agreement.

University Grievance

- 47.8 Nothing in this Article or Agreement limits the right of the University to initiate a grievance and refer the matter to arbitration in accordance with s. 84 of the *Labour Relations Code*.

Timelines

- 47.9 The following timelines apply to the filing of a grievance. The Parties may agree to extend these deadlines for a specific period.
- 47.9.1 A Policy Grievance must be submitted within sixty (60) Working days from when the Association knew or ought to have known that a disagreement about the application or interpretation of this Collective Agreement exists.
- 47.9.2 A Member Grievance alleging breach of this Collective Agreement must be grieved within the earliest of:
- a) sixty (60) Working days from when the Association knew or ought to have known of the breach; or

- b) one hundred twenty (120) Working days from when the Member knew or ought to have known of the breach.
- 47.10 Notwithstanding s. 47.9, s. 47.9.1 and s. 47.9.2, a grievance of any discipline for just cause must be submitted within twenty (20) Working days of the Association's receipt of notice of the decision.
- 47.11 An authorized representative of each Party will meet within fifteen (15) Working days of the submission of the grievance to discuss and try to resolve it.
- 47.12 If the representatives fail to reach a solution within 10 Working days of their first meeting, or if the representatives fail to meet within fifteen (15) Working days, then either the Association or the University may refer the matter to arbitration within sixty (60) Working days of the date of the grievance. Referral of a grievance to arbitration beyond this timeline shall only occur on consent of the Parties and the grievance is otherwise deemed withdrawn.
- 47.13 All dates and timelines in this Article may be altered or waived by written agreement of the Parties. A request to extend the timelines shall not be unreasonably refused.

Expedited Resolution

- 47.14 Either Party may refer a grievance to expedited arbitration or seek the services of a Special Officer pursuant to the *Labour Code*.
- 47.15 Prior to the exhaustion of the grievance process, the Parties may agree to use mediation, mediation-arbitration, or another resolution procedure acceptable to all Parties.
- 47.16 The mediator-arbitrator's decision will not set a precedent for the Parties.

Regular Arbitration Process

- 47.17 Unless the Parties agree to an expedited arbitration, when the grievance procedures have been exhausted as stipulated under s. 47.12 and one of the Parties refers the matter to arbitration, that Party will advise the other Party in writing of its decision to submit the grievance. Except as provided for in s. 47.21, the grievance will be referred to a sole arbitrator.
- 47.18 The Parties will appoint an arbitrator by agreement. If they cannot agree, the Collective Agreement Arbitration Bureau of the province will be asked to make the appointment.
- 47.19 As soon as possible, and no later than twenty (20) Working days after a matter has been referred to arbitration, the grieving party must submit the complete particulars of their case, including production of all documents in their possession that are relevant to the issues, and the names of witnesses. The other party shall respond with a submission with the complete particulars of their case within twenty (20) Working days after receiving the particulars from the grieving party.
- 47.20 The arbitrator may call a pre-hearing conference on the request of either Party or on the arbitrator's motion to settle the questions to be answered in the arbitration, to obtain admissions or agreed statements of facts or to resolve any other issues prior to the hearing. The Arbitrator has the ability to order any party to produce particulars and any other relevant documents.

Academic Arbitration Process

- 47.21 Where any grievance by the Association that challenges a denial of Promotion, Tenure, Reappointment, Continuing Appointment or removal of probation is referred to an arbitrator,

the arbitrator shall be selected by the Parties under the provisions of s. 47.18 and two academic advisors shall be selected in accordance with s. 47.22.

- 47.22 The President of the Association and the Vice-President Academic and Provost will each select an advisor who currently holds a Regular Faculty or Librarian Appointment at the University of Victoria and who will sit with the arbitrator to provide information and context with respect to the academic standards to be applied and the normal processes of the University.
- 47.23 The advisors will not be voting members of the panel, but will attend all meetings between the arbitrator and the Parties and will also attend the hearing. The arbitrator will not meet individually with either advisor and should the arbitrator have any questions, the arbitrator will ask the same questions of both advisors.
- 47.24 In arbitrations referred under s. 47.21, the arbitrator shall have the jurisdiction to examine and grant a remedy on any aspect of the process or decision leading to the grievance, including but not limited to substantive or procedural errors and/or bias or Reasonable Apprehension of Bias provided that the arbitrator does not fetter the power of the President as granted under the *University Act* or delegated by the Board of Governors.
- 47.24.1 Notwithstanding s. 47.24, where the grievance under s. 47.21 requires an arbitrator's review of a disciplinary decision, s. 47.24 does not operate and the Parties may argue matters of jurisdiction.
- 47.24.2 In arbitrations referred under s. 47.21, and in any reconsideration of the case, no materials shall be added to the record of performance after the decision being challenged, except by agreement of the Parties or as a consequence of an award or interim ruling of an arbitrator.

Arbitration Hearing

- 47.25 The Parties to any arbitration will be the University and the Association as represented by the Vice-President Academic and Provost and the President of the Association or their designates.
- 47.26 Any hearing will be held in private. The grievor, the designated representatives of the Association, the University and their counsel or other advisors are entitled to attend the hearing.
- 47.27 Subject to prevailing law and the order of the arbitrator, each Party has the right to present evidence and make arguments to the arbitrator. Where oral evidence is heard, the Parties have the right to cross-examination of the other's witnesses.
- 47.28 The arbitrator may decide to admit evidence, whether or not included in the Parties' pre-hearing disclosure, as the arbitrator thinks fit.
- 47.29 Each Party will bear the costs of its own fees and expenses and the Parties will share equally the fees and expenses of the arbitrator.
- 47.30 The decision of the arbitrator is final and binding on the Parties, subject to legal rights of further review.
- 47.31 Where the grievance involves a matter of discipline, the arbitrator may dispose of the grievance in any way the arbitrator determines is just and reasonable, subject to the provisions of the *University Act*.

48. Conflict of Commitment (External Professional Activities)

- 48.1 External professional activity includes any activity whether paid or unpaid, which employs the Member's professional skill or arises out of their academic status, whether or not the activity is academic in nature or discipline-related.
- 48.2 External professional activity, whether paid or unpaid, does not include:
- a) serving as an external referee, examiner or reviewer for another academic institution;
 - b) editing an academic or professional journal related to the Member's academic discipline;
 - c) serving as a peer reviewer for a granting agency or publisher; and
 - d) serving in leadership positions in professional associations, community associations or boards, and as a member of community associations or boards.
- 48.3 Members have the right to engage in external professional activity provided that such activity does not conflict with their Academic or Professional Responsibilities.
- 48.4 Any academic appointment with another post-secondary institution or academic or research institute that, in combination with University of Victoria employment comprises of work equivalent to 1.25 FTE or more, whether or not remunerated, must be disclosed under the provisions of Article 49 *Conflict of Interest and Reasonable Apprehension of Bias*.
- 48.5 A Conflict of Commitment does not exist when a Member fulfills expectations in relation to their Academic or Professional Responsibilities.
- 48.6 Members may use the University's resources or facilities for external professional activities in accordance with this Agreement and University policy provided such activities do not incur incremental costs or risk to the University, or interfere with the use of University resources or facilities for the purposes of the University. Any ongoing use of resources within a Department, Faculty or Library requires the written consent of the Dean, which shall not be arbitrarily withheld.
- 48.7 When a Member's outside activities involve incremental costs to the University, the use of resources shall be subject to the prior approval of the Dean. Costs for such facilities, supplies or services shall be borne by the Member at prevailing rates set by the University, unless the Dean agrees, in writing, to waive all or part of such costs.
- 48.8 Members will have the right to state the nature and place of their employment, rank and title in connection with external professional activity and to use their University address as a mailing address so long as they make clear that they do not represent the University and do not speak on behalf of the University unless expressly authorized to do so.
- 48.9 If a Member plans to undertake an external professional activity that has the potential to conflict with some aspect of the Member's Academic or Professional Responsibilities, the Member must forward a request for approval to their Chair or supervising Librarian to undertake the activity in accordance with the steps set out below. The request for approval will be in writing and will include:
- a) a description of the external professional activity;

- b) the anticipated time commitment for the external activity;
 - c) the extent, if any, of the use of University facilities, supplies, support staff or students; and
 - d) an estimate of the impact that the external professional activity will have on the Member's Academic or Professional Responsibilities.
- 48.10 The Member's Chair or supervising Librarian will forward the Member's request to the Dean or University Librarian, together with the recommendation of the Chair or supervising Librarian.
- 48.11 In making a decision to approve or reject such application, the Dean or University Librarian will consider whether or not the Member will be able to fulfill their Academic and Professional Responsibilities and may impose conditions on the approval.
- 48.12 For all Members with a full-time appointment (1.0 FTE), a description of the nature and scope of all external professional activity which taken together involves a commitment of the Member's time in excess of a half working day per week will be included in an annual report submitted to the Member's Chair with a copy to the Member's Dean or to the University Librarian. All information in such reports is confidential.

49. Conflict of Interest and Reasonable Apprehension of Bias

- 49.1 This Article shall not be interpreted in a manner that restricts Members' rights under this Collective Agreement, particularly the Articles pertaining to Academic Freedom and Intellectual Property.
- 49.2 The existence of a Conflict of Interest involves two elements: the Member's or a related party's personal interest(s), and the Member's obligations to the University. Personal interests may include business, commercial or financial interest, as well as personal matters. A Member is obligated to act in support of the University's integrity and fundamental mission, which includes avoiding circumstances that may undermine public trust.
- 49.3 Since the possibilities for Conflict of Interest are almost limitless and cannot all be covered in procedures, Members are expected to exercise sound judgement and are responsible for seeking guidance where there is ambiguity as to whether a Conflict of Interest exists. The University will facilitate appropriate and confidential channels for those seeking guidance.
- 49.4 For the purposes of this Article:
- a) "Immediate family member" means a spouse, partner, child, parent, or sibling;
 - b) "Initial Reviewer" means the person designated under s. 49.10 or s. 49.29 to review an allegation of Conflict of Interest or bias, or a Reasonable Apprehension of Bias;
 - c) "Next level of authority" means: for a Faculty Member, the Chair; for a Chair, the Dean; and for a Librarian, the University Librarian;
 - d) "Person" includes a corporation where the Member is an officer or director or owns a controlling interest in the corporation;
 - e) "Reasonable Apprehension of Bias" has the meaning described in s. 49.30; and
 - f) "Research activity" means a Member's Research or Scholarly Activity as described in the Collective Agreement and the Member's Unit Standard.
- 49.5 This Article applies to the following activities:
- a) the participation of Members and others in any recommendation or decision or the process leading to such a recommendation or decision to which this Agreement applies;
 - b) the participation of Members in matters pertaining to the financial or property interests of the University;
 - c) the use of confidential information acquired by a Member in the course of their employment at the University that is personal information with regard to other employees of the University or information that was intended to remain confidential to the University;
 - d) the participation of Members in research activity, including the submission of applications for research funding from any granting agency; and
 - e) the participation of Members in any University level committees, including, but not limited to, selection committees for positions named in s. 8.2.

- 49.6 In this Article, Conflicts of Interest are defined as real, perceived or potential situations in which an impartial observer might reasonably question whether actions or decisions taken by the Member on behalf of the University are influenced by considerations of private interest. In the research context, a Conflict of Interest includes a situation where financial or other personal considerations may compromise, or have the appearance of compromising, an investigator's professional judgment in conducting or reporting research. In the teaching context, a Conflict of Interest may include a situation in which course materials in use at the University are sold to a competitor or external tutorial or resource service. Members should consult with the University prior to selling course materials in use at the University. Course materials created for additional compensation or with substantial use of University resources that are sold commercially are subject to Appendix B: *Intellectual Property Policy* (GV0215). Publication or sharing for non-commercial academic purposes is not a Conflict of Interest.
- 49.7 A Conflict of Interest does not arise where Members participate in negotiations with regard to salary, benefits or terms of employment on behalf of the Association, themselves or other Members; or the interest of the Member and any benefit to the Member is only as part of the advancement of the interests of the Member's Academic Unit or the University as a whole.

Obligation to Disclose

- 49.8 At the time a Member is required, for salary adjustment evaluation in the Member's Unit, to submit material related to the period of review, the Member must also submit a statement to their next level of authority identifying any actual or potential Conflict of Interest that might reasonably affect the Member's activities that fall within the scope of this Article. This statement (the "Disclosure Statement") will be contained in a separate document, be filed in the Member's Personnel File in the Unit, and will, except as required by this Article, be kept confidential.
- 49.9 At the time of applying for any research funding, a Member must include with the material submitted for the application to Research Services a certification that the Member has submitted a Disclosure Statement within the past twelve (12) months and that there have been no material changes after that time or that, immediately before or concurrent with the application, the Member has submitted a Disclosure Statement to their next level of authority. This certification must be signed by both the Member and their next level of authority.
- 49.10 A Member who becomes aware that the Member has an actual or potential Conflict of Interest not disclosed in a Disclosure Statement submitted in the past year must, as soon as is practical, take steps to deal with the conflict appropriately. These steps include:
- a) where the conflict involves an internal University process and is not of an ongoing nature, the Member discloses the conflict in writing to the Initial Reviewer and withdraws from the process as required in s. 49.17;
 - i) in the case of a Conflict involving a Department level process, the Initial Reviewer is the Chair, or the Dean where the Chair is in Conflict;
 - ii) in the case of a Conflict involving a Faculty level process, the Initial Reviewer is the Dean, or in the case of a Library, the University Librarian, or the Vice-President Academic or designate where the Dean or University Librarian is in Conflict;
 - iii) in the case of a Conflict involving a University level process, the Initial Reviewer is the Chair of the Committee. Where the Chair of the Committee is in Conflict, the Initial Reviewer is the University Secretary or designate.

- b) where the Conflict is of an ongoing nature, arises in the context of research, or constitutes a material change in the matters disclosed in the Member's last Disclosure Statement, the Member discloses the Conflict to their next level of authority and submits an amended Disclosure Statement.

Allegation of Conflict of Interest

- 49.11 Questions of Conflict of Interest, bias, or Reasonable Apprehension of Bias that pertain to processes for evaluations of a Member and are raised by the candidate are covered by s. 30.15, s. 32.8 – s. 32.11, s. 33.11 – s. 33.12, and s. 33.47 and not by these provisions.
- 49.12 Where any person alleges in a signed statement that a Member's participation in any activity to which this Article applies gives rise to a Conflict of Interest, the allegation must be reported in writing, as required by s. 49.10. The Initial Reviewer will forward a copy of the signed allegation to the person alleged to have a Conflict of Interest, with an invitation to submit a response.
 - 49.12.1 Where the Conflict involves an internal University process and is not of an ongoing nature, the Member alleged to have a Conflict of Interest may withdraw and there will be no further process to determine whether a Conflict of Interest actually exists.
 - 49.12.2 Where the Conflict is of an ongoing nature, arises in the context of research, or constitutes a material change in the matters disclosed in the Member's last Disclosure Statement, the Member may disclose the Conflict to their next level of authority and submit an amended Disclosure Statement.

Determination of Whether a Conflict of Interest Exists and Resolution of Conflict

- 49.13 Where an actual or potential Conflict of Interest has been disclosed or reported under s. 49.10, or s. 49.12.2, and the Member alleged to have a Conflict of Interest has not withdrawn under s. 49.12.1, the Initial Reviewer will determine whether a Conflict of Interest exists and appropriate measures to deal with that Conflict of Interest, including whether the Conflict of Interest prevents the Member from carrying out the activity giving rise to the Conflict or whether it can be carried out with appropriate safeguards.
- 49.14 In determining the appropriate measures to deal with a Conflict of Interest, the Initial Reviewer will consider any relevant factors, including, but not limited to:
 - a) any possible harm to the University or its employees, officers or others acting on its behalf if the Conflict is allowed;
 - b) any possible harm to the interest of students, clients of University services or others served by the University, if the Conflict is allowed;
 - c) whether reasonable alternative arrangements are possible which do not create a Conflict of Interest or whether conditions may be imposed that would eliminate the Conflict of Interest;
 - d) the consequences to the University, its reputation and future activities if the Conflict of Interest is or is not allowed;
 - e) the educational, research, economic and other interest of the University;

- f) the degree to which the Conflict, where arising in the context of research, might compromise the researcher's professional judgment in conducting or reporting research; and
 - g) the rights and interests of the Member.
- 49.15 The factors to be considered under s. 49.14 must be interpreted in light of the guarantee of academic freedom provided to Members in Article 14 Academic Freedom.
- 49.16 In determining the appropriate measures to deal with a Conflict of Interest, the Initial Reviewer may request from the Member additional information that relates directly to and is necessary to assess and decide the issue; consult with others before making a decision; and impose terms and conditions as part of a decision allowing a Member to continue to participate in decisions or research in which a Conflict of Interest may be relevant.
- 49.17 Where the Initial Reviewer has determined that a Conflict of Interest exists and the appropriate measures for dealing with it, they will notify the Member in writing and will include any terms and conditions that the Member must follow. If the Member disputes the decision, the Member may appeal the matter to the next level of review, in the normal order (Chair's decision to Dean; Dean's decision to Vice-President Academic and Provost; University Librarian's decision to Vice-President Academic and Provost, Chair of University Committee's decision to the University Secretary) for a final decision. Nothing in this Article limits the rights of the Association to exercise its rights under Article 47 Dispute Resolution of this Agreement.

Where a Conflict of Interest Exists

- 49.18 Where a person has a Conflict of Interest that affects a decision in which they would have participated, that person will refrain from participating in making any recommendation or decision that directly and preferentially benefits their personal, financial or professional interest, or the personal, financial or professional interest of an immediate family member or of an individual with whom the person has or has recently had a personal, intimate relationship. Refraining from participation means withdrawing from all or any portion of a meeting where the subject matter of the Conflict will be discussed.
- 49.19 Without limiting the generality of the foregoing, unless specifically authorized by the Vice-President Academic and Provost or designate, after full written disclosure of the Conflict, a person will not, with University funds or with funds administered by the University, knowingly authorize the purchase of equipment, supplies, services or real property from a source with which the person, or a member of the person's immediate family or of an individual with whom the person has or has recently had a personal, intimate relationship, has a material financial interest; or employ or otherwise engage, using University funds or funds administered by the University, an individual who is a member of the person's immediate family or with whom the person has or has recently had a personal, intimate relationship.
- 49.20 When a Member has been informed of a decision under s. 49.17 that prohibits the Member from carrying out a particular activity to which this Article applies or that imposes restrictions on that activity, the Member must abide by that decision unless and until the decision is altered on appeal.
- 49.21 Failure to disclose a material Conflict of Interest or to abide by the provisions of this Article may result in proceedings against a Member under Appendix D: Scholarly Integrity and, in accordance

with the requirements of any granting agency, require the Vice-President Research, or designate, to notify the agency of the situation.

