

# Global EFT combinations in ATLAS and CMS

TOP2025

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on behalf of the ATLAS and CMS collaborations



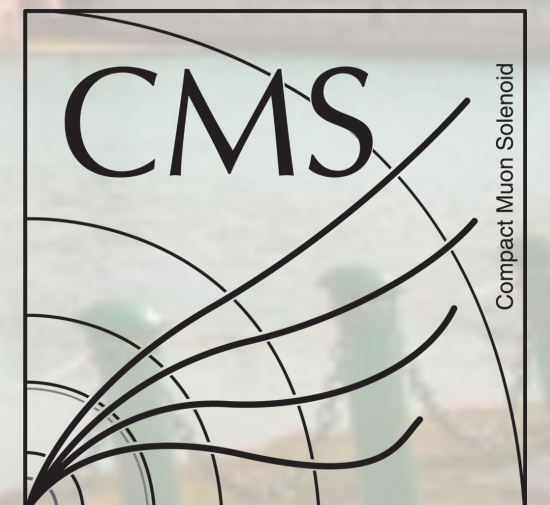
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**ATLAS**  
EXPERIMENT



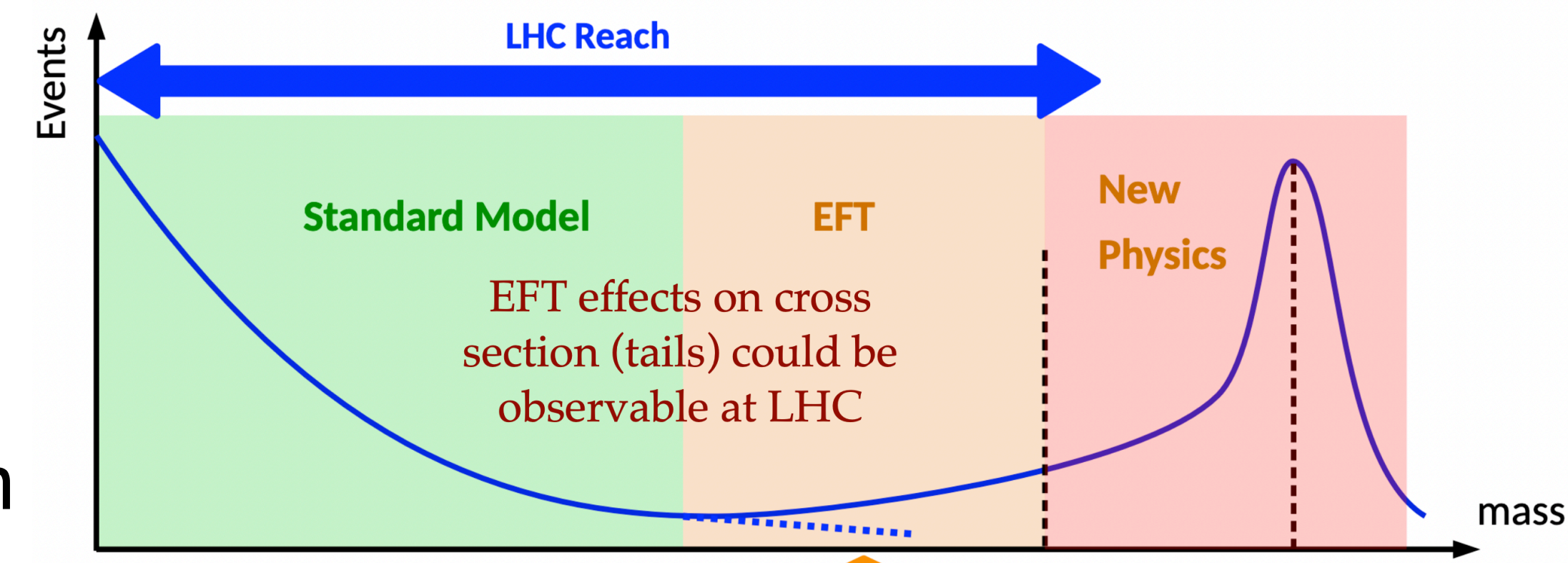


# The (indirect) search for New Physics - EFT

- Despite its mature program, *no* new resonance observed at the LHC.