- 49.22 Conflicts of interest with regard to the relationships between students and Members are defined in Policy AC1200 *Conflicts of Interest in Student-Faculty Relationships* (Appendix C to this Agreement).
- 49.23 Members will not accept additional remuneration for tutoring a student enrolled in the University where such tutoring relates to courses or tutorials that the Member is teaching as part of their employment at the University of Victoria.
- 49.24 Members will not require students to purchase learning materials from which the Member will receive a financial benefit unless:
- a) the Chair has concurred in writing that the material is the best resource for students in the circumstances; and
 - b) at least one copy of the material has been made available for public access in the library.

Protection of Members

- 49.25 A Member who, in good faith, follows the procedures set out in this Article and participates in any activity to which this Article applies after receiving approval from the Initial Reviewer, or on any appeal, and carries out those activities in accordance with any plan for managing any Conflict of Interest decided upon, will be deemed not to be acting in a Conflict of Interest.

Reporting

- 49.26 At the time a Chair or University Librarian makes recommendations for performance-based salary adjustment, the Chair or University Librarian will forward to the next level of authority an aggregated summary of all actual or potential Conflicts of Interest disclosed by Members for the year, how many were resolved by the Member's abstention from participation in any activity to which this Article applies and how many were resolved by establishing a protocol for managing the Conflict.
- 49.27 To the extent permitted by law, except as necessary for reporting as required in this Article, or for reasonable consultation in making or appealing a decision on the existence or continuation of a Conflict of Interest, or for managing a Conflict of Interest, information in any Disclosure Statement will be kept confidential.

Reasonable Apprehension of Bias

- 49.28 A Member who believes they cannot make an unbiased decision in good faith shall withdraw from the decision-making process.
- 49.29 A Member who has an apprehension that another person who is a member of a University committee or a participant in a decision making process concerning the Member (other than the processes set out in s. 30.15, s. 32.8 – s. 32.11, s. 33.11 – s. 33.12, and s. 33.47) is either biased or has prejudged the issue to be determined may request, in writing, that the Initial Reviewer determine whether the Member's apprehension constitutes a Reasonable Apprehension of Bias. Where questions of bias are addressed in the Article articulating the decision-making process, the provisions of that Article apply.
- 49.30 A Reasonable Apprehension of Bias exists when a reasonable person, who is informed of the facts upon which the apprehension is based and without any knowledge of the character of the

individuals other than their past or present relationship, would conclude that the Member's apprehension is a Reasonable Apprehension of Bias founded on facts. A determination that there is a Reasonable Apprehension of Bias does not mean that the person is in fact biased, nor does it require a determination that the person is in fact biased.

- 49.31 Where the Initial Reviewer receives a written request for a determination of whether there is a Reasonable Apprehension of Bias, the Initial Reviewer under s. 49.10 will forward a copy of the request to the person about whom the apprehension exists and invite that person to submit a response.
- 49.32 The person about whom the apprehension exists may respond by withdrawing from the decision-making process and there will be no further process to determine whether a Reasonable Apprehension of Bias exists. Further, a Member who withdraws from the decision-making process shall not participate in the making of any recommendation or decision or in the process leading to a recommendation or decision concerning the applicant or candidate (the Member) for a period of one (1) year.
- 49.33 Where a written allegation of apprehension of bias has been made and the allegation has not been resolved, the Initial Reviewer will determine whether there is a Reasonable Apprehension of Bias as defined by s. 49.30 within twenty (20) Working days of receipt of submissions from the Members making and responding to the allegation(s).
- 49.34 If a Member has made a written allegation of apprehension of bias that pertains to matters including their membership in an equity-deserving group, the Initial Reviewer shall consult with EQHR to support a decision informed by relevant considerations of equity, diversity, decolonization, and anti-racism and shall indicate they undertook that consultation in their decision.
- 49.35 If a Member has made a written allegation of apprehension of bias that pertains to matters including their identity as an Indigenous Member, the Initial Reviewer shall consult with the Vice President Indigenous to support a decision informed by relevant considerations of equity, diversity, decolonization, and anti-racism and shall indicate they undertook that consultation in their decision.
- 49.36 The Initial Reviewer shall provide their decision, with reasons, in writing to all relevant parties.
- 49.37 Where it is determined that a Reasonable Apprehension of Bias exists, the person with regard to whom such apprehension exists will refrain from further participation in the making of any recommendation or decision or participating in the process leading to a recommendation or decision concerning the applicant or candidate. Refraining from participation means withdrawing from all or any portion of a meeting where the applicant or candidate will be discussed.
- 49.38 The determination of Reasonable Apprehension of Bias will be in effect for three (3) years unless at the expiry of this period, upon the application of a Member, the Initial Reviewer confirms that the Reasonable Apprehension of Bias continues to exist. Where the Reasonable Apprehension of Bias is found to be continuing, the determination of Reasonable Apprehension of Bias will be in effect for three (3) additional years.

Part 10: Compensation and Benefits

50. Salary

Application

- 50.1 The Parties recognize the value of a stable and predictable salary structure that provides a fair and competitive system of compensation to Members as a means of maintaining excellence within the University.
- 50.2 Members with a Regular Academic Appointment or a Regular Librarian Appointment are eligible to receive adjustments as outlined in s. 50.8 in accordance with this Article.
- 50.3 Members with Limited-Term appointments are eligible to receive adjustments under s. 50.8 a) and s. 50.8 c) in accordance with this Article.
- 50.4 The starting Hourly Librarian pay rate will be calculated at the Librarian I annual floor rate divided by 1820 hours, plus 6% in lieu of benefits and vacation entitlements. Pay rates for Hourly Librarians will be increased annually by General Wage Increases and pro-rated CPI as provided for in this Agreement.

Starting Salaries

- 50.5 Starting salaries are individually negotiated. Nothing precludes a salary offer above the level of the salary floor for the rank in question.
- 50.6 The salary floors for the ranks are as follows:

Rank	July 1, 2022	July 1, 2023
Lecturer	\$77,000	\$80,850
Assistant Teaching Professor	\$80,000	\$84,000
Associate Teaching Professor	\$94,000	\$98,700
Teaching Professor	\$112,000	\$117,600
Assistant Professor	\$80,000	\$84,000
Associate Professor	\$94,000	\$98,700
Professor	\$112,000	\$117,600
Librarian I	\$65,000	\$68,250
Librarian II	\$72,000	\$75,600
Librarian III	\$82,000	\$86,100
Librarian IV	\$90,000	\$94,500

Salary Adjustments

- 50.7 Salaries are adjusted effective July 1 each year, unless otherwise agreed to in this Agreement.

50.8 The types of salary adjustments, which are implemented in the following order, are:

- a) General Wage Increases (GWI);
- b) any increase necessary to bring the Member to the floor of the rank to which the person is being promoted, based on their salary after application of s. 50.8 a);
- c) Career Progress Increment (CPI);
- d) Performance Pay Increment (PPI);
- e) Outstanding Performance Recognition (OPR);
- f) Promotion Recognition Award (PRA); and
- g) any other adjustment designated in this Agreement.

Allocation of Salary Adjustments

50.9 The General Wage Increase (GWI) is not related to the evaluation of performance and shall be applied to every eligible Member's regular base salary. The value of the GWI for each year shall be as follows:

July 1, 2022: increase all rates of pay by a flat rate of \$520 salary adjustment and a 3.2 % GWI.

July 1, 2023: increase all rates of pay by the annualized average of BC CPI over twelve months starting on March 1, 2022 to a minimum of 5.48 % and a maximum of 6.73 %, subject to the COLA MOU.

July 1, 2024: increase all rates of pay by the annualized average of BC CPI over twelve months starting on March 1, 2023 to a minimum of 1.59 % and a maximum of 2.59%, subject to the COLA MOU.

50.10 Where a Member has an appointment at Reduced Workload, the dollar value of any adjustment under s. 50.8 is reduced by multiplying the value of the increments awarded by the FTE value of the appointment.

50.11 Except for the Promotion Recognition Award (PRA) and the Outstanding Performance Recognition (OPR) which are one-time adjustments to base salary, salary adjustments made on the July 1 following the evaluation scheduled under s. 50.26 shall be applied again on the July 1 of the following year.

Increment Structure

50.12 Commencing with the evaluation process for adjustments payable on July 1, 2022 the following provisions shall govern career progress and performance-based increments.

Career Progress Increment (CPI)

50.13 A CPI recognizes career progress of a Member whose performance is judged to have satisfied the expected standard of career progress, as articulated in the Faculty Evaluation Policy and Standard for their Unit, relative to career stage, in the period of review. Subject to s. 50.17, all Members, including those whose appointment started subsequent to the end of the review period, will be awarded the CPI increment through the evaluation process, in accordance with s. 50.14 below.

50.14 The value of a Career Progress Increment is defined in s. 50.16 and is a function of rank, the number of years in an academic appointment since first appointment with eligibility for tenure or continuing appointment¹ or, in the case of Librarians with eligibility for confirmed appointment (or equivalent) at any university.

50.14.1 The following leaves, if approved for a period of 15 weeks or more, will be removed from the calculation of 'years since first appointment' for the purposes of Career Progress Increment eligibility:

- a) Short term Sick Leave;
- b) Long-Term Disability;
- c) Maternity, Parental or Adoption Leave;
- d) Compassionate Care Leave;
- e) Compassionate Leave without salary;
- f) Special Leave;
- g) Leave of Absence if the reason for the leave is consistent with the purposes of one of the above leaves.

50.14.1.1 When the date of initial appointment is other than a July 1, the date of initial appointment shall be the July 1 following the date of initial appointment for the purpose of calculating eligibility under s. 50.16.

50.15 The term of any administrative appointment as Chair or Director for three years or more will be removed from the calculation of 'years since first appointment' for the purposes of Career Progress Increment eligibility. Where a Chair or Director is outside of the period of eligibility upon commencement of their term, they shall be eligible for CPI for the period of their term.

50.16

Rank	Eligibility Band *Years since first appointment under s. <u>50.14</u> ¹	Value as at July 1, 2022
Assistant	0 - 7 years*	\$3400
Associate	Promotion to Associate - 17 years*	\$3100
Professor	Promotion to Professor - 28 years *	\$2800

¹ This does not include post-doc appointments, Limited Term, or those contracts for teaching "by the course".

Rank	Eligibility Band *Years since first appointment under s. <u>50.14</u> ¹	Value as at July 1, 2022
Librarian I, Librarian II	0 - 7 years*	\$3060
Librarian III	Promotion to Librarian III - 17 years*	\$2790
Librarian IV	Promotion to Librarian IV - 28 years*	\$2520

50.17 Members who fall within the eligibility band for CPI but do not meet expectations relative to career stage will receive one half of the CPI value following evaluation. If, upon re-evaluation the following year, expectations are being met, the full value of the CPI will be awarded in the second year of the cycle. If, upon re-evaluation in the second year, expectations continue not to be met, no CPI will be awarded in the second year of the cycle. In a second or subsequent cycle where the Member continues not to meet expectations, no CPI will be awarded until such time as they meet expectations.

50.18 Career Progress Increments shall cease on the July 1 following the terminal year of a Member's Eligibility Band. Career Progress Increments can be resumed through Promotion to the next rank. All Career Progress Increments end after twenty-eight (28) years since first appointment under s. 50.14.

Performance Pay Increment (PPI)

50.19 The Performance Pay Increment (PPI) serves to recognize and reward performance which substantively exceeds expectations for performance relative to career stage as articulated in the Faculty Evaluation Policy and Unit Standard.

50.20 Subject to s. 50.21, Members who are evaluated as "exceeding expectations" shall be eligible to receive a Performance Pay Increment (PPI) to base salary in addition to CPI as follows:

PPI Values	Value as at July 1, 2022	Value as at July 1, 2023
Faculty and Librarians	\$1500	\$2000

50.21 The number of Performance Pay Increments (PPI) available for distribution is equal to the Member headcount for the Faculty/Libraries multiplied by thirty (30) percent. Faculties/Libraries are expected to distribute PPI to no more than thirty (30) percent of the Member headcount, taking into account pro-rata distribution among ranks and between Streams.

50.22 Notwithstanding s. 50.21, a pool of PPIs will be provided to each Dean/University Librarian equal to the Member headcount for the Faculty/Libraries multiplied by ten (10) percent. The Deans/University Librarian will use this pool to make PPI awards to eligible Chairs, Directors, and Supervising Librarians, and to award PPIs to persons who are not receiving PPI under s. 50.21, but whose evaluations in s. 50.35 and ranking in s. 50.36 are comparable to those awarded within s. 50.21.

Outstanding Performance Recognition (OPR)

50.23 The Outstanding Performance Recognition (OPR) is awarded to Members in the Group evaluated who have extraordinary accomplishments in the review period whether or not they received PPI. OPR shall be applied to base salary on the July 1 following the award.

50.23.1 Eligible Members who are awarded OPR shall receive the amount of \$2000. Starting July 1, 2023, eligible Members who are awarded OPR shall receive the amount of \$2500.

50.24 The number of Outstanding Performance Recognition (OPR) awards available for distribution by the Dean/University Librarian is equal to the Member headcount for the Faculty/Libraries multiplied by ten (10) percent. Faculties are expected to distribute OPR to no more than ten (10) percent of the Member headcount, taking into account pro-rata distribution among ranks and between Streams.

Promotion Recognition Award (PRA)

50.25 Eligible Members who are promoted to the next rank shall receive a Promotion Recognition Award (PRA) applied to base salary on the July 1 the promotion is effective as follows:

50.25.1 Eligible Faculty Members who are promoted to Associate or Professor shall receive \$5000 to base salary;

50.25.2 Eligible Librarian Members who are promoted to Librarian III or IV shall receive \$4000 to base salary. Commencing July 1, 2023, eligible Librarian Members who are promoted to Librarian III or IV shall receive \$5000 to base salary.

Salary Evaluation Process

50.26 Biennially, the performance of eligible Members shall be reviewed, in accordance with this Article, for the purpose of identifying performance-based salary adjustments under s. 50.8 to be applied on the July 1 following, and, in the case of CPI and PPI, on the subsequent July 1.

50.27 Each Unit shall have a policy defining whether salary evaluation will be done by the Chair/Supervising Librarian or by a Salary Evaluation Committee and defining the collegial process through which such committees will be constituted.

50.28 The Chair/Supervising Librarian and all committee members must have received University designated mandatory training in effective employment equity practices and current institutional expectations with respect to equity, diversity, inclusion, anti-racism, Indigenization and decolonization prior to the commencement of the committee's work.

50.29 Before finalizing the evaluation of a Member who has declared they are a member of an equity-deserving group, the Committee/Chair/Supervising Librarian shall review the evaluation to ensure that they have applied the principles of equitable, unbiased evaluation.

50.30 At least thirty (30) days prior to the Unit's deadline for submission of salary evaluation materials, Indigenous Members may request that the Chair/Supervising Librarian consult with the Associate Dean, Indigenous for their Faculty in the review and evaluation of the Member's performance.

50.31 The Faculties, the University Libraries and the Division of Medical Sciences are divided into two groups as follows:

Group A	Group B
Engineering	Business
Fine Arts	Education
Human and Social Development	Humanities
Libraries	Law
Social Sciences	Medical Science
	Science

50.31.1 Salary reviews for Group A units are undertaken in odd numbered years. Salary reviews for Group B units are undertaken in even numbered years.

50.32 The Chair/Supervising Librarian, or Salary Evaluation Committee, will review a Member's performance in accordance with the following rules:

50.32.1 Faculty Members are evaluated based on performance over the four years of service preceding January 1 of the year in which the Faculty Member is evaluated or, in the case of persons hired into an eligible appointment within the review period, since the start date of the eligible appointment;

50.32.2 Librarians are evaluated based on performance over the two years of service preceding January 1 of the year in which the Librarian is evaluated;

50.32.3 where a Member has been on approved leave, except Leave Without Salary, or Study, or Administrative, or Special Leave, for more than one teaching term for Faculty Members or four months for a Librarian during the period of review, the review period is extended backward by one year;

50.32.4 where a Member has been on Leave Without Salary, the period of review will not be adjusted and will be the same as provided in s. 50.32.1 or s. 50.32.2, as applicable; or

50.32.5 where a Member has an Alternative Workload or Reduced Workload under this Agreement, or where the Member has not been employed at the University for the entire review period, quantitative expectations in the assessment shall be adjusted pro-rata.

50.33 Each Member shall provide an updated curriculum vitae, any summative peer reviews of teaching course reviews done during the evaluation period and a brief summary of accomplishments in a format, and by a date, identified in the Faculty/Libraries Evaluation Policy. Each Member shall meet with their Chair/Supervising Librarian to discuss their accomplishments during the review period. The Member and the Chair/Supervising Librarian may agree to a discussion format other than an in-person meeting where warranted. In the case of tenure-track faculty, this discussion can occur during the Annual Review meeting under s. 26.2.

50.34 Where a Member is on leave and cannot arrange a discussion with the Chair/Supervising Librarian, the Member (except a Member on Sick Leave or Long Term Disability) will be invited to submit material for the salary evaluation on the due date. If the Member cannot or chooses not

to submit this material on the due date, or the Member is on Sick Leave or Long Term Disability, then, the Chair/Supervising Librarian will make an assessment based on the most recent materials available and give notice to the Member in writing. The Member will have fifteen (15) Working days in which to raise an objection and provide materials in support of the objection. The Chair/Supervising Librarian will consider the objection and materials and will make a recommendation to the Dean/University Librarian.

- 50.35 Following the discussion, and based on the Chair/Supervising Librarian's review of the Member's performance in relation to criteria and expectations set out in the Faculty/Libraries Evaluation Policy and Unit Standard (as applicable), the Chair/Supervising Librarian shall assess the Member's performance for each area of Academic or Professional Responsibility, as one of:
- a) "meets expectations" indicating the Member is progressing as expected in their career based on expectations for the rank;
 - b) "does not meet expectations"; indicating the Member is not progressing as expected in their career based on expectations for the rank; or
 - c) "exceeds expectations" indicating the Member not only "meets expectations" in all areas of Responsibility but is performing at a level that is substantively higher than expected in at least one area of Responsibility.
- 50.36 The Chair/Supervising Librarian shall forward to the Dean/University Librarian a report of the recommended assessment under s. 50.35 for each Member, ranked in order of performance (highest to lowest).
- 50.36.1 The Chair/Supervising Librarian may nominate a Member who has been assessed as "exceeds expectations" in any area of Academic or Professional Responsibility for an Outstanding Performance Recognition award by providing the Dean/University Librarian with a memo outlining the rationale for the recommendation and any supporting documentation.
- 50.36.2 For any Member assessed as "does not meet expectations", the Chair/Supervising Librarian shall provide the Dean/University Librarian with a memo outlining the performance concerns which support the assessment and any supporting documentation.
- 50.37 The Dean/University Librarian shall receive and review the Chairs'/Supervising Librarians' assessments and shall make recommendations for salary adjustments for each Member. The Dean shall evaluate the Chairs within their Faculty and recommend assessments in each category. The Dean/ University Librarian shall evaluate and make recommendations on the recipients, whether or not nominated, of the Outstanding Performance Recognition (OPR) awards for the Faculty/Libraries based on the distribution in s. 50.24. The Dean/University Librarian shall notify the Vice-President Academic and Provost of their recommendations, seeking approval of the assessments and OPR awards no later than May 1 in their scheduled year of evaluation.
- 50.38 Before a Dean or the University Librarian forwards to the Vice-President Academic and Provost biennial salary recommendations or an in-cycle re-evaluation that would result in a CPI being reduced, the Member will be given a written statement of the reasons for not awarding full CPI and shall be given an opportunity to discuss that statement with the Dean/University Librarian.

- 50.39 Following approval by the Vice-President Academic and Provost, the Member shall be notified of their assessment and of any salary adjustments awarded.
- 50.40 After the Member receives their salary evaluation the Member may request a meeting with the Chair/Supervising Librarian, no later than the following August 30, for the purpose of discussing their evaluation. The meeting shall occur within twenty (20) Working days following receipt of the request. At this meeting the Chair/Supervising Librarian shall respond to the Member's questions to the best of their ability. Grievance timelines as identified in s. 47.9 will begin from the date the Member and Chair/Supervising Librarian met.

Supplementary Salary Amounts

- 50.41 The Vice-President Academic and Provost may authorize a Unit to offer a market supplement as a recruiting measure or a retention adjustment to secure the retention of a Member.
- 50.42 The amount and terms of a market supplement will be stated in an offer letter to a candidate for an appointment. A market supplement may be a permanent salary increase or may be of a limited duration, in which case it may decline during the payment period, and may be renewable. A market supplement does not form part of a Member's regular base salary, but it is included in a Member's salary for the purpose of all benefits and Study Leave salary calculations.
- 50.43 A retention adjustment is added to the regular base salary of a current Member without any time limitation. The letter informing the Member of the retention adjustment will include the procedure to be followed in the calculation of the Member's salary when the Member is promoted, if that promotion involves a raise to the salary floor of the rank to which the Member is being promoted.
- 50.44 When a Dean or the University Librarian submits recommendations for the evaluation process to the Vice-President Academic and Provost, the Dean/University Librarian will review the salaries in their Unit to determine whether there are any issues arising for any Members arising from salary compression, systemic discrimination in relation to Members from equity-deserving groups, or other anomalous situations, based upon a comparison with salaries across the Unit, and will submit a report to the Office of Faculty Relations and Academic Administration making any recommendations for changes.
- 50.45 A Member may submit a request for salary anomaly review to their Dean/University Librarian within sixty (60) calendar days of receipt of their salary notice in s. 50.39. The Dean will consider the submission in their review of anomalies under s. 50.44 and the Member will be notified of the decision by November 30.
- 50.46 Decisions not to award full CPI, PPI or PRA are grievable. Decisions to award or not award OPR are not subject to grievance or appeal.

Overpayment Recovery

- 50.47 The University may take unilateral steps to recover overpaid wages, regardless of reason for overpayment, in accordance with the following provisions:
- a) Correction may be made within two months of overpayment, upon notice to the Member in advance of the adjustment. Where a one-time payroll adjustment creates a significant financial hardship for the Member, the University will consider a request for a schedule of recovery.

- b) Any corrections past two (2) months will require the University to notify the Member in writing, with a copy to the Faculty Association specifying:
 - i) the amount of overpayment claimed;
 - ii) a general description of the situation which gave rise to the claimed overpayment;
 - iii) a detailed calculation of the claimed overpayment;
 - iv) the intended schedule of recovery;
 - v) the Member's right to consult with the Faculty Association.
- c) Any dispute with the recovery schedule must be made within five (5) Working days. Unless resolved informally, the University may commence a grievance.
- d) Notwithstanding the foregoing, if the Member voluntarily terminates employment with the University before full recovery is accomplished, complete recovery may occur to the extent possible through payroll to end-of-employment.

51. Benefits

- 51.1 The University provides Members with the following benefits programs:
- a) extended health benefits;
 - b) dental plan;
 - c) pension plan;
 - d) group life insurance plans;
 - e) long-term disability plan; and
 - f) travel accident insurance.
- 51.2 During a period of approved Leave Without Salary, Political Leave or Compassionate Leave Without Salary, a Member may continue any or all of University personnel benefits by assuming the total cost thereof including the University's contributions, to a maximum of 12 months. Contributions may be paid in advance or on the normal monthly basis. The share of the costs normally borne by the University may be paid either directly by the Member or by another employer if the Member has obtained the agreement of another employer in a form satisfactory to the University.
- 51.3 Any change to the provisions of a particular benefit listed in s. 51.1 (excluding the jointly trustee Pension Plan), or change to the level of benefit provided will not be made without approval of both the University and the Association.
- 51.4 Long-term Disability coverage and Long-term Disability benefits will cease at the Member's Normal Retirement Date (NRD), and basic life insurance will be reduced to one times annual salary at the Member's NRD.
- 51.5 Pension contributions from both the Member and the University will cease at the age set by statute and the Member must begin to draw pension in accordance with the statutory rules, at that age.

Professional Expense Reimbursement

- 51.6 Professional Expense Reimbursement (PER) enables eligible Members to enhance knowledge and skills by attending conferences and seminars, engaging in professional development activities and acquiring property and services that enable Members to undertake Academic and Professional Responsibilities.
- 51.7 Eligible Members are entitled to a Professional Expense Reimbursement up to the limits set by the Vice-President Academic and Provost from time to time.
- 51.8 Eligible Members with Reduced Workload will receive Professional Expense Reimbursement funds pro-rata to their FTE.
- 51.9 An eligible Member who has retired may draw on their residual funds for a period of one year post-retirement, but shall not accrue additional entitlement from date of retirement.
- 51.10 Professional Expense Reimbursement may be claimed by eligible Members in accordance with the applicable University policy for *Professional Development Expenses* and associated procedures.

Travel Expense Reimbursement

- 51.11 Travel and business expense reimbursement may be claimed by eligible Members in accordance with the University's policy *Travel and Business Expenses* (FM5610) and associated procedures.

Tuition Benefit

- 51.12 The Association will administer a fund to provide a tuition benefit program for faculty members and librarians and their dependants who are taking degree credit courses at the University of Victoria.
- 51.13 The University shall place \$250,000 in an account designated as the Faculty Association Tuition Benefit Fund ("the Fund") on or before July 1 each year.
- 51.14 The Faculty Association shall develop terms of reference for Members to access the Fund, including criteria for eligibility, an application process, and an adjudication process to be undertaken by the Faculty Association. The Faculty Association shall inform the University of the terms of reference, and any changes made to these terms.
- 51.15 The Fund may only be accessed in respect of tuition for degree credit courses at UVic taken by a faculty member, librarian or their dependant.
- 51.16 Annually, by an agreed-to date, the Faculty Association shall invite, receive and adjudicate applications for tuition support from faculty members, librarians.
- 51.17 Annually, by an agreed-to date, the Faculty Association shall provide, in respect of each successful applicant, the data necessary for the university to transfer funds from the Fund to the tuition account of the successful applicant.
- 51.18 Any unspent funds in the Fund may be carried over. The Fund may not be overspent.

52. Vacation and Holidays: Faculty and Librarians

Faculty Vacation and Holidays

- 52.1 Each Faculty Member is entitled to one month of vacation in each Academic Year. Vacation shall be arranged between a Faculty Member and the Chair for a time that does not impact assigned Academic Responsibilities.
- 52.2 Where a Faculty Member wishes to take a contiguous vacation period of one month and the normal scheduling of their Teaching does not enable a contiguous period, the Faculty Member shall notify the Chair at least one month in advance of the notification of the proposed Teaching assignment in s. 13.26 and in no case later than two weeks after notification of the proposed Teaching assignments under s. 13.23. The Chair shall ensure Teaching is scheduled such that there is a period of one month free of Teaching during which the Faculty Member may take vacation.
- 52.3 There shall be no remuneration in excess of the annual salary in the event that the Member chooses to work through all or part of their vacation period.
- 52.4 Unused vacation cannot be carried over from Academic Year to Academic Year, unless it is necessary for the operation of the Academic Unit, and is approved by the Dean.
- 52.5 In addition to paid vacation, the following holidays shall be granted on the day on which the holiday occurs or is celebrated by the University: any day declared as a holiday by the President or as a statutory holiday by the federal or provincial authorities, and any other day on which the University premises are declared closed by the President or Vice-President Academic and Provost.

Librarian Vacation and Holidays

- 52.6 The basic vacation entitlement for a Member with Regular Librarian Appointment is:
 - a) 22 working days in the first through the fifth year of continuous service; and
 - b) 25 working days in the sixth year of continuous service and thereafter.
- 52.7 These entitlements will be prorated for appointments of less than 1 FTE.
- 52.8 The number of vacation days for which a Librarian is eligible will be accrued monthly from January 1 of each calendar year and be pro-rated for part-year service. Entitlements will increase on Librarians' fifth anniversary date. The accrued total at the end of a calendar year (less any vacation days taken during the calendar year) will be carried forward to the next calendar year.
- 52.9 Basic vacation entitlement will be taken by December 31 of the year following the year in which it was earned unless otherwise agreed.
- 52.10 The University recognizes long service of Librarians with Regular Appointment with supplementary vacation entitlements, to be granted once in each five-year period after becoming eligible as follows:
 - a) after ten (10) years, five (5) working days;
 - b) after fifteen (15) years, ten (10) working days;
 - c) after twenty (20) years, fifteen (15) working days; and

- d) after twenty-five (25) years and every five (5) years thereafter, twenty (20) working days.
- 52.11 Long service vacation must be used within five years after it is awarded, and if not used during that period, it will be forfeited.
- 52.12 Librarians will schedule their vacations after consultation with and approval by their supervisors, who will ensure that an annual vacation record for each Librarian is maintained.
- 52.13 Vacation may not be taken in such a way as to interfere with scheduled Professional Responsibilities.
- 52.14 In addition to paid vacation, the following holidays shall be granted on the day on which the holiday occurs or is celebrated by the University: any day declared as a holiday by the President or as a statutory holiday by the federal or provincial authorities, and any other day on which the University premises are declared closed by the President or Vice-President Academic and Provost.

Part 11: Resignation and Retirement

53. Resignation and Retirement

Resignation

53.1 A Member who is resigning must give a reasonable period of written notice to the University. Once written notice is given, that notice is irrevocable, unless it is withdrawn by the Member with consent of the Vice President Academic and Provost or designate.

53.1.1 In the case of a Faculty Member, reasonable notice means notice that is given at least four months in advance of the commencement of the Member's next scheduled teaching term.

53.1.2 In the case of a Librarian, reasonable notice means at least one month's notice.

Retirement

53.2 A Member wishing to retire from a University appointment or commence a phased-in retirement plan as described in this Article will provide a minimum 6 months' written notice and preferably, to facilitate academic planning, 12 months' notice. Retirements shall be effective on a June 30 or December 31. Once written notice is given, that notice is irrevocable, unless it is withdrawn by the Member with consent of the Vice President Academic and Provost or designate.

53.2.1 The period of notice or the retirement effective date may be altered at the Member's request, with the approval of the Dean and the Vice President Academic and Provost or designate.

53.3 Long-term Disability coverage and Long-term Disability benefits will cease at the Member's Normal Retirement Date (NRD), and basic life insurance will be reduced to one times annual salary at the Member's NRD.

53.4 Pension contributions from both the Member and the University will cease at the earlier of the date a Member retires and November 30 of the year in which the Member reaches the maximum age set by statute. In all cases a Member must commence their pension no later than the date allowed by statute.

Retirement Phase-In Plan

53.5 The retirement phase-in plan program includes provision for a Reduced Workload for a one, two, or three year period at the end of which the Member is irrevocably committed to retire.

53.6 A retirement phase-in plan must commence on January 1 or July 1. For faculty who teach in summer term, a retirement phase-in plan may also commence on September 1.

53.7 A Member who participates in a retirement phase-in plan is entitled to all normally available salary increments and negotiated salary increases, pro-rated for FTE, and to all other terms and conditions of employment of this Agreement except as set out in this Article.

53.8 A Member may elect to commence a retirement phase-in plan if that Member has at least 10 years of pensionable service at the University of Victoria and is 55 or older at the time the plan will commence.

- 53.9 Once a Member has requested a phased-in retirement plan, that request, subject to the provisions of s. 53.11, is irrevocable, unless it is withdrawn by the Member with consent of the Vice President Academic and Provost or designate.
- 53.10 The Member's request shall be made to their Chair. The Chair shall make a recommendation to the Dean. A retirement phase-in plan must be approved by the Dean, and by the Vice President Academic and Provost or designate.
- 53.11 Once a retirement phase-in plan has been approved, alterations to that plan must be approved by the Dean, and by the Vice President Academic and Provost or designate. Approvals of alterations of the plan will not be unreasonably withheld. Any alteration to the retirement date is subject to s. 53.2.
- 53.12 Once a Member has commenced a retirement phase-in plan, the Member and the University will continue to make pension contributions for the Member based on a notional salary which will be equivalent to the Member's salary, as determined at July 1 in each year of the phase-in plan, computed as if the Member had continued to work at the Member's regular FTE prior to entering the phase-in plan. Pension contributions will terminate on either the irrevocable retirement date or the required pension commencement date, as set by statute, whichever comes first.
- 53.13 During a retirement phase-in plan, the Member will continue to receive the level of benefit coverage for Sick Leave, extended health, dental care, Long-Term Disability and Medical Services Plan that the Member would be entitled to if the Member had not entered the retirement phase-in plan except as provided in this Article. Salary during Sick Leave and Long-Term Disability will reflect the actual Workload of the Member during the period of absence. At the Member's NRD, Long-Term Disability coverage and benefits cease. Coverage for basic life insurance will be the applicable multiplier for the Member's age (pre NRD or post NRD) times the Member's salary at the time of death calculated using the Member's average FTE over the entire period of the retirement phase-in plan.
- 53.14 For purposes of eligibility for UVic benefit plans, the Member's FTE will be deemed never to fall below 0.5 FTE.
- 53.15 For the period of a Member's retirement phase-in plan, the Member shall specify a Reduced Workload arrangement for each year of the plan subject to the following conditions:
- a) The Workload in any given year during the phased retirement plan will not fall below 0.25 of FTE;
 - b) The assignable Teaching Workload does not represent fractional courses in an Academic Year unless the Chair determines it meets the operational needs of the Unit; and
 - c) The cumulative Workload over a retirement phase-in plan will be at least 1.5 FTE for a three-year plan, 1.0 FTE for a two-year plan, and 0.25 FTE for a one-year plan.
- 53.16 If the annual FTE is 0.5 or less, the Member may fulfill the annual requirements for the appointment in six months of the year if the Chair can accommodate this operationally. The configuration of duties must be included in the plan as submitted.
- 53.17 Subject to s. 53.15, normally, the FTE for each year, excluding periods of Study or Administrative Leave, will be set at 1.0, 0.75, 2/3, 0.5, 1/3 or 0.25.

- 53.18 The FTE during the period of a Study Leave or Administrative Leave taken during a retirement phase-in plan (see s. 53.22) will be prorated based on the FTE during the qualifying period (which does not include the retirement phase-in plan period).
- 53.19 The Member's salary during the retirement phase-in plan will be prorated each year to the FTE specified in the plan and, during any period of Study or Administrative Leave, will be as determined under s. 53.18 and the relevant leave Article of this Agreement.
- 53.20 The number of course units to be taught by a Faculty Member during a retirement phase-in plan will be determined as follows:
- 53.20.1 The prorated teaching expectation (PTE) is the Faculty Member's cumulative FTE for the period of the plan times the number of course units they would annually teach at 1.0 FTE which is determined in accordance with the Unit's Standard for Normal Workload arrangements.
- 53.20.2 For a Faculty Member who takes a Study or Administrative Leave during their retirement phase-in plan, the PTE is reduced by the number of course units release the Member is entitled to for that leave in accordance with the relevant leave Article.
- 53.20.3 The actual number of course units taught by the Faculty Member during the Member's retirement phase-in plan must meet or exceed the PTE, as determined in s. 53.15.
- 53.21 A Member's retirement phase-in plan may include an Alternative Workload arrangement under Article 13 Standards and Workload. Approval of such an Alternative Workload arrangement will be granted unless the proposed plan would seriously disrupt the operational needs of the Unit. Normally, an Alternative Workload arrangement will be fixed for the entire retirement phase-in plan.
- 53.22 Where a Member enters into a retirement phase-in plan, their eligibility and terms for Study Leave or Administrative Leave, including the obligation to return, are as provided in Article 34 Study Leave: Faculty, Article 35 Study Leave: Librarians, and Article 36 Administrative Leave except that there will be no accrual of service towards Study Leave or Administrative Leave eligibility once a retirement phase-in plan commences. The period of notice to commence a retirement phase-in plan contributes to accrued service.
- 53.23 A Study Leave or Administrative Leave taken during the phase-in plan must be approved before a Member may commence a retirement phase-in plan.

Part 12: Chairs and Directors

54. Chairs and Directors

- 54.1 This Article applies to Chairs of Departments (Directors of Schools), including Acting Chairs within departmentalized Faculties.