➔ New particles may be at energy scales much higher than we can reach

- Effective Field Theories offer a (relatively) model-independent way to include the effects of unknown high-energy physics



## Standard Model Effective Field Theory (SMEFT)

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i c_i^{(d)} \frac{1}{\Lambda^{d-4}} \mathcal{O}_i^{(d)}$$

$\mathcal{O}_i$  : Higher dimensional **operators** which introduce new interaction vertices

$c_i$  : **Wilson coefficients (WC)** parameterize the strength of these operators

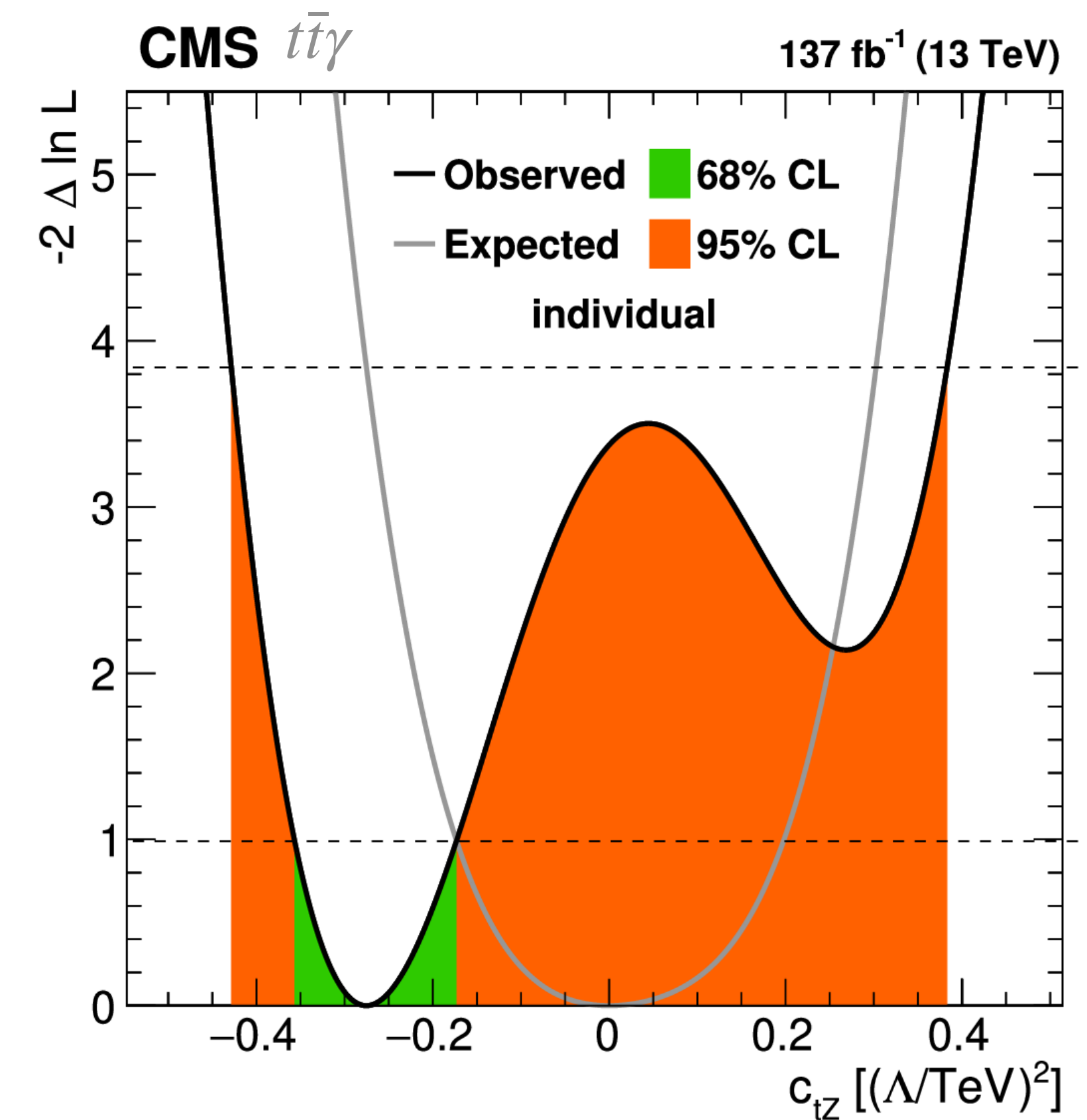
$\Lambda$  : **Scale of new physics** - assumed to be 1 TeV

- From experimental data, one can set constraints on Wilson coefficients  $c_i$ , which can be matched to UV models.