Composition of the Chair Search Committee

- 54.2 At least six months in advance of a Chair position becoming vacant or immediately when a Chair term ends unexpectedly, the Dean will constitute a Chair Search Committee from within the Department in accordance with the departmental policy identifying the composition of the Chair Search Committee. Any revisions to the policy shall be ratified by the Members and approved by the Dean.
- 54.2.1 The Committee will include at least three Faculty Members and one staff member from the Department.
- 54.2.1.1 The Committee may include one additional Member from another Department; and the Committee may include such additional members, including student, staff or community members, that the Department deems necessary, attending particularly to equity and disciplinary diversity of the committee.
- 54.2.2 The Dean or an Associate Dean of the Faculty shall be the Committee Chair.
- 54.2.3 Committee members must immediately declare to the Committee Chair any Conflict of Interest or potential for bias or apprehension of bias that arises, pursuant to Article 49 *Conflict of Interest and Reasonable Apprehension of Bias*.

Role of the Committee

- 54.3 The Committee shall comply with s. 32.3 e) to g), s. 32.4 and s. 32.5 in its selection of a Chair.
- 54.3.1 The Committee will describe the qualifications and job criteria of the Chair in detail, after consulting with members of the Department, including staff. The qualifications and criteria shall be consistent with this Article.
- 54.3.2 The Committee shall advise the Dean if they recommend an external search. Any external search is subject to approval of the Dean and the Vice-President Academic and Provost. In the event of an external search, the Committee shall develop the qualifications and criteria in s. 54.3.1 and post the advertisement in accordance with University practice.
- 54.3.3 As appropriate to the nature of the search, the Committee shall:
- determine its process in advance, including how short lists will be formulated, the elements of a site visit, and the methods of assessment and selection;
 - communicate the process to the Department and keep them advised of progress;
 - keep minutes of its decisions and actions;
 - seek the names of candidates from within the Department;

- e) confirm the willingness of candidates to serve;
- f) solicit and receive applications;
- g) interview short-listed candidates;
- h) arrange for members of the Department to give feedback on short-listed candidates;
- i) determine the most suitable candidate based on the qualifications, criteria and ability to successfully undertake the duties described in this Article; and
- j) recommend the most suitable candidate to the Department or where there is no suitable candidate, to declare a failed search.

54.4 All decisions of the Committee will be made by a simple majority vote which will be held by secret ballot. No abstentions, proxies or electronic votes (unless by in-person synchronous remote communication) are allowed on any vote of the Committee. Committee members must be present at the Committee's interviews of all the candidates to be entitled to participate in the Committee's vote to recommend a candidate. Quorum for any meeting resulting in a recommendation or decision will be 75% of those entitled to vote. The Committee Chair is non-voting but will cast the deciding vote in the case of a tie.

54.5 Where an external candidate is recommended by the Selection Committee, the Department appointment committee must be in support of the appointment and must recommend rank under the Department's process for hiring new faculty.

54.5.1 The candidate selected by the Chair Search Committee must be ratified by 60% of votes cast by Members in the Department. All Members must vote, except for those on approved leave. Votes not cast by those required to vote will be counted as in support of ratification.

54.5.1.1 The ratification process will be conducted by secret ballot following procedures in place within the Faculty in which the Department is located.

54.6 Where 60% of votes are counted as in support of ratification of the candidate, the candidate shall be recommended to the Dean and to the Vice-President Academic and Provost for approval. Where the recommendation is made, the recommendation report will include the Committee's reasons for recommendation, whether the ratification vote was in support of ratification of the candidate or not, and, in the case of an external candidate, the recommendation of the Department appointments committee.

Qualifications

54.7 An internal candidate for the position of Chair must hold a Tenured faculty appointment at the University, have an academic qualification as described in s. 21.3(a), and have an established and ongoing record of scholarly achievement.

54.7.1 Further to s. 54.7, any recommendation to appoint a Teaching Stream Member as Chair or Acting Chair will require that the Department and Dean be satisfied the candidate has the expertise and ability to properly engage in the evaluation of Research within the discipline(s) of the Department. This determination will normally be made when the Search Committee is assessing potential candidates for the position.

- 54.8 An external candidate for the position of Chair must be eligible to hold a Tenured faculty Research Stream appointment at the University and have an established record of scholarly achievement.
- 54.9 In a professional program, the Chair may be expected to hold the appropriate professional qualifications and be able to maintain the professional standing, regulatory approval and/or accreditation of the program.
- 54.10 The Chair should have the ability to maintain the confidence and cooperation of the Department and to provide leadership in the pursuit of Teaching and Research excellence; to promote equity, diversity and inclusion; to uphold and foster collegial governance with the Department, the Dean's Office, and other administrative units of the University; to advocate for and support Members, staff and students in their work; and to effectively and efficiently manage the Department's administrative affairs, exercising good judgment in decision-making. The Chair should have high level interpersonal skills, good judgment, be consultative and collegial, and have a proven record of success as a leader.

Duties of the Chair

- 54.11 The Chair reports to the Dean of the Faculty.
- 54.12 The Chair may delegate some duties to committees established in the Department or to another Faculty Member with administrative responsibilities, but the Chair remains responsible for the delegated work.
- 54.13 The Chair has a special responsibility to exercise leadership in collegial governance within the Department. This includes the duty to:
- a) foster practices of openness and transparency in Departmental governance; and
 - b) demonstrate leadership in equity, diversity, inclusion, anti-racism and decolonization, including by participating in University designated mandatory training in effective employment equity practices; and
 - c) uphold and promote employment equity policy and goals within the Department; and
 - d) address professional conflicts and Conflict of Interest in a timely fashion as they arise.
- 54.14 The Chair has primary responsibility to provide academic, operational and administrative leadership to the Department, in particular the encouragement of excellence in Teaching, Research and Scholarly Activity and Service within the Department. This includes the duty to:
- a) advance the interests of the Department in accordance with the goals of the Faculty and the University including through service on committees, participation in formal and informal consultations and projects, liaison with a wide range of units, including both academic and administrative, providing advice to the Dean of the Faculty and to the Dean of the Faculty of Graduate Studies and supporting connections with external organizations appropriate to the discipline;
 - b) encourage and support Members within the Department in their pursuit of excellence and collegial participation in Academic Responsibilities, while contributing to an inclusive, equitable environment free of oppression and hostility;
 - c) lead and implement academic and resource planning to increase and sustain quality in

Teaching and Research within the Department;

- d) lead the overall development and advancement of the academic program and manage its delivery, which includes course assignment, developing staffing plans, managing the budget and financial operations of the Department, allocating academic resources within the Department and, when appropriate, working to develop the necessary resources from internal and external sources;
- e) exercise leadership in promoting and implementing employment equity, diversity and inclusion, anti-racism and decolonization policies and goals within the Department including, but not limited to, enabling Members to engage fully in Academic Responsibilities and collegial process by addressing areas of marginalization and making best efforts to schedule classes and department meetings at times that are considerate of Members affected by standard childcare pickup/drop off times;
- f) oversee the proper discharge of administrative duties within the Department including, but not limited to, the advising of students, development of student programs, and compliance with University policies, applicable legislation and regulations, and health and safety standards;
- g) manage the appointment, professional development, performance and recognition of staff within the Department;
- h) provide supervision, advice, guidance and mentoring to Faculty Members, and academic and professional staff within the Department;
- i) conduct reviews of Faculty Member performance as required by this Agreement and provide guidance to Faculty Members in their efforts to attain standards required for Reappointment, Continuing Appointment, Promotion or Tenure;
- j) recommend to the Dean compensation increases and the granting of all forms of leave or other entitlements under this Agreement for Members in the Department;
- k) advise the Dean of compensation anomalies as they arise and recommend appropriate redress for such anomalies;
- l) participate, as a member of the University's leadership team and an advocate and representative of their Unit, in developing and forwarding the strategic initiatives of the University;
- m) foster a culture of responsiveness to students which will include celebrating accomplishments, providing advice and guidance on issues that impact students' academic participation, investigating complaints, and hearing appeals;
- n) apply and fulfill the requirements of relevant collective agreements in an equitable manner, consulting appropriately in their interpretation and application and participating fully in their implementation;
- o) apply the Standard for the Unit in a fair and equitable manner and oversee its review and amendment from time to time as required by this Agreement or as needed to enable the Department to meet its academic objectives and mandate;

- p) apply the standards, policies and procedures of the Faculty, the Faculty of Graduate Studies, and the University in a fair and consistent manner;
- q) participate in training, as required, to understand obligations under the law, University policy and relevant collective agreements, or to understand best practice in relation to matters pertaining to their role;
- r) consult, as appropriate, with Faculty Members, staff and students within the Department so as to formulate departmental policy and reach decisions that foster respect, civility and cooperation across the University community;
- s) act as chair of meetings of the Department;
- t) act as chair of the Appointment, Reappointment, Promotion and Tenure (ARPT) Committee(s) of the Department, undertaking duties and ensuring its function in accordance with this Agreement and best practice and encouraging application of equity, diversity and inclusion principles;
- u) in consultation with the Dean, manage the space allocation and equipment and resources within the Department, consistent with University policies;
- v) facilitate, at the direction of the Dean and in consultation with the Dean of the Faculty of Graduate Studies, where appropriate, periodic internal and external reviews of the Department, including reviews of professional programs required for accreditation purposes;
- w) advise the Dean and the Dean of the Faculty of Graduate Studies on any other matters to further promote the objectives of the Department, the Faculty and the Faculty of Graduate Studies, and the University overall.

54.14.1 These duties may be delegated to an Associate Chair or other Faculty Members, but the Chair remains accountable for the proper performance of all duties.

54.14.2 Delegated duties to chair an ARPT committee can only be undertaken by an elected or ratified committee member who has previously served on at least two ARPT committees within the last five years. The Chair of the committee must be elected by members of the ARPT committee.

Term of Appointment and Reappointment

54.15 The appointment of a Member as Chair or Associate Chair of a Department will normally be for a period of three or five years.

54.15.1 A Chair or Associate Chair may be re-appointed to additional terms to a maximum of ten consecutive years (excluding Sick and Administrative Leaves).

Review and Reappointment

54.16 In advance of the end of the Chair's term, the Dean will solicit feedback from members of the Department on the needs of the Department with regard to the next Chair term.

54.16.1 The feedback will be summarized in such a way as to ensure that individuals providing feedback cannot be identified, and will be shared with the Chair and the Department.

54.17 The Chair will then declare formally whether or not they wish to stand for an additional term.

- 54.17.1 An incumbent Chair who notifies the Dean of their interest in standing for an additional term may do so without a Chair Search Committee being constituted.
- 54.18 Where the current incumbent has indicated interest in an additional term, the Dean will also solicit feedback on the performance of the Chair.
- 54.18.1 The feedback will be summarized in such a way as to ensure that individuals providing feedback cannot be identified and will be shared with the Chair and the Department.
- 54.19 The incumbent Chair shall complete and provide a self-assessment to the Dean and shall make a public presentation, paying particular attention to responding to the feedback received in s. 54.16.1 and s. 54.18.1.
- 54.20 An incumbent Chair standing for reappointment must be ratified and recommended in accordance with s. 54.5.1 and s. 54.6.

Termination of Chair Appointment

- 54.21 The term of a Chair appointment may be ended by the expiry of the appointment without renewal, the resignation of the Chair, or by termination of the appointment as Chair in accordance with the following provisions.
- 54.22 Should the Dean have, or become aware of, concerns with the Chair's performance in the Chair role or a disciplinary matter that would impair the ability of the Chair to continue in their role, the Dean shall bring these concerns to the attention of the Chair and give sufficient opportunity for the Chair to respond. If the Dean considers the response to be inadequate to facilitate the proper function of the Chair role, the Dean shall seek the feedback of the Department members on the performance of the Chair.
- 54.23 The Dean shall summarize the concerns, the Chair's response, the feedback of the Department members and the Dean's recommendation in a report, which shall be sent to the Vice-President Academic and Provost, with a copy to the Chair. The Chair may request a meeting with the Vice-President Academic and Provost within 5 Working days of receiving the report for the purpose of making submissions. If the Vice-President Academic and Provost, upon review of the report and consideration of any submissions of the Chair, recommends termination of the Chair appointment, the Vice-President Academic and Provost shall make a recommendation to the President. The Vice-President Academic and Provost may also advise the Dean regarding conditions under which the Chair appointment can continue. A copy of the recommendation or advice shall be provided to the Chair and to the Dean.
- 54.24 The President shall determine whether or not to accept the recommendation of the Vice-President Academic and Provost to terminate the Chair appointment. The decision shall be in writing, with reasons and shall be provided to the Chair, the Dean and to the Vice-President Academic and Provost.
- 54.25 Termination of an administrative appointment does not, in itself, terminate any underlying base faculty or Librarian appointment.

Associate Chair

- 54.26 A Department may apply to the Dean for approval for an Associate Chair position by outlining the need for the position, the responsibilities of the position, the proposed terms and duration of the appointment and the funding arrangements for any proposed stipend or course release.

- 54.27 The Chair and two other faculty members of the department, elected by the department, will develop criteria for the position of Associate Chair and solicit expressions of interest from Members of the Department. The committee will then select the candidate that best meets the criteria for the position.
- 54.28 Following consultation with the Department Members, the Chair may appoint a Member to be Associate Chair, with approval of the Dean.

Stipend

- 54.29 The annual stipend for a Chair shall be provided in accordance with University policy and may be supplemented with, or substituted with Teaching release and/or a Research allowance, upon recommendation of the Dean and approval of the Vice-President Academic and Provost.
- 54.29.1 An Acting Chair is eligible for a stipend if they are serving six months or longer.

Evaluation of Chairs

- 54.30 A Chair shall be given an Alternative Workload, under the provisions of Article 13 Standards and Workload, to reflect the proportion of Service required to undertake the duties of Chair, and to inform any evaluation of Academic Responsibilities.
- 54.31 In the performance evaluation process under Article 50 Salary, the Research and Teaching components of a Chair's Academic Responsibilities are evaluated by the Dean in relation to the Chair's peers in the Department. The Service component is evaluated in relation to the other Chairs and Directors in the Faculty.

Appointment of an Acting Chair

- 54.32 A Chair, in consultation with the Dean, may designate a Faculty Member holding a Regular Academic Appointment in the Unit to act on their behalf when the Chair is away from campus and is unable to undertake the duties of Chair. Such a designation may only be for a period of no more than one month. In the event that the Chair will be away for longer than one month, but no more than six months, the Chair must consult with members of the Department and the Dean must approve the appointment. Where the Chair will be away for more than six months, but has not stepped down from the position, an Acting Chair will be appointed under s. 54.33 and will be granted an Alternative Workload arrangement.
- 54.33 If the appointment of an Acting Chair is to fill a vacant Chair position for more than six months, the Dean will consult with the members of the Department and will recommend a Tenured Faculty Member with qualifications as per s. 54.7 – s. 54.10. The recommended candidate must be ratified in accordance with s. 54.5.1 before the appointment is approved by the Dean and Vice-President Academic and Provost under s. 54.6.
- 54.34 If the appointment of an Acting Chair is to fill a vacant Chair position for more than eighteen months, the procedures will be the same as those used for the appointment of a Chair from internal candidates.

Part 13: Contingency Agreements

55. Program Discontinuance

- 55.1 This Article applies only where the discontinuance of a course of study, program, Faculty, School or Department may result in the lay-off of Members. Sections 55.13 – 55.23 will only be invoked after the process outlined in s. 55.6 – s. 55.12 has been completed.

Role of Senate and Board

- 55.2 The Parties recognize the authority of the Senate and the Board under the University Act with regard to the establishment and discontinuance of a course of study, program, Department, School or Faculty.
- 55.3 The President of the University, a Vice-President, Associate Vice-President or Dean will not recommend to Senate that a course of study, program, Department, School or Faculty be discontinued unless there are bona fide academic reasons for making such a recommendation.

Security of Employment

- 55.4 Where the discontinuance of a course of study, program, Department, School or Faculty may affect the security of employment of Members, the University will endeavour to maintain the employment of Members whenever possible, recognizing the following:
- a) the importance of Tenure as a protection of academic freedom;
 - b) the long-term commitment made by Members to an academic career at the University; and
 - c) a Member's ability to contribute to the University in many ways.
- 55.5 If, under these provisions, the Board of Governors approves the discontinuance of a program that is anticipated to result in lay-offs of Members, a labour adjustment committee will be constituted by the Association and the University and the procedures of s. 55.17 – s. 55.21 will be followed.

Proposal for Discontinuance

- 55.6 Before the President of the University, a Vice-President, Associate Vice-President or Dean submits to Senate a proposal for discontinuance under this Article, a copy of the proposal must be sent to the President of the Association, and the Members in the affected Academic Unit.
- 55.7 The written proposal must contain:
- a) a detailed statement of the reasons for such a proposal;
 - b) details of the consequences of the proposal with regard to the employment status of Members including any proposed voluntary transfers of Members to other Academic Units;
 - c) any proposed voluntary transfers to academic administrative positions;
 - d) any proposed voluntary retirements or resignations;
 - e) any proposed voluntary reduction in the FTE of appointments;
 - f) any other measures that have been made available to Members before considering the need to lay off Members; and

- g) the proposed lay-off of Members.

Review Committee

- 55.8 Before deciding whether or not to forward the proposal to Senate, the President will, within fifteen (15) Working days of sending a copy of the proposal to the President of the Association, establish a review committee that is advisory to the President. The review committee will consist of five (5) members: two (2) nominated by the President of the Association, two (2) nominated by the President of the University and one (1) member jointly named by the two (2) Presidents. The committee will select its own chair. The members must have relevant academic expertise and suitable qualifications for the reviewing task. No member of the committee will be a member of the Unit that would be affected by the proposal for discontinuance.
- 55.9 The University will cooperate with the committee by making available to it all relevant data and information, and providing it with appropriate and essential resources.
- 55.10 The review committee will provide all interested persons or groups with an opportunity to make submissions with regard to all aspects of the proposal referred to in s. 55.7, and submit its report containing its comments and advice with regard to the proposal within forty (40) Working days of its appointment. The report may contain dissenting views in whole or in part or with respect to any specific issue.
- 55.11 With regard to the course of study, program, Faculty, School or Department proposed for discontinuance, the committee will address the following questions in its report:
- a) whether there are bona fide academic reasons for the proposed discontinuance. In making this assessment, the review committee will consider:
 - i) the quality of Teaching and/or Research and Scholarly Activity;
 - ii) any relevant academic reviews either internal or external (internal academic accreditation reports, or evaluations by other professional or academic bodies);
 - iii) success in placement of graduates in graduate programs or occupations; and
 - iv) any other criteria identified in the proposal or expressly considered relevant by the Committee;
 - b) the relationship of the course of study, program, Faculty, School or Department proposed for discontinuance with other programs or Units within the University;
 - c) whether the course of study, program, Faculty, School, or Department proposed for discontinuance has attracted an acceptable enrolment in the past four (4) years (unless a shorter period of initial review was specified by the Senate and Board of Governors at the time of the approval of a program or course of study) and, if not, whether this situation is likely to continue;
 - d) whether any academic deficiencies can be remedied within three (3) years and, if so, how;
 - e) any other questions the review committee deems appropriate; and
 - f) the reasonableness of the proposals and the consequences described under s. 55.7.
- 55.12 The report of the review committee will be delivered to the President. Copies of the report will be delivered concurrently to the Members in the affected Unit, the relevant Dean and Chair, and

the President of the Association, who will have twenty (20) Working days to consider the report and deliver a response in writing to the President of the University.