One can alternatively set limits on the energy scale  $\Lambda$  after fixing the WCs.

# How we study SMEFT at LHC.

- Single physics process. One SMEFT operator at a time

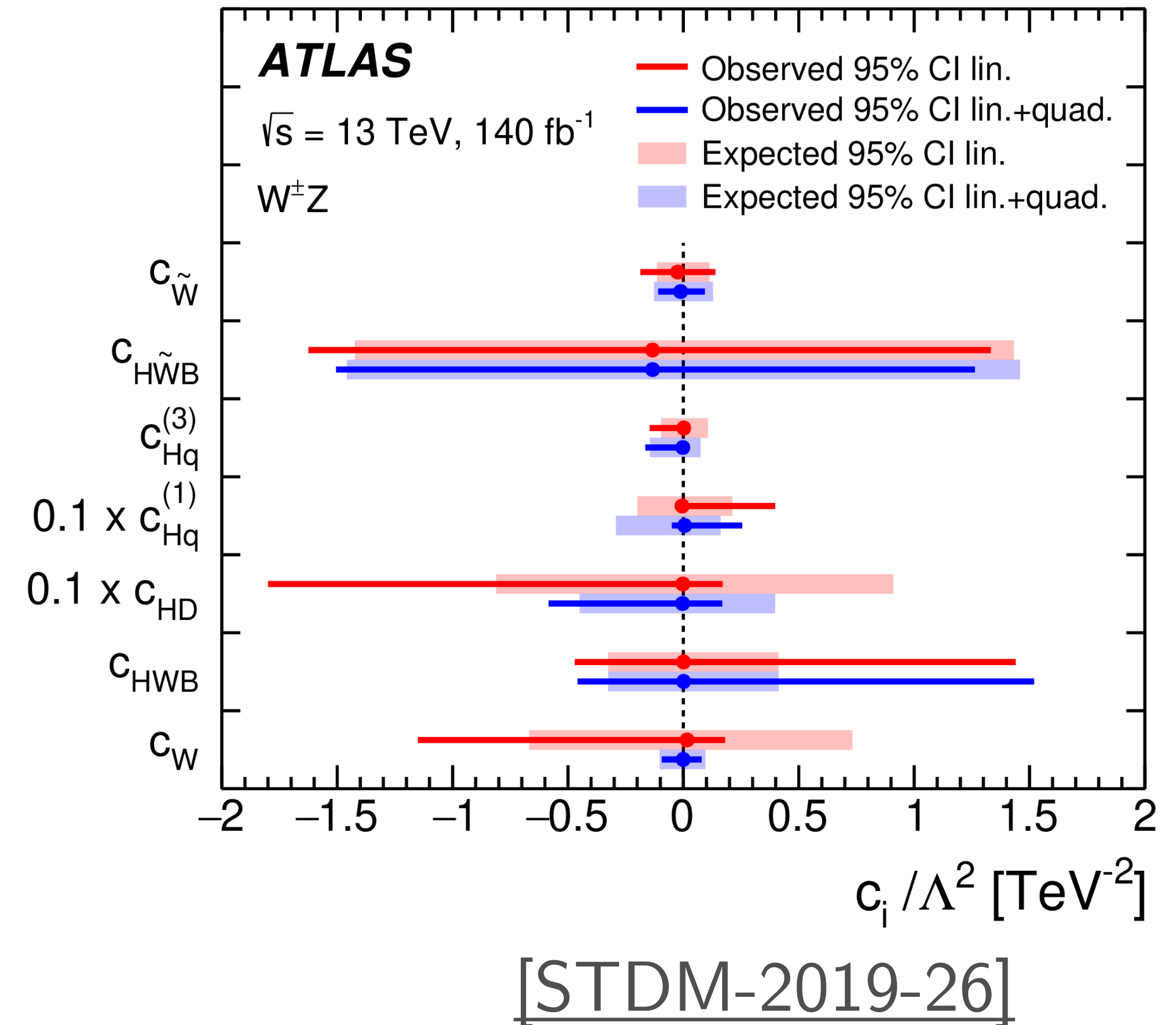


[TOP-18-010]

# How we study SMEFT at LHC.

● Single physics process. One SMEFT operator at a time

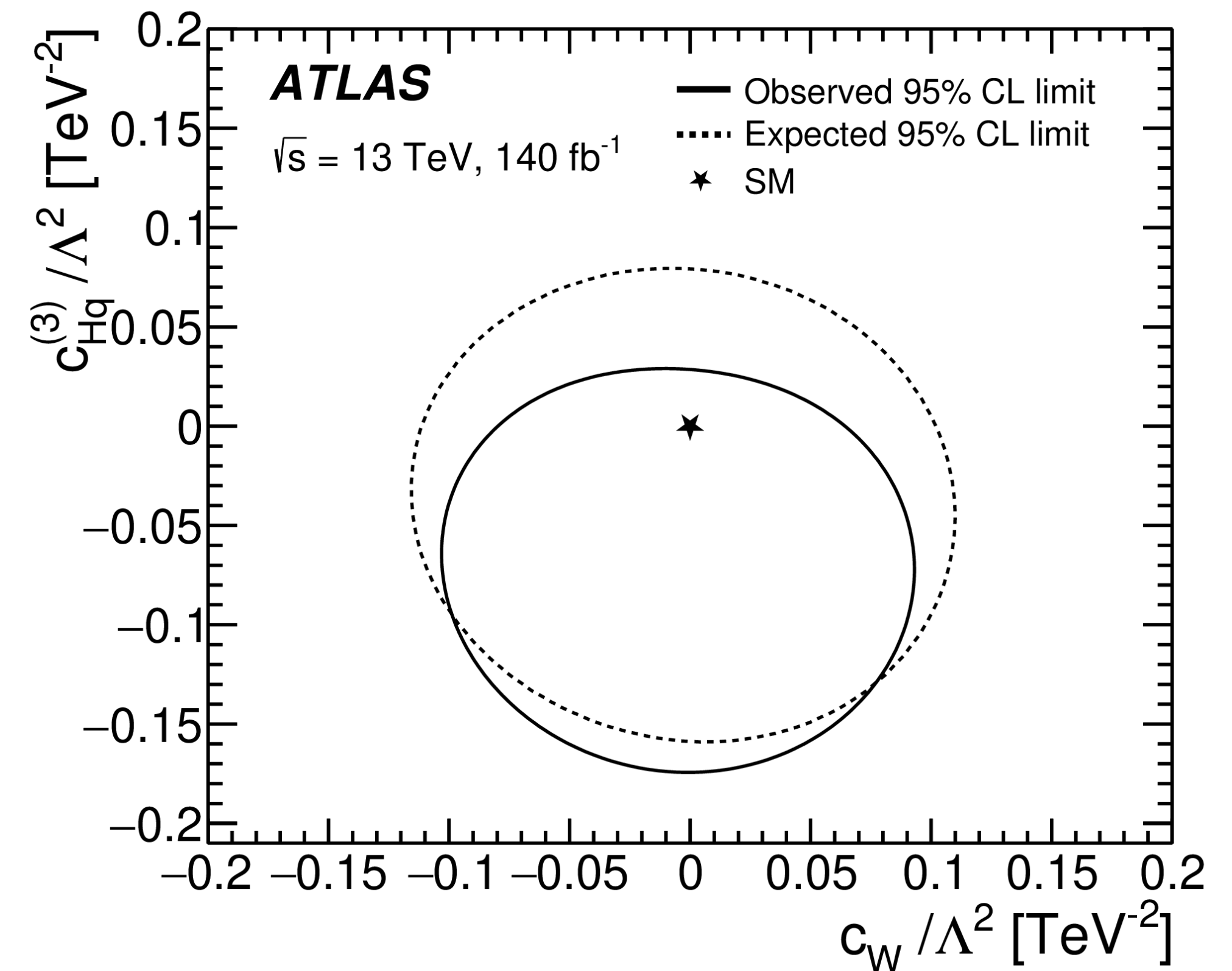
● Single physics process. Several relevant SMEFT operators one at a time