President's Recommendation

- 55.13 Following the receipt of the report of the review committee, and the responses to it, the President of the University will determine whether or not to submit a proposal for discontinuance to the Senate Planning Committee for consideration and recommendation to Senate.
- 55.14 If the President submits a proposal for discontinuance to Senate, the report of the review committee and any responses to it will accompany the proposal.

Association's Submission to the Board

- 55.15 If, on the recommendation of the President, the Senate makes a recommendation to the Board of Governors that a course of study, program, Department, School or Faculty be discontinued, the President of the University will, within twenty (20) Working days, invite the Association to make a written submission to the Board.

Board of Governors' Decision

- 55.16 If the Board of Governors directs that a program be discontinued, the President of the University will notify the President of the Association and they or their designates will appoint a labour adjustment committee to follow the procedures of s. 55.17 – s. 55.21.

Labour Adjustment Process

- 55.17 Where a program is to be discontinued and lay-offs are anticipated as a result, within ten (10) Working days of the decision of the Board of Governors, the President of the University and the President of the Association, or their designates, will appoint a labour adjustment committee, consisting of an equal number of appointees by each and a chair jointly agreed to by both Parties.
- 55.18 The labour adjustment committee will meet within five (5) Working days of its appointment and will review every proposed lay-off caused by the program discontinuance. The committee will first canvass Members who are proposed to be laid off as to whether any would be willing to take a reduced appointment or to retire in order to avoid lay-offs. For remaining Members who would otherwise be laid off, the committee will use its best efforts to determine an appropriate transfer to another Unit within the University of each such Member. The committee may recommend that the University provide additional training for up to two (2) years of any Member who requires such training in order to accept an offered transfer and the Member will be entitled to undertake that training without payment of tuition or fees to the University. The Member will earn their normal salary for the hours spent in training.
- 55.19 The committee will provide a report to the President of the University and the President of the Association outlining its deliberations and recommendations for transfers to other Units.
- 55.20 Members to be transferred will retain their rank, salaries, seniority and years accrued toward Study Leave in their new Units and will not be transferred without their consent. Units to whom transfers are to be made will not unreasonably refuse to accept a transfer arising from this process.

- 55.21 The University has the right, in its sole discretion, to offer enhanced voluntary severance packages to any Member to avoid a lay-off. Members dealing with an offer of an enhanced voluntary severance package are entitled to the assistance of the Faculty Association.

Members Not Transferred

- 55.22 If, after the labour adjustment committee has made its report, there are still Members who have not taken voluntary retirement, or voluntary severance or have not been transferred to another Unit, those Members will continue in their normal positions in their Faculty or the Libraries for a period of one (1) year at their regular salary. During this year, in the case of a Faculty Member, the Dean will assign Teaching duties where possible, consistent with the Standard for the Unit.
- 55.23 During this year, the Member, the Dean or University Librarian and a representative of the Faculty Association will continue to work towards finding an acceptable permanent transfer of the Member within the University.
- 55.24 If at the end of this year, the Member has not accepted a transfer or taken voluntary retirement or voluntary severance, the Member will be laid off. Notice, severance and recall rights of the Member will be as provided for in s. 56.41 – s. 56.53.

Costs of Committee

- 55.25 The cost of the review committee established under this Article will be borne by the University.

56. Financial Exigency

- 56.1 The Parties agree that the first duty of the University is to ensure that its academic priorities remain paramount, particularly with regard to the quality of instruction and research, and the preservation of academic freedom. Any lay-off for budgetary reasons of a Member holding a Regular Academic Appointment or a Regular Librarian Appointment will occur only during a state of financial exigency declared by the Board of Governors under this Article.
- 56.2 For the purposes of this Agreement, a state of financial exigency occurs when the University's budget forecasts, prepared by using generally accepted accounting principles, project substantial and recurring financial deficits that will affect the continued functioning of the University as a whole and that will persist for two years or more unless there is a reduction in expenditures.
- 56.3 The use of the term "accounting" in s. 56.2 – s. 56.4, and other Sections of this Article includes future predicted costs.

Declaration of Financial Exigency

- 56.4 When the Board of Governors considers that a financial exigency exists within the meaning of s. 56.2, the Board of Governors may declare a financial exigency and specify the required base budget reduction expressed in dollars. Where such a declaration is made, the President of the University will give notice of the declaration to the Association within five (5) Working days stating that the Board of Governors intends to act in accordance with the procedures set out below and providing all financial documentation upon which the Board of Governors based its declaration of financial exigency. The documentation will be sufficiently detailed that by using generally accepted accounting principles a state of financial exigency can be evaluated.
- 56.5 After a declaration of financial exigency has been made, the Board of Governors agrees in the recall period, not to make new appointments in any Faculty or the University Libraries where the holder of such appointment would be represented by the Association under this Agreement unless all recall rights under this Article have been exhausted; and agrees that if it creates and fills any new academic administrative positions that are described in s. 4.3 a) or b) in addition to those that are in existence at the date of the declaration of financial exigency, the University will recall a Member or Members with combined salaries that are equivalent to at least 1.25 times the salary of each new academic administrative appointment. Where this figure is not sufficient to pay the full salary of the person being recalled under this provision, the figure will be rounded up to include the full salary of the person being recalled.
- 56.6 Nothing in this Article prevents the University from renewing the appointment of a person holding a position described in s. 4.3 a) and b) or making a new appointment to a position described in s. 4.3 a) and b).
- 56.7 Lay-off of Members under this Article will occur only after a state of financial exigency has been declared by the Board of Governors in accordance with the procedures contained in this Article; efforts to alleviate the financial crisis by reasonable economies in all other segments of the budget have been undertaken; and all reasonable means of improving the University's revenues have been exhausted.
- 56.8 No Member will be laid-off, terminated, or otherwise penalized with respect to terms and conditions of employment and/or rights or privileges relating to employment for financial reasons, except in accordance with this Article. However, this Article does not preclude the non-

renewal of Members on limited-term appointments, or lay-offs, where the conditions and procedures of this Article have been satisfied.

Financial Commission

- 56.9 Within 10 days of the notice specified in s. 56.4 above, the Board will establish a financial commission consisting of five (5) members, two (2) of whom will be appointed by the Board of Governors, two (2) of whom will be appointed by the Association, and the fifth of whom will be an independent chair selected by the President of the University and the President of the Association. No member of the financial commission will be a government official.
- 56.10 The financial commission will review the material on the state of financial exigency and either verify to the Board of Governors the existence of such a financial exigency or report that such a financial exigency does not exist.
- 56.11 The University will co-operate with the financial commission in its deliberations and will provide all documentation necessary to establish to the satisfaction of the financial commission whether a state of financial exigency exists within the meaning of this Article.
- 56.12 The financial commission will establish its own procedures; however, all decisions of the financial commission will be by majority vote of the members of the financial commission.
- 56.13 The financial commission will invite submissions from the Association and from others it considers necessary, on the University's financial condition and consider:
- a) whether the University's financial position constitutes a state of financial exigency as defined in s. 56.2;
 - b) whether, beyond the measures in s. 56.16, a reduction in the number of Members and/or a further reduction in the salaries and benefits of Members is a reasonable way to effect a cost-saving, given the primacy of academic goals within the University;
 - c) whether other means of achieving savings have been explored and utilized;
 - d) whether every reasonable effort has been made to secure further assistance from the provincial government and to improve the University's revenue position by other means, including borrowing with the approval of the government as required by the *University Act*;
 - e) whether revenue estimates from enrolment projections are consistent with any proposed reduction in the complement of Members;
 - f) whether all other reasonable means of reducing the complement of Members including voluntary early retirement, voluntary resignation, voluntary reduced appointment status, and transfer have been considered and implemented; and
 - g) any other matters that it considers relevant to the proposed financial exigency.
- 56.14 The financial commission will respond to each category listed above in its report.
- 56.15 The financial commission will make its report to the Board and the Association within thirty (30) Working days of its appointment. If the financial commission verifies that there is a state of financial exigency, it will recommend the required base-budget reduction expressed in dollars. It will also recommend the portion of that reduction that would be achieved by s. 56.16, and then

by laying off Members and/or by further reducing expenditures on Members' salaries and benefits.

56.16 Once the Financial Commission has declared a financial exigency, faculty FTE will be adjusted as follows:

- a) The portion of a Members' salary up to \$100,000 shall be reduced by 15%, the portion of their salary greater than \$100,000 up to \$150,000 shall be reduced by 20%, and the portion of their salary greater than \$150,000 shall be reduced by 30%.
 - i) The total amount of salary reduction for all eligible members represents the total amount saved, subject to s. 56.17 below.
 - ii) If the total in i) exceeds the amount needed to be generated for the base budget reduction, the reduction rates are each lowered by 1 percentage point until the total amount saved is equal to the amount to be generated. Thus, reduction rates would be lowered to 14%, 19%, and 29 %. If the lowered rates yield a total that still exceeds the amount needed to be recovered, the rates would change to 13%, 18% and 28%, respectively. The reduction in rates stops when the total amount of salary reduction for all eligible members meets the amount needed to be generated for the required base budget reduction.
 - iii) Once ii) is achieved, the Faculty Member's FTE is determined by dividing the adjusted salary by the Member's original salary.
- b) The Member is automatically subject to a Reduced Workload Agreement based on s. 56.16 a) iii).
- c) Based on s. 56.16 b), the Member is automatically subject to an Alternative Workload Agreement that preserves their Normal Teaching Workload.

56.17 The total amount from the adjustment cannot exceed the amount of base budget reduction determined by the Financial Commission.

56.18 The provisions of s. 13.85 – s. 13.89 shall apply to any Reduced Workload under s. 56.16 b).

56.18.1 Notwithstanding Article 13, the arrangements in s. 56.16 are automatic and do not require consent of the Member or approval of the Chair or Dean. These arrangements will remain in effect until the Board of Governors has declared they are no longer necessary to avoid or recover from institutional deficit.

56.19 When there is no longer a state of Financial Exigency, there must be a process put into place to return Members to their original FTE and corresponding salary.

Renegotiation Period

56.20 In the event of a declaration of financial exigency by the Board of Governors, the Parties will strike a Retention Review Committee under s. 56.33, who will meet within ten (10) days and will attempt in good faith to renegotiate provisions of the Agreement bearing directly on salaries and benefits, or reach other mutually acceptable emergency methods of reducing expenditures, beyond the operation of s. 56.16, that could avert lay-offs or decrease the number of lay-offs, including a proposal for reduction in salaries proportionate to the required cut, or lay-off days each year during the period of financial exigency. The negotiations will be completed within

thirty (30) Working days after the first meeting unless the period is extended by mutual agreement.

- 56.21 Any agreement reached under these provisions will be applicable only if the financial commission verifies, under s. 56.10, that there is a financial exigency and will come into effect only after such a declaration is verified.
- 56.22 If the financial commission verifies, under s. 56.10, that there is a financial exigency, and if there is no agreement under s. 56.20 on measures, beyond those in s. 56.16, to reduce expenditures sufficient to avoid the financial exigency, the University may proceed with lay-offs to achieve the necessary reductions in accordance with the procedures set out below.
- 56.23 If the financial commission finds that no financial exigency exists in the sense of s. 56.2, the Board of Governors will be precluded from invoking any of the provisions of this Article again in the same fiscal year, and any agreement under s. 56.20 will be considered null and void unless both parties subsequently agree that it is to their mutual advantage to proceed with such an agreement.

Lay-off Procedures

- 56.24 Members who are to be laid off under this Article will be provided with written notice of the reasons. Lay-offs under this Article will not be treated or recorded as dismissals for cause.
- 56.25 A Faculty Member and Librarian retention list (MRL) will be prepared by VPAC for each Faculty and the University Libraries. The MRL will include each Faculty Member and Librarian in each Faculty/University Libraries rank-ordered as provided in this section:
- a) Limited Term Faculty, and Limited Term Librarians, and Hourly Librarians ordered by appointment end date (earliest end date first);
 - b) Members holding Regular Academic or Librarian Appointments, first ordered by lowest to highest numbered group under s. 56.28 (based on the calculations made in accordance with s. 56.26) and within each group, ordered by start date of first academic appointment beginning with the most recent date. For example, for two Members in the same group, the Member whose first academic appointment was 1998, will be slotted in below the Member hired in 2008
- 56.25.1 The MRL will include the following data in respect of each Member (insofar as it is available):
- a) Member Name;
 - b) V#;
 - c) Faculty;
 - d) Department;
 - e) Rank;
 - f) Date of first academic appointment under s. 50.14 without adjustments under s. 50.14.1; and
 - g) For the eight years prior, commencing from the previous July 1, the results of the evaluations for the Member expressed as CPI (yes/no/partial) and total MI

increments awarded under the 2015-2019 Collective Agreement; and, for awards under the 2019-2022 Collective Agreement, and this and subsequent Collective Agreements, CPI (yes/no/partial), PPI (yes/no) and OPR (yes/no). Where a Member is outside of the Eligibility Band under s. 50.14 but achieved “meets expectations” or “exceeds expectations” in their assessment under s. 50.30, the record shall show a nominal CPI for the purposes of this section.

- 56.26 For each Member on the list, points will be allocated, in both the evaluation year and the subsequent year, based on the data in s. 56.25.1 g) as follows:
- a) one point for each MI awarded in the relevant evaluations under the 2015-2019 Collective Agreement. Non-integer points for partial MI is permitted (e.g. 3.5 MI = 3.5 points);
 - b) three points for each PPI awarded over the relevant evaluations under the 2019-2022 Collective Agreement, except where OPR is awarded, in which case only d) applies;
 - c) two points for each PPI awarded over the relevant evaluations under the 2022-25 Collective Agreement and Agreements subsequent to 2022-25, except where OPR is awarded, in which case only d) applies;
 - d) four points for each OPR awarded in the relevant evaluations under any Collective Agreement subsequent to 2019;
 - e) two points deducted for each year in which CPI was not awarded; one point deducted for partial CPI;
 - e) one point for each CPI awarded under the 2019-2022 Collective Agreement and subsequent Agreements; including any nominal CPI awarded under s. 56.25.1 g);
 - f) where a Member does not have at least two evaluations in the period under review, two points will be provided in each evaluation year and the subsequent year, for up to two evaluation periods to ensure there are at least two evaluation periods of data for calculation. This may include, but is not limited to, recently hired Members and Members who have been on an approved Leave.
- 56.27 The points allocated under s. 56.26 will be summed and averaged over the period for which evaluation data exist for the Member, including any points allocated under s. 56.26 f), within this dataset.
- 56.28 Using the average points identified in s. 56.27, the Member will be placed in the following group, according to s. 56.25:

group #	Average points
1	<0
2	0.00-0.49
3	0.50-0.99
4	1.00-1.49
5	1.50-1.99
6	2.00-2.49
7	2.50-2.99
8	3.00-3.49
9	3.50-4.00
10	> 4.00

- 56.29 After the groups are established, the average points for individual Members, as determined in s. 56.27, will be used only to break a tie when there is a set of members in the same group who have the same number of years since first academic appointment.
- 56.30 The total base budget reduction across the University required to address a financial exigency to be met by the lay-off of Members will be expressed as a percentage of the total University base salary budget of Members. The lay-off base-budget reduction required of each Faculty and the University Libraries will be that same percentage of the total base salary budget of Members for each Faculty or the University Libraries’.
- 56.31 Members will be selected for lay-off from the MRL of each Faculty and the University Libraries, starting from the top (Group 1) until the Faculty’s or the Libraries’ lay-off base-budget reduction is achieved.
- 56.32 If the full salary of the last Member selected for lay-off is not required to meet the Faculty’s or the University Libraries’ lay-off base-budget reduction, that Member will be offered a Reduced Workload that reflects the amount of the Member’s salary that is not required to meet the lay-off base-budget reductions.

Retention Review Committee

- 56.33 The Retention Review Committee will consist of five (5) members, two (2) of whom will be appointed by the President, two (2) of whom will be appointed by the Association President, and the fifth of whom will be an independent chair selected by the President and the FA President. The chair will vote only to break a tie.
- 56.33.1 Prior to the selection of Members for lay-off, the Retention Review Committee will review the MRL to ensure the accuracy of the calculations.
- 56.33.2 The Retention Review Committee will advise the Members, their Chair/Director and their Dean of the intent to engage in a lay-off of the Member.

- 56.33.3 Within five (5) Working days of the notice in s. 56.33.2, the Dean, on recommendation of the Chair/Director and with the consent of the Member may present a written case to the Retention Review Committee outlining a rationale supporting the extraordinary retention of the Member. Extraordinary retentions will be considered where the work of the Member is essential to the continuation of high demand or speciality academic programming, or where the lay-off would jeopardize the attainment or sustainability of a critical institutional goal or initiative.
- 56.33.4 Where the Retention Review Committee, on majority vote, deems it advisable, they will make a recommendation for extraordinary retention to the Vice-President Academic and Provost, who shall decide whether the Member shall be passed over on the MRL, and another Member selected.
- 56.33.5 Any extraordinary retentions must maintain the equal percentage of layoff base-budget reduction required by each Faculty/Library as per s. 56.30.