# How we study SMEFT at LHC.

- Single physics process. One SMEFT operator at a time
- Single physics process. Several relevant SMEFT operators one at a time
- Single physics process. Several relevant SMEFT operators pairwise at a time

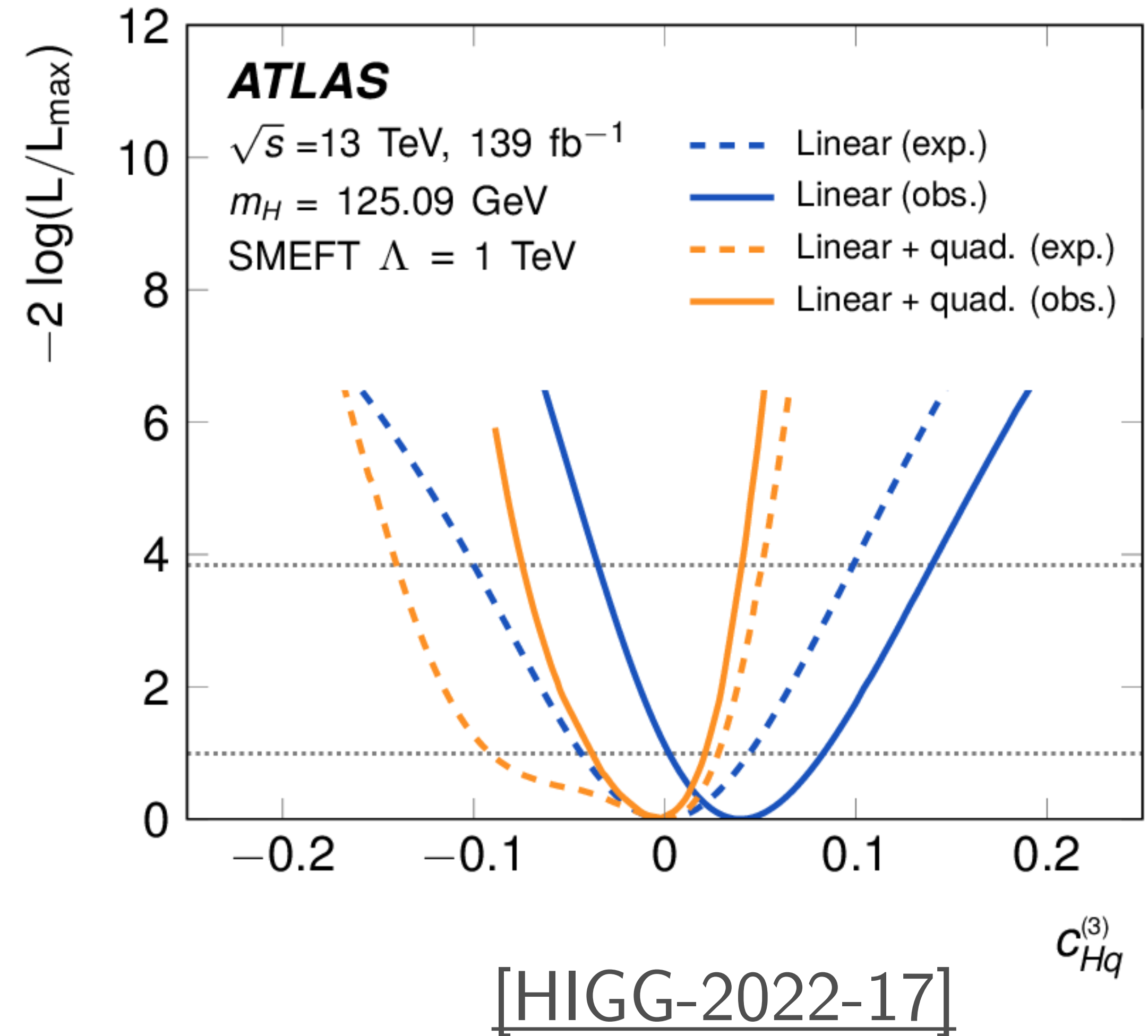


[STDM-2019-26]