Alternative Positions

- 56.34 After the selection of the Members who are to be laid off, but prior to the implementation of such lay-offs, the University will bring alternative positions elsewhere in the University to the attention of such Members.
- 56.35 With regard to alternative academic appointments in another Unit to which this Agreement applies the provisions of Article 24 *Transfer and Conversion of Appointment* apply, but the consent of the Academic Unit to which the Member is being transferred will not be unreasonably withheld.
- 56.36 A Faculty Member whose transfer or conversion to another Academic Unit is approved under Article 24 *Transfer and Conversion of Appointment* retains recall rights to their former position in accordance with s. 56.44 – s. 56.53 and their accrued years of service eligibility for Study Leave.
- 56.37 With regard to alternative positions that are governed by another collective agreement the competition for such positions will be governed by the terms of such collective agreement; and if a Member is the successful candidate for such a position, the salary and benefits for the position will be governed by such collective agreement; the Member retains recall rights to their former position in accordance with s. 56.44 – s. 56.53; and if a Member is recalled to that former position, years of service eligibility for Study Leave that accrued prior to lay-off are retained.
- 56.38 Where a Member has the required academic credentials and other qualifications for an alternative position and the position is offered to the Member and the Member accepts the position conditional upon upgrading skills, the Member will be given an opportunity to upgrade their skills by enrolling in courses or programs specified and offered by the University without payment of fees or tuition.
- 56.39 During the recall period stated in s. 56.44, a Member on lay-off who is admitted to a University degree or diploma program upon satisfying the admission standards of the program, may enroll in a maximum of 30 units of courses at the University, where enrolment space is available, upon payment of half of the normal tuition or fees.
- 56.40 Notwithstanding anything in this Article, the University may in its sole discretion offer an enhanced voluntary severance package to any Member for the purpose of avoiding a lay-off.

Members dealing with an offer for an enhanced voluntary severance package are entitled to the assistance of the Association.

Lay-off Notice

- 56.41 For each Member holding a Limited-Term Faculty or Librarian appointment who is selected for lay-off, the University will provide the lesser of:
- a) six months written notice of the date of lay-off or six months salary in lieu of notice; or
 - b) notice that the University will honour all contractual obligations to the Member; however, no subsequent reappointment will be offered.
- 56.42 For each Member holding a Regular Faculty Appointment or Regular Librarian Appointment who is selected for lay-off, the University will, at the discretion of the University, provide either:
- a) one month's notice of lay-off for each year of service in the University in a Regular Faculty or Librarian Appointment, which will be not less than six months notice to a maximum of twenty-four months notice; or
 - b) one month's salary in lieu of notice for each year of service in the University in a Regular Faculty or Librarian Appointment, which will be not less than six months salary to a maximum of twenty-four months of salary.
- 56.43 Under this Article, all payments in lieu of salary will be based on the individual's annual base salary at the date of lay-off.

Recall Rights

- 56.44 Members who are laid off, or who accept a transfer to a position outside of their Academic Unit, will have, for a period of three years from the date of lay-off, a right of recall with regard to any academic appointment position in their former Academic Unit where the incumbent is represented by the Association and for which the laid-off Member is qualified, unless the University can substantiate that the position is so specialized that it cannot be filled by a laid-off Member.
- 56.45 Where Members are to be recalled to a Faculty or the University Libraries, they will be recalled in the reverse order in which they were laid off. The University will send the first notice of recall to the last Member laid off from that Faculty or the University Libraries (as the case may be) according to the MRL. If such Member does not exercise this right of recall in accordance with this Article, the University will send a notice of recall to the next Member on the MRL and so on until all Members on lay-off from the Faculty or the University Libraries (as the case may be) have been sent a notice of recall.
- 56.46 The University will send a notice of recall to the Member's last known address by registered mail and by email to the Member's UVic email address. It will be the Member's obligation to keep the University informed of their current address.
- 56.47 In addition, each Member who is laid off will have a right of first refusal for any Faculty Member or Librarian position covered by this Agreement and for which the Member is qualified that will be filled during the recall period.

- 56.48 After all recall rights have been exhausted, a Member who has voluntarily accepted a Reduced Workload during the state of financial exigency may request that this appointment be restored to a full appointment.
- 56.49 Individuals who are recalled pursuant to s. 56.45 have 30 days from the date of mailing of the notice of recall in which to accept such recall offer, and a reasonable period, not to exceed six months, to terminate alternative employment and take up the offered post. Failure to accept recall is deemed to be a resignation. Members on lay-off who are subsequently recalled will repay any portion of the allowance pursuant to s. 56.41 – s. 56.42 that exceeds the salary they would have received, had they continued to occupy their normal positions in the University.
- 56.50 Each Member who is recalled to a position that is not within their original Academic Unit retains a full right of recall for the period specified in s. 56.44 for any opening in their original Academic Unit.
- 56.51 In a state of financial exigency, University buildings may be closed or assigned to other functions and normal services may be reduced or eliminated. Subject to the conditions of this Article and within the limitations existing during a state of financial exigency, Members who are laid off will be permitted reasonable access to University facilities, including office and laboratory space, as and when available, and reasonable access to library and computer services, for the purpose of maintaining their Research or Scholarly Activity until alternative academic employment is secured, or their recall rights expire or recall is refused, whichever first occurs. For Library resources and University-wide computer network access (“Netlink ID” or equivalent facilities), cards and user ID’s will continue to function insofar as is reasonably possible. Permission to use other University facilities under this Article requires a proposal by the Member that describes the proposed use of University facilities. Approval of a proposal is contingent upon *Workers Compensation Act* and insurance coverage for the proposed use of University facilities. With regard to the use of University facilities, such as laboratory space, which would not be open to members of the public, a waiver may be required from the Member that releases the University from liability with regard to any injuries that may be sustained by the Member during her or his use of such University facilities.
- 56.52 During the recall period, the University will provide reasonable assistance to laid-off Members by permitting them to enroll in courses or programs offered by the University without payment of fees or tuition, for the purpose of upgrading their skills in order to assume the responsibilities of an alternative position that has been offered to a Member and for which the Member already has the required academic credentials or other qualifications.
- 56.53 While a Member is on lay-off under the provisions of this Article, the University will not contribute towards payment of benefit premiums but will facilitate continuance of any coverage to the extent that it may be available and if desired by the Member who will pay all the applicable premiums.

Cost of Financial Commission

- 56.54 The cost of the financial commission established under this Article will be borne by the University.

Notice of Deficit

- 56.55 Within thirty (30) days of making a request to the Minister of Finance to operate with a deficit, the University shall provide the Faculty Association Executive with a copy of that request and

supporting documents. The Faculty Association Executive shall hold this information in the strictest confidence.

Part 14: Contract Renewal

57. Strike or Lock-Out

- 57.1 There will not be any strike by Members and the University will not lock out Members for the term of this Agreement.

58. Terms of Agreement and Renewal

- 58.1 Except as otherwise stipulated in individual Sections of this Agreement or by a Letter of Understanding signed by the Parties, this Agreement comes into force and effect following approval by the Board of Governors and the Association and continues in force until June 30, 2025.
- 58.2 By no later than January 31, 2025, the Parties may agree to renew this Agreement without amendments for a specified period.
- 58.3 If either Party wishes to commence negotiations for an amended Agreement, that Party must, by not later than February 1, 2025, give notice to the other Party. In such case, negotiations will begin not later than February 15, 2025.
- 58.4 If negotiations toward an amended Agreement extend beyond June 30, 2025, this Agreement will continue in force during negotiations.
- 58.5 The Agreement will be posted on the University and Association websites. The University will provide each Member, including each newly appointed Member, with notice of and access to a copy of the current Agreement.

Appendix A - Collegial Rights: Policy and Procedure List

The following are the Policies agreed to by the Parties to be subject to Article 8 *Collegial Rights* in respect of consultation provisions. These policies are in addition to those which require Association consent under the Article.

Policy Name	Policy Number	Approving Authority
Academic Accommodation and Access for Students with a Disability	AC1205	Senate
Academic Program Review	AC1145	Senate
Acceptable Use of Electronic Information Resources	IM7200	VPFO
Appointment and Reappointment of Deans, Procedures for the	GV0450	Board
Appointment and Re-Appointment of the Associate Dean Academic Advising (Faculties of Science, Social Science and Humanities)	GV0670	Board
Appointment and Reappointment of the Vice-Provost and Academic Associate Vice-Presidents, Procedures for the	GV0350	Board
Appointment and Review of the Associate Dean of Fine Arts	GV0630	Board
Appointment and Review of Research Centre Directors	GV0705	Board on rec of Senate
Appointment and Review of the Associate Dean of Humanities	GV0600	Board
Appointment and Review of the Associate Dean of Social Sciences	GV0645	Board
Appointment and review of the Head of the Division of Medical Sciences	GV0500	Board
Appointment of an Associate Dean of Education (Research and Graduate Programs)	GV0610	Board
Appointment of an Associate Dean of Education (Undergraduate)	GV0615	Board
Appointment of an Associate Dean of Science	GV0655	Board
Appointment of Chairs of Departments or Divisions	GV0700	Board
Appointment of the Associate Dean (Research) of Engineering	GV0620	Board
Appointment of the Associate Dean (Undergraduate Programs) of Engineering	GV0625	Board
Appointment of the Associate Dean of Graduate Studies	GV0635	Board

Appointment of the Associate Dean of Human and Social Development	GV0640	Board
Appointment of the Associate Dean of Law	GV0650	Board
Appointment of the Associate Dean, Faculty of Business	GV0605	Board
Appointment of the University Librarian	GV0410	Board
Appointment of the University Secretary (Registrar)	GV0325	Board
Appointment of the Vice-President Academic and Provost	GV0305	Board
Appointment of the Vice-President Research	GV0310	Board
Audio Visual Services Policy	AD2510	VPFO
Booking of University Space	BP3440	PRES
Bookstore Operation	AD2500	VPAC
Calendar Submissions, Policy on	AC1120	Senate
Copyright Compliance and Administration	IM7310	PRES
Discrimination and Harassment Policy	GV0205	Board
Employment Accommodation	HR6115	Board
Employment Equity	HR6100	Board
Employment Under Externally Funded Grants and Contracts	HR6305	Board
Environmental Health and Safety Policy	SS9200	PRES
Establishment and Review of Research Centres	RH8300	Board
Establishment of Diploma and Certificate Programs	AC1135	Senate
Establishment of Endowed and Term Chairs and Professorships	AC1100	Board and Senate
External Research Funding Agreements	RH8200	Board
Furnishings, Fittings, Finishes and Artwork Policy	BP3130	VPFO
Hospitality Expenditures	FM5600	VPFO
Human Rights, Equity and Fairness	GV0200	Board
Indirect Costs of Research	FM5400	Board
Information Security Policy	IM7800	Board
Liability Insurance	FM5300	VPFO
Libraries Loan Policy	IM7600	Senate

Off-campus Activity Risk Management Policy	AD2210	PRES
Off-Campus Graduate Programs	AC1115	Board
Participation in International Activities, Guidelines for	AD2200	Board
Post Doctoral Fellows Policy	HR6310	VPRI
Preferential or Limited Hiring, Guidelines on	HR6110	PRES
Prevention of Violence in the Workplace	SS9120	VPFO
Professional Development Expenses - Regular Faculty Members and Librarians	HR6410	Board
Protection of Privacy Policy	GV0235	Board
Records Management Policy	IM7700	Board
Relocation Grant Policy	HR6405	Board
Research Funding Management and Financial Accountability	FM5405	Board
Research Grants in Lieu of Salary Policy	RH8205	Board
Research Involving Humans	RH8105	Board
Research or Teaching Involving Animals	RH8110	VPRI
Research Policy	RH8100	Board
Response to At-Risk Behaviour	SS9125	Board
Risk Management Policy	GV0225	Board
Search/Appointment and Re-appointment of the President and Vice-Chancellor	GV0300	Board
Sexualized Violence Prevention and Response Policy	GV0245	Board
Signing Authority	FM5100	Board
Sustainability Policy	GV0800	Board
Title of Emeritus or Emerita	AC1140	Senate
Travel and Business Expenses	FM5610	VPFO

Policy/procedure		Responsible Unit
Central Accommodation Fund Terms of Reference		Human Resources
Alternative housing of experimental animals		VPRI
Alternative housing procedure		VPRI

Regulations on academic concessions		Senate
Graduate Supervision Policy		Faculty of Graduate Studies
Faculty Professional Development Expense Policy and Procedures		VPAC and Finance
Procedure for Revenue Sharing and Creator Commercialization		VPRI

Appendix B - Intellectual Property Policy (GV0215)

This policy was renegotiated in the 2022-2025 Collective Agreement but its implementation will be delayed pending approval at Senate and/or Board of Governors, as applicable. Once approved, the link below will be updated.

For the current version of this policy, please visit the University Secretary's webpage here:

<https://www.uvic.ca/universitysecretary/policies/search/index.php>

Appendix C - Conflict of Interest in Student-Faculty Relationships Policy (AC1200)

This policy was renegotiated in the 2022-2025 Collective Agreement but its implementation will be delayed pending approval at Senate and/or Board of Governors, as applicable. Once approved, the link below will be updated.

For the current version of this policy, please visit the University Secretary's webpage here:
<https://www.uvic.ca/universitysecretary/policies/search/index.php>

Appendix D - Policy on Scholarly Integrity (AC1105-B)

This policy was renegotiated in the 2022-2025 Collective Agreement but its implementation will be delayed pending approval at Senate and/or Board of Governors, as applicable. Once approved, the link below will be updated.

For the current version of this policy, please visit the University Secretary's webpage here:
<https://www.uvic.ca/universitysecretary/policies/search/index.php>

Appendix E - Policy on Duties and Responsibilities of Directors of Research Centres (GV0715)

This policy was renegotiated in the 2022-2025 Collective Agreement but its implementation will be delayed pending approval at Senate and/or Board of Governors, as applicable. Once approved, the link below will be updated.

For the current version of this policy, please visit the University Secretary's webpage here:
<https://www.uvic.ca/universitysecretary/policies/search/index.php>

Appendix F - LOU: Units and Programs Appointing Faculty

Whereas all Faculty Members are entitled to the terms and conditions of the Collective Agreement and all units in which Faculty Members are appointed need to adhere to said terms and conditions;

And whereas upon occasion, a unit or program engaged in academic work is permitted by the Vice-President Academic and Provost to hire faculty to teach and research as part of the unit/program (either through appointment to the unit/program or through appointment at the Faculty level) to enable the unit/program to develop sufficiently to meet criteria for Senate approval of Department/School status;

And whereas, it is necessary to make clear the status and obligations of the aforementioned units/programs as it relates to faculty terms and conditions of employment under the Collective Agreement:

The Parties hereby agree:

1. The units/programs identified in Schedule "A", while not yet approved through Senate to be a Department or a School, shall be treated as an Academic Unit/Department/School for the purposes of the application of this Collective Agreement. For clarity, this does not give rights of treatment as an Academic Unit for any other purpose.
2. Persons appointed to direct the units/programs identified in Schedule A will be considered to be equivalent to Chair of a Department or Director of a School for the purposes of this Collective Agreement, and shall be entitled to an equivalent stipend under the VPAC policy for said stipends.
3. It is understood that appointment at the Faculty level is a temporary status and the expectation is that all Faculty Members will move toward appointment within a Department or School. As such the Parties agree:
 - a. Upon attainment of Department/School status, all Faculty Members appointed to work in support of a unit/program under this Letter of Understanding shall have their appointment transferred to the newly approved Department/School.
 - b. If a unit/program under this Letter of Understanding is joined with or subsumed by another Academic Unit, all Faculty Members appointed to work in support of this unit/program will be transferred to the receiving Academic Unit.
 - c. If a unit/program under this Letter of Understanding is dissolved or permission to have faculty appointed to the unit is revoked by the Vice-President Academic and Provost, all Faculty Members who are appointed to work in support of this unit/program must accept transfer to another Department or School.
 - d. While affected faculty will be consulted in respect of their preferences and those preferences will be met where possible, the appointment of each must be transferred to an existing Department or School. These transfers are subject to the provisions of Article 24 *Transfer and Conversion of Appointments* except that, notwithstanding

s. 24.4 a), a Faculty Member cannot withhold consent for transfer of their appointment from the Faculty level to a Department/School.

Schedule A

Units/Programs considered an “Academic Unit/Department/School”

for the purposes of application of the Collective Agreement

1. Indigenous Studies Program – Faculty of Humanities
2. Academic and Technical Writing Program – Faculty of Humanities

Appendix G - LOU: Collective Agreement Adjustments and Considerations in Response to COVID-19 Impacts

Whereas the COVID-19 pandemic resulted in a number of government and University mandated responses (including, but not limited to, travel restrictions, social distancing, and requirements for isolation, work from home and research lab closure);

And whereas the Parties recognize that faculty and librarians may have been impacted by these measures in differential ways such that career progress may be impeded;

And whereas the Parties made amendments to various provisions in the 2019-2022 Collective Agreement to enable faculty and librarians experiencing negative career impacts to mitigate those impacts;

And whereas the Parties agree that some of those amendments should have ongoing effect;

The Parties hereby agree:

Use of Course Experience Surveys

1. Course Experience Surveys (CES) for the Winter 2020 and Summer 2020 terms, the Fall 2020 term, the Winter 2021 term and the Winter 2022 term only will not be shared with unit leaders or used in evaluation processes except that Faculty Members may access their survey results and, if they wish to have them used in evaluation processes, must include the results in their teaching dossiers.

Extensions for Reappointment, Continuing Appointment and Tenure

2. A) Where a Member's Academic or Professional Responsibilities were affected by COVID-19 related restrictions in the period January 1, 2020 to December 31, 2021:
 - i) the Faculty Member may apply in writing to the Dean of the Faculty for an automatic deferral of consideration for Reappointment, Continuing Appointment or Tenure by one (1) year;
 - ii) the Librarian on probationary status may apply in writing to the University Librarian for an automatic deferral of consideration for a confirmed Regular Appointment by one (1) year.

Such a request must occur no later than April 15 in the calendar year of consideration.

B) Faculty Members who have been granted an extension under 2A may make application in writing to the Dean of the Faculty for a further extension based on extraordinary and extenuating circumstances that demonstrate the one-year extension in 2A is insufficient to mitigate the impacts of the effect of government or university directed COVID-19 protocols on the Member's research/scholarly activity. This application for additional extension may occur no later than April 15 in the calendar year in which the Faculty Member is to be considered. The granting of this application is in the discretion of the Vice-President Academic and Provost, on recommendation of the Dean.

Considerations in Reappointment, Promotion, Tenure and Continuing Appointment

3. The Parties hereby acknowledge that for Faculty Members CA s. 33.20 f) notes that in developing the RPT/CA file “the candidate may include a statement of any special circumstances during the period under review which may have affected the candidate’s achievements during the period under review.” For Librarian Members CA s. 30.22 d) similarly notes “other documentation which the candidate wishes to have considered.” The Parties hereby encourage faculty and librarians to utilize this entitlement to articulate their experience of the pandemic that is relevant to consideration of their application.

The Parties also acknowledge the obligation of the RPT Committee under CA s. 33.30, or the ACRP under CA s. 30.31 in the case of Librarians, to provide a summary of the committee’s assessment on all factors significant to their assessment, which would include consideration of any statement of special circumstances provided by the candidate under s. 33.20 f), or s. 30.22 d).

Further, the Parties hereby agree that any “statement of special circumstances” under s. 33.20 f), or s. 30.22 d) received from a candidate will be provided to referees with instruction that it is to be considered in the referee’s assessment.

That Committees making recommendations on Reappointment, Promotion, Tenure or Continuing Appointment are expected to give due consideration to the guidance in Appendix A, and shall acknowledge in the Recommendation Report that they have reviewed this Letter of Understanding and given due consideration to that guidance, in respect of the candidate’s file. Committees are reminded of their obligations (under s. 33.30 for faculty and s. 30.31 for librarians) that the Recommendation Report shall provide a summary of their assessment on all factors significant to their assessment, including consideration of COVID-19 related impacts.

Salary Evaluation

4. In relation to salary evaluation processes in which the review period includes the years between 2020 and 2024:
 - a) Members are encouraged to provide, as part of their salary evaluation materials under s. 50.33, a statement outlining the impacts of COVID-19 on their Academic or Professional Responsibilities. This “COVID-19 Impact Statement” may not exceed 800 words and should be clear about the timing and duration of the impacts. The COVID- 19 Impact Statement will be carefully considered along with the other materials provided under s. 50.33 in making an assessment of performance under s. 50.35.
 - b) For any Member who is initially assessed as “does not meet expectations” based on the normal review period and criteria, the Member may elect to remove the academic record for either one or two years between 2020 and 2024 from the review period, and have the record reassessed. In this case a second “fresh” review will be done extending the review period backward for a period equal to that removed at the election of the Member. If the Member “meets expectations” for the revised review period, then they will be assessed as a “meets” for the evaluation. If they “do not meet” for the revised review period, they will be assessed as “does not meet” for the evaluation. Such Members are not eligible for PPI even if they are found to have exceeded under the definitions in their Standard for the modified review period.

Appendix A – Guidance to ARPT/ACRP Committees on COVID Considerations in RPT/CA Assessment

The RPT/ACRP Committee is expected to consider the following:

- The impacts of the COVID-19 pandemic on service, teaching, professional performance, scholarly activity, creative activity and research productivity, and training are not equal for all members of the academic community. Certain identity factors are associated with greater impacts for some individuals (e.g., gender, race, Indigenous identity, geographic location, rurality, disability, age, socioeconomic status, career stage, family responsibilities, etc.). This personal information might not be provided in an application; so your assessment should be informed by the description of the impacts on service, teaching, professional performance, scholarly activity, research and/or training activities provided in the materials provided by the candidate.
- The impacts of the COVID-19 pandemic are not equal across all kinds of teaching and in all fields of research, creative activities and scholarly activity. Some fields of research and creative activity may be more affected than others (e.g., research involving community engaged research, research involving archives, field work, research involving animals, research conducted in wet labs, etc.).
- Impacts may differ for various types of scholarly activity, research, creative activity and/or training activities. For example, a researcher may be unable to collect new data, but still be able to write and publish manuscripts.
- Impacts might be felt at different time points and may be more relevant for certain selection criteria. For example, you may see in an application that data collection was postponed due to a lab closure. This type of impact might not affect recent contributions to research, but could affect future research and training plans.
- You should not make assumptions on the impacts of the COVID-19 pandemic on service, teaching, professional performance, scholarly activity, creative activity, research and training, but should take into consideration the impacts based on the description provided in the application.
- Details about personal circumstances related to the COVID-19 pandemic that have affected teaching, professional performance, research and/or training activities are a candidate's personal information, which they are not required to disclose. It is sufficient for the candidate to state the nature of the circumstances (e.g., medical, bereavement, family responsibilities, etc.) for the impact to be taken into account. Considerations should be made based on the description of the impact on research, creative work and/or training activities.

Appendix H - LOU: Conversion to Tenure for Teaching Stream

Whereas the Parties have agreed to enable the award of Tenure to Associate Teaching Professors;

And whereas the Parties wish to establish the terms and conditions for the transition between Continuing Appointment and Tenure and enable time for the revision of processes and policies;

The Parties hereby agree that, notwithstanding any provision in the Collective Agreement:

Policy and Process

1. Provisions related to the transition of Continuing Appointment to Tenure for Teaching Stream shall take effect July 1, 2023.
2. The Unit Standards provisions relating to Associate Teaching Professor shall be reviewed by the Units under the upcoming s. 13.1 to ensure they are CA compliant and consistent with expectations for Tenure of a Teaching Stream Member.
3. Existing references in University policy to Tenured Faculty Members shall not apply automatically to Associate Teaching Professors with Tenure. No later than one year following the ratification of this agreement, the Administration shall review each of the university level policies and determine where unintended expansions of interpretation may exist. Where such concerns are noted, USEC shall advise JCAA and receive their feedback prior to putting the matter to Senate or the Board as appropriate. The Administration and Faculty Association may also make written submissions on the interpretation to Senate and/or the Board.

Transition of Continuing Appointment to Tenure

1. All Teaching Stream Faculty Members hired with a start date of July 1, 2023 or later, including Lecturers who meet the criteria for Assistant Teaching Professor on July 1, 2023 or later, shall not be eligible for Continuing Appointment and shall be subject to the process for attainment of Tenure.
2. Members at the rank of Associate Teaching Professor with Continuing Appointment on or before July 1, 2023 will have their Continuing Appointment converted to a Tenured appointment automatically on July 1, 2023.
3. Teaching Stream Members hired between July 1, 2016 and July 1, 2023 must go up for Continuing Appointment on their regular schedule under the provisions for consideration of Continuing Appointment in the 2019-2022 Collective Agreement. They must also apply for Promotion and Tenure within 6 years of the ratification of this Collective Agreement. If Tenure is not achieved in that time, they will be subject to the terminal provisions of the Collective Agreement.
4. Teaching Stream Members (with Continuing Appointment) appointed prior to July 1, 2016 may retain Continuing Appointment or may elect to go up for Tenure and Promotion at any time and are not subject to termination provisions relating to not attaining Tenure.

Appendix I - LOU: Extended Health Benefits Plan Changes

Whereas there is a shared interest in having a benefits plan which supports Member health and is affordable to the University and Members;

The Parties hereby agree to continue the current extended health plan as outlined in the Faculty Benefits Handbook (<https://www.uvic.ca/hr/assets/docs/benefits/FacultyBenefitsHandbook.pdf>) dated July 2022, with the following changes:

- a) coverage of oral and non oral contraceptives:
- b) increase of the maximum annual reimbursement from \$1200 to \$1800 for clinical psychology/counselling;
- c) increase in payment from \$30 to \$60 per visit for physiotherapy and massage therapy for the first twelve (12) visits in a calendar year; and
- d) change in the cost share of premiums with the University such that the Member pays 30% and the University pays 70%.

Appendix J - LOU: Equity Course Releases

Whereas the University and Association are committed to diversity of faculty complement and diversity in the working and learning experience;

And whereas the University is a signatory of the Scarborough Charter evidencing commitment to the Charter and its principles;

And whereas the Parties wish to enable the Faculty Association to gather and analyze data specific to equity-deserving groups;

The Parties hereby agree that:

1. The University shall provide a 1.5 unit course release in 2023-2024, and a 1.5 unit course release in 2024-2025, at prevailing sessional rates, to the Faculty Association for allocation in support of their work in the areas of equity, diversity, inclusion and anti-racism.

Appendix K - LOU: Black Scholar Fund

Whereas the University and Association are committed to diversity of faculty complement and diversity in the working and learning experience;

And whereas the University is a signatory of the Scarborough Charter evidencing commitment to the Charter article 2.1.2.1. *to recruit faculty, wherever feasible in cluster/cohort hires across academic disciplines;*

And whereas the Parties wish to increase the number and success of Black faculty at UVic by developing priority recruitment strategies and programs to support success;

The Parties hereby agree that:

1. A Black Scholar Recruitment Support Fund shall be established in the amount of \$450,000 to support new hires as of July 1, 2024.
2. Academic Units who have approved Requests for Hire for the recruitment of Black faculty under the Limited Hire policies of the University, may apply to the Vice-President Academic and Provost for these funds. VPAC will fund two thirds of each position for Units in the Faculties of Fine Arts and Humanities, and 50% of each position in other Faculties, up to the limit of the incumbent's base salary plus benefit costs, to support the recruitment of successful candidates into Tenure-track/Tenured positions. Unit contribution shall include any external funding.
3. Where possible, more than one Black Scholar should be hired within a Faculty to enhance retention and success.

Appendix L - LOU: Indigenous Recruitment Support Fund

Whereas the University and Association are committed to diversity of faculty and librarian complement;

And whereas the Strategic Plan evidences commitment to increasing the number and success of Indigenous faculty and students at UVic by developing priority recruitment strategies and programs to support success, together with support of Indigenous-engaged learning to promote mutual understanding and respect;

The Parties hereby agree that:

1. An Indigenous Recruitment Support Fund of \$300,000 shall be established on July 1, 2023 in the Office of the Vice-President Academic and Provost.
2. Units that have approved Requests for Hire for the recruitment of Indigenous faculty and librarians under the Limited Hire policies of the University, may apply to the Vice-President Academic and Provost for funds. VPAC will fund 50% of each position from the Indigenous Recruitment Support Fund. This funding, including the Unit's contribution (including any external funding) up to the limit of the incumbent's base salary plus benefit costs, will support the recruitment of successful candidates into regular faculty positions at all ranks (research and teaching stream), and Regular librarian positions at all ranks. Decisions to approve applications to the Indigenous Recruitment Support Fund will be made by the Vice-President Academic and Provost in consultation with the Vice-President Indigenous or designate.
3. Preference is to be given to qualified Indigenous scholars and Indigenous librarians from the following, in order of priority:
 - a) ɫə́kʷəŋən and W̱SÁNEĆ nations and communities;
 - b) Coast Salish, Nuuchah-Nulth and Kwakwaka'wakw nations and communities on Vancouver Island and beyond, including cross-border communities;
 - c) North American Indigenous nations and communities; and
 - d) Global Indigenous communities.
4. The relevant Associate Dean Indigenous shall co-chair the Appointment Committee or Search Committee for any recruitment associated with this fund and will be responsible, in collaboration with the Chair, for the selection of one or more additional Indigenous members to the Appointment Committee. The Associate Dean Indigenous and any additional Indigenous members will be voting members of the appointment committee.

Appendix M - LOU: Associate Deans Indigenous

Whereas it is in the interests of the University, its community and, in particular, Indigenous faculty, librarians, staff and students to have Indigenous decision-makers and role models in positions of authority;

And whereas it is recognized that Indigenous community members have articulated the need for programs, initiatives, supports and decisions involving Indigenous peoples to consider Indigenous ways of knowing and being;

And whereas the Parties acknowledge the need to support the learning of all leaders to enable and embed decolonized decision-making in our academic structures and processes;

And whereas the University wishes to provide academic supports for the strategic initiatives of the Vice-President Indigenous;

The Parties hereby agree that:

1. During the term of this Collective Agreement, each Faculty will recruit an Indigenous person to the role of Associate Dean Indigenous (or equivalent).
2. The role description for the work of the Associate Dean Indigenous will be developed based on the needs of the Faculty in supporting decolonization and Indigenization, but in all cases shall include the following:
 - a. The Associate Dean Indigenous, in consultation with the Vice-President Indigenous, shall work with Members to determine:
 - i. guiding principles to be applied in the assessment and evaluation of Indigenous Members' work, including guidance to support equitable evaluation of diverse methodologies and pedagogies, decolonization and Indigenization, to be included in the Faculty Evaluation Policy.
 - ii. specific criteria related to assessment of Academic Responsibilities specific to Indigenous Members under the Faculty's Unit Standards.
 - b. The Associate Dean Indigenous shall co-chair any Appointment Committee where an Indigenous candidate is being interviewed, and shall provide advice to the committee.
 - c. The Associate Dean Indigenous shall provide advice and support to the Dean, where the Dean is considering appointment of an Indigenous candidate.
 - d. Where requested by an Indigenous Member, the Associate Dean Indigenous shall co-chair the Member's Reappointment, Promotion or Tenure/Continuing Appointment Committee and shall provide advice to the Committee.
 - e. The Associate Dean Indigenous shall provide advice and support to the Dean, where the Dean is making a recommendation in the Reappointment, Promotion or Tenure/Continuing Appointment of an Indigenous candidate.

- f. Where requested by an Indigenous Member, the Associate Dean Indigenous shall provide advice and support to the Chair/Salary Evaluation Committee where the evaluation of that Member is being undertaken.
 - g. The Associate Dean Indigenous shall provide advice and support to the Dean, where the Dean is determining salary adjustments of an Indigenous candidate.
 - h. Requests for cultural or ceremonial leave under the provisions of the *Special Leave* Article will be made to the Chair, who shall forward it to the Associate Dean Indigenous for advice before it is sent to the Dean for their recommendation to the Vice-President Academic (or designate).
- 3. The recruitment of the Associate Dean Indigenous (or equivalent) shall follow the University's policy for the appointment of Associate Deans, except that at least half of the faculty Members on the committee shall be Indigenous faculty and the Vice-President Indigenous shall co-chair with the Dean.
- 4. Funds to support the hiring and placement of Associate Deans, Indigenous (or equivalent) shall take place outside the framework of the *LOU Indigenous Recruitment Support Fund (Appendix L)*.
- 5. The Vice President Indigenous shall form an Associate Deans Indigenous Council to support the academic strategic initiatives of the Office of the Vice President Indigenous within the respective Faculties.
- 6. This letter of Understanding shall apply in parallel form to UVic Libraries, through an Associate University Librarian position, amended as necessary to account for those processes specific to Librarians.

Appendix N - LOU: Indigenous Faculty and Librarian Community-Engagement Fund

Whereas the University and Faculty Association are committed to decolonization, Indigenization, and reconciliation;

And whereas the University and Faculty Association understand the importance of community work carried out by Indigenous Faculty and Indigenous Librarians as it relates to Members' Academic or Professional Responsibilities;

And whereas the University and Faculty Association are committed to supporting the success of Indigenous Members;

The Parties hereby agree that:

1. An Indigenous Community-Engagement Fund ("the Fund") shall be established on July 1, 2023 in the amount of \$60,000.
2. The Fund is intended to provide support to Indigenous Members to offset the costs of working in Indigenous communities, as it relates to the Member's Academic or Professional Responsibilities.
3. An Indigenous Member can apply for reimbursement of claimable expenses incurred to a maximum of \$1,000 per year, until the Fund is expended.
4. Applications will be adjudicated by the Vice-President Indigenous or designate. Indigenous Members can apply to the Fund on April 1, August 1 and December 1 of each year for funds in advance, subject to a submission of receipts no later than one year from the date of the advance. If advanced funds are not used in full, they will be returned to the Fund.

Appendix O - LOU: Indigenous and BPoC Knowledge Connection Fund

Whereas the University and Association are committed to promoting equity, diversity, inclusion, decolonization, Indigenization and anti-oppression in our community, our processes and in our scholarship and teaching;

And whereas the University and the Association recognize the important contributions by Indigenous and Black and Person of Colour (BPoC) Members in assisting with equity, diversity, inclusion, decolonization, Indigenization and anti-oppression initiatives related to their Academic and Professional Responsibilities, which can go beyond Normal Workload expectations;

The Parties hereby agree that:

1. An Indigenous and BPoC Knowledge Connection Fund shall be established on July 1, 2022 in the amount of \$500,000.
2. Indigenous and BPoC Members who are undertaking assigned or approved work related to their Academic and Professional Responsibilities that promotes or supports University initiatives in the area of equity, diversity, inclusion, decolonization, Indigenization or anti-oppression may apply for a course release in support of that work.
3. The maximum course release an Indigenous or BPoC Member can receive from the current fund is normally 1.5 units per year.
4. Course release may be granted where:
 - a) The work is not part of Normal Workload;
 - b) The work is not already supported by an Alternative Workload or course release;
 - c) The work was assigned or approved by the Chair or Associate Dean Indigenous; and
 - d) Evidence is provided to demonstrate the time required to undertake the work is roughly equivalent to the time required to teach a 1.5 unit course. In this case, multiple initiatives may be combined to satisfy the equivalency.

Relevant work occurring since July 1, 2022 can also be considered for a course release under this fund.

5. Application will be made to the Indigenous and BPoC Knowledge Connection Committee, comprising of two (2) Indigenous Members and two (2) BPoC Members chosen by consensus among the President and Vice-President of the Faculty Association, the Vice-President Indigenous, and the Associate Vice-President, Academic Programs. The committee shall be chaired by the Deputy-Provost who will not vote except to break a tie. The application must be sent to the Member's Chair and Dean for comment before submission.

Appendix P - LOU: Joint Committee on Faculty and Librarian Disability Management

Whereas, in accordance with the Letter of Understanding *“Processes, Procedures, and Documentation for Faculty requesting Sick Leave, Return to Work, and Accommodation”* in the 2019-2022 Collective Agreement, the Parties participated in an external audit of the University’s disability management systems for faculty and librarians in Spring 2021;

And whereas the report of the external disability management consultant (Reed Group) noted both strengths and areas for improvement;

And whereas the Parties wish to address the recommendations jointly;

The Parties hereby agree that:

1. A joint working group shall be formed within six (6) months of ratification of this Agreement, comprising of three (3) members chosen by the Faculty Association, and three (3) members chosen by Administration. The joint working group shall be a sub-committee of the Joint Committee on the Administration of the Agreement and shall be referred to as the “Joint Sub-Committee on Disability Management for Faculty and Librarians (JSDM)” (“the Committee”).
2. The mandate of the JSDM is to review the recommendations of the Reed Group, and to determine which of the recommendations should be implemented. The JSDM will then work collaboratively to implement those recommendations the Parties agree on. The JSDM will take a systems review approach and will not review or discuss individual cases.
3. The Committee will meet quarterly until such time as the agreed upon recommendations are implemented, at which time the Committee will meet annually to consider whether any adjustments to the process are to be recommended.
4. Recommendations will be received by the Vice-President Academic and the Vice-President Finance and Operations and will be shared with leaders whose portfolios include disability management for faculty and librarians.
5. A response to the recommendations will be provided by the University to the Committee within thirty (30) days of receipt.

Appendix Q - LOU: Joint Working Group on Class Recording

Whereas the Parties acknowledge the advantages and drawbacks of classroom recording;

And whereas recent legislation respecting accessibility in education will impact the operation of the University and delivery of courses;

And whereas the Parties wish to jointly explore and make recommendations on the topic;

The Parties hereby agree as follows:

1. Within six (6) months of the ratification of this agreement, the Parties shall form a joint working group named the “Classroom Recording Working Group” (CRWG) with two members appointed by the Vice-President Academic and Provost and two members appointed by the President of the Faculty Association, a representative from LTSI and a representative from University Systems. The working group shall agree on a chair.
2. The working group may recruit additional members or invite guests should additional expertise be required to assist the working group.
3. The mandate of the working group will be to:
 - a. Review Fall 2022 classroom recording survey results and identify best practices and challenges regarding class recording;
 - b. Consider and propose guidelines for class recordings that may constitute the basis for a UVic class recording policy including contexts where class recordings may or may not be appropriate;
 - c. Consider and make recommendations on appropriate infrastructure for class recordings;
 - d. Consider appropriate training and supports for class recordings.
4. The working group shall determine their meeting schedule.
5. Within one (1) year of constituting the CRWG, the working group shall submit their recommendations to the Vice-President Academic and Provost.
6. Within six (6) months of receiving the recommendations, the Vice-President Academic and Provost shall consider the recommendations and make a recommendation to Senate for a draft policy on class recordings.

Appendix R - LOU: Joint Working Group on Student Accommodations and Faculty Workload

Whereas the incidence of Student Accommodation requests has increased and faculty workload concerns have arisen;

And whereas the Association and the University wish to explore the challenges and find ways to adequately address them, the Parties agree that:

1. Within six (6) months of the ratification of this Agreement, a joint working group referred to as “Joint Working Group on Student Accommodations and Faculty Workload” (the “Working Group”) shall be formed comprised of:
 - a) two (2) representatives from the Association;
 - b) two (2) representatives from the University;
 - c) one (1) faculty member who works as a liaison with CAL for one of the Faculties;
 - d) one representative from CAL.
2. The mandate of the Working Group will be to:
 - a) review the scope and nature of student accommodation requests and impact on faculty workload, including changes that have occurred over the past five years;
 - b) review CAL deadlines;
 - c) consider the adequacy of CAL services, identify gaps and make recommendations on appropriate supports to address student needs and instructors’ concerns relating to accommodation requests;
 - d) determine strategies for faculty grounded in universal design principles to minimize the need for academic accommodation requests.
3. The Working Group will meet a minimum of six (6) times.
4. Additional persons with expertise may be invited as guests by either Party.
5. By June 30, 2024, the Working Group will submit their recommendations to the Vice-President Academic and Provost.
6. Within six (6) months of receiving the recommendations, the University will respond to the recommendations and develop an implementation plan.

Appendix S - LOU: Incentives for Retirement

Whereas the University and Association are committed to enabling faculty and librarian renewal to enhance diversity and equity in the working and learning environment;

And whereas the revenue projections for the University suggest a need for budgetary reductions:

And whereas the Parties agree that an incentive should be provided on a one-time basis to encourage retirements during the period of this Collective Agreement;

The Parties hereby agree on the following one-time retirement incentive programs:

Program 1

1. Regular Members with 30 years or more since first academic/librarian appointment² are eligible to elect a 50% FTE at full base pay (exclusive of stipends), with regular pension and benefits for the period July 1, 2023 to June 30, 2024, in exchange for irrevocable retirement effective July 1, 2024.
2. Those eligible will be notified by February 28, 2023. Signed agreements must be received by VPAC no later than April 30, 2023.

Program 2

1. Regular Members may elect to retire without the requirement to return to the University under s. 34.12 or s. 35.8, following an earned Study Leave or Administrative Leave in accordance with the provisions below:
2. Members who have an approved Study Leave or Administrative Leave during the period July 1, 2023 – June 30, 2024 who wish to retire effective July 1, 2024 shall give notice to their Chair and Dean no later than April 30, 2023.
3. Members who did not apply for Study Leave or Administrative Leave by October 1, 2022 may apply by April 30, 2023 for a Study Leave or Admin Leave of no more than six months, commencing July 1, 2023, January 1, 2024, July 1, 2024 or January 1, 2025, with a retirement date on the day following the end of their Study Leave or Administrative Leave.
4. The stated retirement date in the Member's application is irrevocable.
5. Approved Study Leaves and Administrative Leaves pursuant to this LOU must be received by VPAC no later than one month after the approval of the leave.
6. The normal requirements and expectations for the granting of a Study Leave or Administrative Leave remain in effect and are subject to Dean's approval.

² This does not include post-doc appointments, Limited Term, or those contracts for teaching "by the course".

Appendix T - MOU: Cost of Living Adjustments

The parties agree that in determining the level of any Cost of Living Adjustments (COLAs) that will be paid out starting on the first pay period after July 1, 2023 and July 1, 2024, respectively, the "annualized average of BC CPI over twelve months" in s. 50.8 of the collective agreement means the *Latest 12-month Average (Index) % Change* reported by BC Stats in March for British Columbia for the twelve months starting at the beginning of March the preceding year and concluding at the end of the following February. The percentage change reported by BC Stats that will form the basis for determining any COLA increase is calculated to one decimal point. The *Latest 12-month Average Index*, as defined by BC Stats, is a 12-month moving average of the BC consumer price indexes of the most recent 12 months. This figure is calculated by averaging index levels over the applicable 12 months.

The *Latest 12-month Average % Change* is reported publicly by BC Stats in the monthly BC Stats *Consumer Price Index Highlights* report. The BC Stats *Consumer Price Index Highlights* report released in mid-March will contain the applicable figure for the 12-months concluding at the end of February.

For reference purposes only, the annualized average of BC CPI over twelve months from March 1, 2021 to February 28, 2022 was 3.4%.

Resource: Deadlines for Reappointment, Tenure, Continuing Appointment, Promotion, and Peer Review of Teaching

REAPPOINTMENT AND CONTINUING APPOINTMENT*

**For Teaching Stream Faculty hired between July 1, 2016 and June 30, 2023 ONLY. Teaching Stream faculty members hired on or after July 1, 2023 follow the deadlines for Promotion that will confer Tenure.*

Important Deadlines:

Process begins: April 15

Final date to notify candidate: February 15

Unit Committee:

Deadline	Committee/Administration	Member
April 15 Consideration process begins	Deadline for Chair to notify the Faculty Member of the documentation that the Faculty Member will be expected to submit. s. 33.3	
September 1		Deadline for Member to submit the documentation to the Chair noted in s. 33.20 (a – g)
At least ten (10) days prior to the committee decision on the application	The committee may request that the candidate meet with the committee. The request must be in writing. s. 33.26 The committee must request this meeting if they require additional information to fairly evaluate the file or if they are considering a negative recommendation. s. 33.26.1	The candidate may request a meeting with the committee. The request must be in writing. s. 33.26

Immediately after conducting the ballot	<p>Chair of the committee shall prepare the draft Recommendation Report.</p> <p>s. 33.29</p> <p>Prior to sending the report to the candidate, the chair will send each member of the committee the number of votes for and against and the draft Recommendation Report</p> <p>s. 33.31 (a – b)</p> <p>After considering any proposed changes, the chair of the committee will send a signed copy of the Recommendation Report to the candidate, the Dean, and each member of the committee s.33.23</p>	
October 15	<p>Chair shall send the Dean the Recommendation Report and copies of all of the documents and reference to all resources considered by the committee</p> <p>s.33.35 a</p>	
On receipt of the Recommendation Report		<p>The candidate shall sign to acknowledge receipt of the Recommendation Report and return it to the chair s.33.33</p>
No later than ten (10) days after receipt of the Recommendation Report		<p>The candidate may send a written response to the Dean, including any allegations of COI or RAB (s.32.8 a) s.33.34</p>

Dean:

Deadline	Committee/Administration	Member
November 15	Deadline for Dean to send their own recommendation and the Unit's Recommendation Report, together with the materials considered in those assessments to the Office of Faculty Relations and to the candidate. s. 33.41 a	
No later than ten (10) days after receipt of Dean's recommendation		The candidate may submit a written response to the Dean's recommendation. s. 33.42

UAAC:

Deadline	Committee/Administration	Member
October 15	The President of the University and the President of the Faculty Association will jointly appoint the members of the UAAC s.33.46 (a – e)	
Five (5) working days after December 15	The Member will be informed of the decision to refer the file to the UAAC. The Faculty Association will be copied on this notification s.33.45	
Five (5) working days notice		Member to notify UAAC of intent to make oral presentation or written submission to the UAAC. s. 33.54
January 30	UAAC will forward its report, including any submissions made by the candidate, to the President, copied to the candidate, the Dean, and the VPAC. s. 33.57 a	

President:

Deadline	Committee/Administration	Member
December 15	Deadline for President to refer the file to the UAAC. s. 33.44 a	
February 15	Deadline for President to notify the candidate of their decision. s. 33.59 a	

TENURE AND APPLICATIONS FOR PROMOTION THAT, IF GRANTED, WILL CONFER TENURE

Important Deadlines:

Process begins: April 15

Final date to notify candidate: March 15

Unit Committee:

Deadline	Committee/Administration	Member
April 15 Consideration process begins	Deadline for Chair to notify the Faculty Member of the documentation that the Faculty Member will be expected to submit, and that the Faculty Member is required to nominate referees by May 15. s. 33.3	Deadline for Member who intends to apply for tenure and promotion to notify the Chair in writing. s. 33.4
May 15	Deadline for referee nominee lists to be exchanged. The committee must nominate a minimum of six referees. s. 33.5	The candidate must nominate a minimum of six referees. s. 33.5
Within ten (10) days of the exchange of referee lists	The committee may challenge the inclusion of referees on the candidate's list on the basis of COI or RAB, or that the referee is not qualified s.33.11	The candidate may challenge the inclusion of referees on the committee's list on the basis of COI or RAB, or that the referee is not qualified s.33.11
June 1		Deadline for Member to select a minimum of two nominees from the committee's list and notify the Chair in writing of this selection. s. 33.13
Between June 1 and June 15	The committee must select a minimum of two nominees from the candidate's list of referees, and to notify the candidate in writing of this selection. s. 33.14	
June 15		Deadline for Member to submit copies of or citations to scholarly or creative works that they wish to be made available to the referees prior to the date for distribution of materials. s. 33.17

August 31	Deadline for referees to indicate their willingness to serve. If the invitation is declined or not responded to by this date, a replacement will be selected from the same list. s. 33.19	
September 1		Deadline for Member to submit the documentation to the Chair noted in s. 33.20 (a – g)
September 15	Deadline for chair of the committee to send the information provided by the candidate to the referees who have indicated their willingness to serve. s. 33.22	
At least ten (10) days prior to the committee decision on the application	<p>The committee may request that the candidate meet with the committee. The request must be in writing. s. 33.26</p> <p>The committee must request this meeting if they require additional information to fairly evaluate the file or if they are considering a negative recommendation. s. 33.26.1</p>	<p>The candidate may request a meeting with the committee. The request must be in writing. s. 33.26</p>
Immediately after conducting the ballot	<p>Chair of the committee shall prepare the draft Recommendation Report. s. 33.29</p> <p>Prior to sending the report to the candidate, the chair will send each member of the committee the number of votes for and against and the draft Recommendation Report s. 33.31 (a – b)</p> <p>After considering any proposed changes, the chair of the committee will send a signed copy of the Recommendation Report to the candidate, the Dean, and each member of the committee s.33.23</p>	

November 15	Chair shall send the Dean the Recommendation Report and copies of all of the documents and reference to all resources considered by the committee s.33.35 b	
On receipt of the Recommendation Report		The candidate shall sign to acknowledge receipt of the Recommendation Report and return it to the chair s.33.33
No later than ten (10) days after receipt of the Recommendation Report		The candidate may send a written response to the Dean, including any allegations of COI or RAB (s.32.8 a) s.33.34

Dean:

Deadline	Committee/Administration	Member
December 15	Deadline for Dean to send their own recommendation and the Unit's Recommendation Report, together with the materials considered in those assessments to the Office of Faculty Relations and to the candidate. s. 33.41 b	

UAAC:

Deadline	Committee/Administration	Member
October 15	The President of the University and the President of the Faculty Association will jointly appoint the members of the UAAC s.33.46 (a – e)	
Five (5) working days after January 30	The Member will be informed of the decision to refer the file to the UAAC. The Faculty Association will be copied on this notification s.33.45	

Five (5) working days notice		Member to notify UAAC of intent to make oral presentation or written submission to the UAAC. s. 33.54
February 28	UAAC will forward its report, including any submissions made by the candidate, to the President, copied to the candidate, the Dean, and the VPAC. s. 33.57 b	

President:

Deadline	Committee/Administration	Member
January 30	Deadline for President to refer the file to the UAAC. s. 33.44 b	
March 15	Deadline for President to notify the candidate of their decision. s. 33.59 b	

PROMOTION

Deadlines for Promotion that will confer Tenure (i.e. promotion applications by an untenured faculty member) adhere to the deadlines for Tenure above.

Important Deadlines:

Process begins: April 15

Final date to notify candidate: May 1

Chair:

Deadline	Committee/Administration	Member
April 15 Consideration process begins	Deadline for Chair to notify the Faculty Member of the documentation that the Faculty Member will be expected to submit, and that the Faculty Member is required to nominate referees by May 15. s. 33.3	Deadline for Member who intends to apply for promotion to notify the Chair in writing. s. 33.4
May 15	Deadline for referee nominee lists to be exchanged. The committee must nominate a minimum of six referees. s. 33.5	The candidate must nominate a minimum of six referees. s. 33.5
Within ten (10) days of the exchange of referee lists	The committee may challenge the inclusion of referees on the candidate's list on the basis of COI or RAB, or that the referee is not qualified s.33.11	The candidate may challenge the inclusion of referees on the committee's list on the basis of COI or RAB, or that the referee is not qualified s.33.11
June 1		Deadline for Member to select a minimum of two nominees from the committee's list and notify the Chair in writing of this selection. s. 33.13
Between June 1 and June 15	The committee must select a minimum of two nominees from the candidate's list of referees, and to notify the candidate in writing of this selection. s. 33.14	

June 15		Deadline for Member to submit copies of or citations to scholarly or creative works that they wish to be made available to the referees prior to the date for distribution of materials. s. 33.17
August 31	Deadline for referees to indicate their willingness to serve. If the invitation is declined or not responded to by this date, a replacement will be selected from the same list. s. 33.19	
October 1		Deadline for Member to submit the documentation to the Chair noted in s. 33.20 (a – g)
October 15	Deadline for chair of the committee to send the information provided by the candidate to the referees who have indicated their willingness to serve. s. 33.22	
At least ten (10) days prior to the committee decision on the application	<p>The committee may request that the candidate meet with the committee. The request must be in writing. s. 33.26</p> <p>The committee must request this meeting if they require additional information to fairly evaluate the file or if they are considering a negative recommendation. s. 33.26.1</p>	<p>The candidate may request a meeting with the committee. The request must be in writing. s. 33.26</p>

Immediately after conducting the ballot	<p>Chair of the committee shall prepare the draft Recommendation Report.</p> <p>s. 33.29</p> <p>Prior to sending the report to the candidate, the chair will send each member of the committee the number of votes for and against and the draft Recommendation Report</p> <p>s. 33.31 (a – b)</p> <p>After considering any proposed changes, the chair of the committee will send a signed copy of the Recommendation Report to the candidate, the Dean, and each member of the committee s.33.23</p>	
January 15	<p>Chair shall send the Dean the Recommendation Report and copies of all of the documents and reference to all resources considered by the committee</p> <p>s.33.35 c</p>	
On receipt of the Recommendation Report		<p>The candidate shall sign to acknowledge receipt of the Recommendation Report and return it to the chair s.33.33</p>
No later than ten (10) days after receipt of the Recommendation Report		<p>The candidate may send a written response to the Dean, including any allegations of COI or RAB (s.32.8 a) s.33.34</p>

Dean:

Deadline	Committee/Administration	Member
February 15	<p>Deadline for Dean to send their own recommendation and the Unit's Recommendation Report, together with the materials considered in those assessments to the Office of Faculty Relations and to the candidate. s. 33.41 c</p>	

UAAC:

Deadline	Committee/Administration	Member
October 15	The President of the University and the President of the Faculty Association will jointly appoint the members of the UAAC s.33.46 (a – e)	
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Five (5) working days notice		Member to notify UAAC of intent to make oral presentation or written submission to the UAAC. s. 33.54
April 15	UAAC will forward its report, including any submissions made by the candidate, to the President, copied to the candidate, the Dean, and the VPAC. s. 33.57 c	

President:

Deadline	Committee/Administration	Member
March 15	Deadline for President to refer the file to the UAAC. s. 33.44 c	
May 1	Deadline for President to notify the candidate of their decision. s. 33.59 c	

PEER REVIEW OF TEACHING AND COURSE REVIEWS

Faculty members at the rank of Lecturer, Assistant Professor, and Assistant Teaching Professor

Timeline	Peer Review	Course Review
Second year of appointment	Formative peer review of teaching s.25.10 a i	Course review of all assigned courses in that year s.25.10 a ii
Within the first two (2) years following Reappointment	Formative peer review of teaching s.25.10 a i	
Second year following Reappointment		Course review of all assigned courses in that year s.25.10 a ii
Last term taught prior to submission of the file for Reappointment	Summative peer review of teaching s.25.10 a iii	
Last term taught prior to submission of the file for Promotion and Tenure	Summative peer review of teaching s.25.10 a iii	

Faculty members at the rank of Associate Professor and Associate Teaching Professor

Timeline	Peer Review	Course Review
Last term taught prior to submission of the file for Promotion, and between 1 year and 24 months apart.	Minimum of two (2) summative peer reviews s.33.20 d i	
At time of application for Promotion		Course reviews completed since last Reappointment or Promotion s.33.20 d ii
Every two (2) years if required	If RPT committee notes a need for improvement in teaching, one (1) summative peer review until the Member is assessed as meeting or exceeding expectations s.25.10 b ii	
Within first two (2) years after hire at Associate rank	One (1) summative peer review s.25.10 b iii	
Every two (2) years if required (hired at Associate rank)	If the evaluator notes the need for improvement in Teaching, one (1) summative peer review until the Member is assessed as meeting or exceeding expectations s.25.10 b iii	
Annually		One (1) course review of a regularly assigned course per year s.25.10 c i