# How we study SMEFT at LHC.

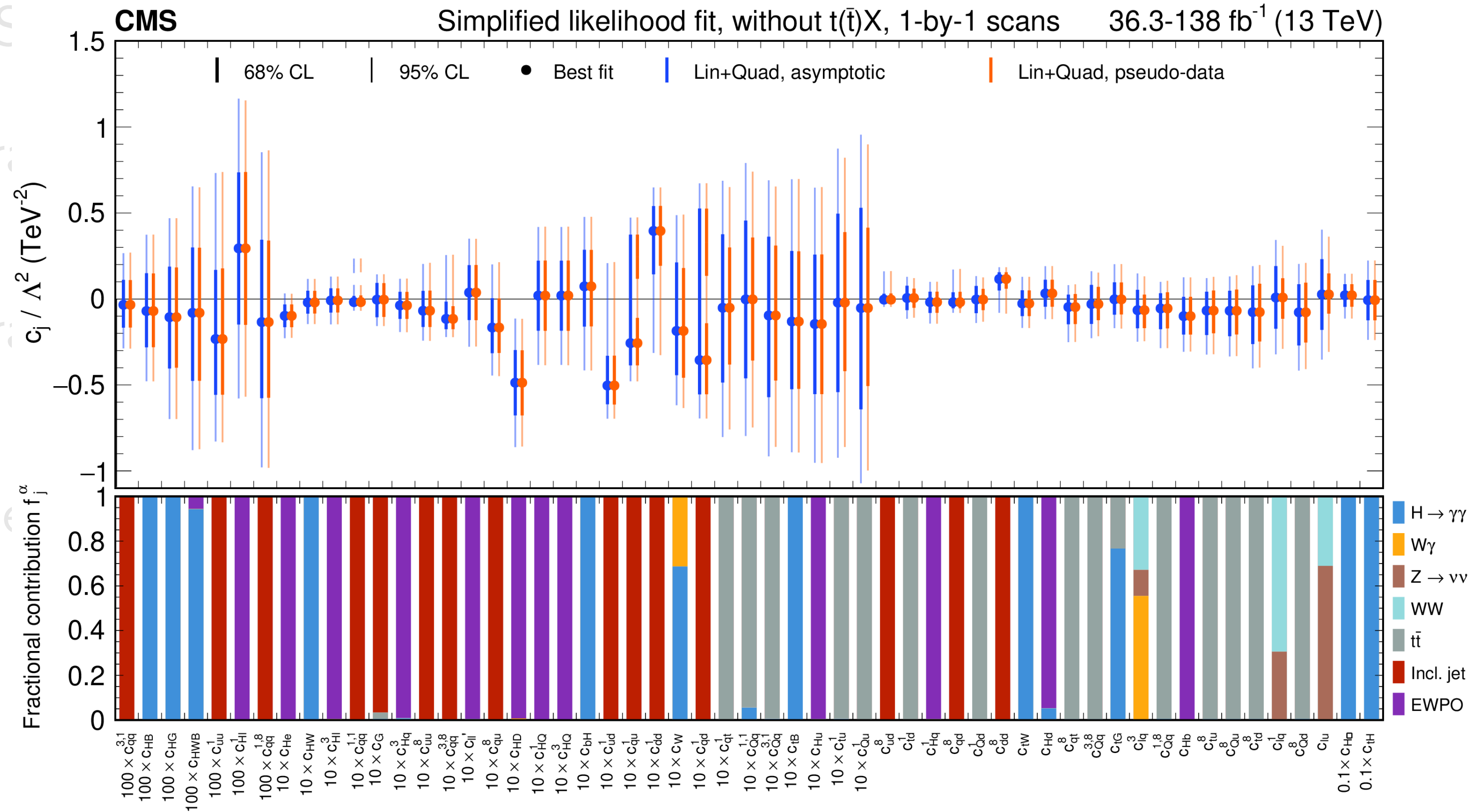
- Single physics process. One SMEFT operator at a time
- Single physics process. Several relevant SMEFT operators one at a time
- Single physics process. Several relevant SMEFT operators pairwise at a time
- Multiple physics process. One SMEFT operator





# How we study SMEFT at LHC.

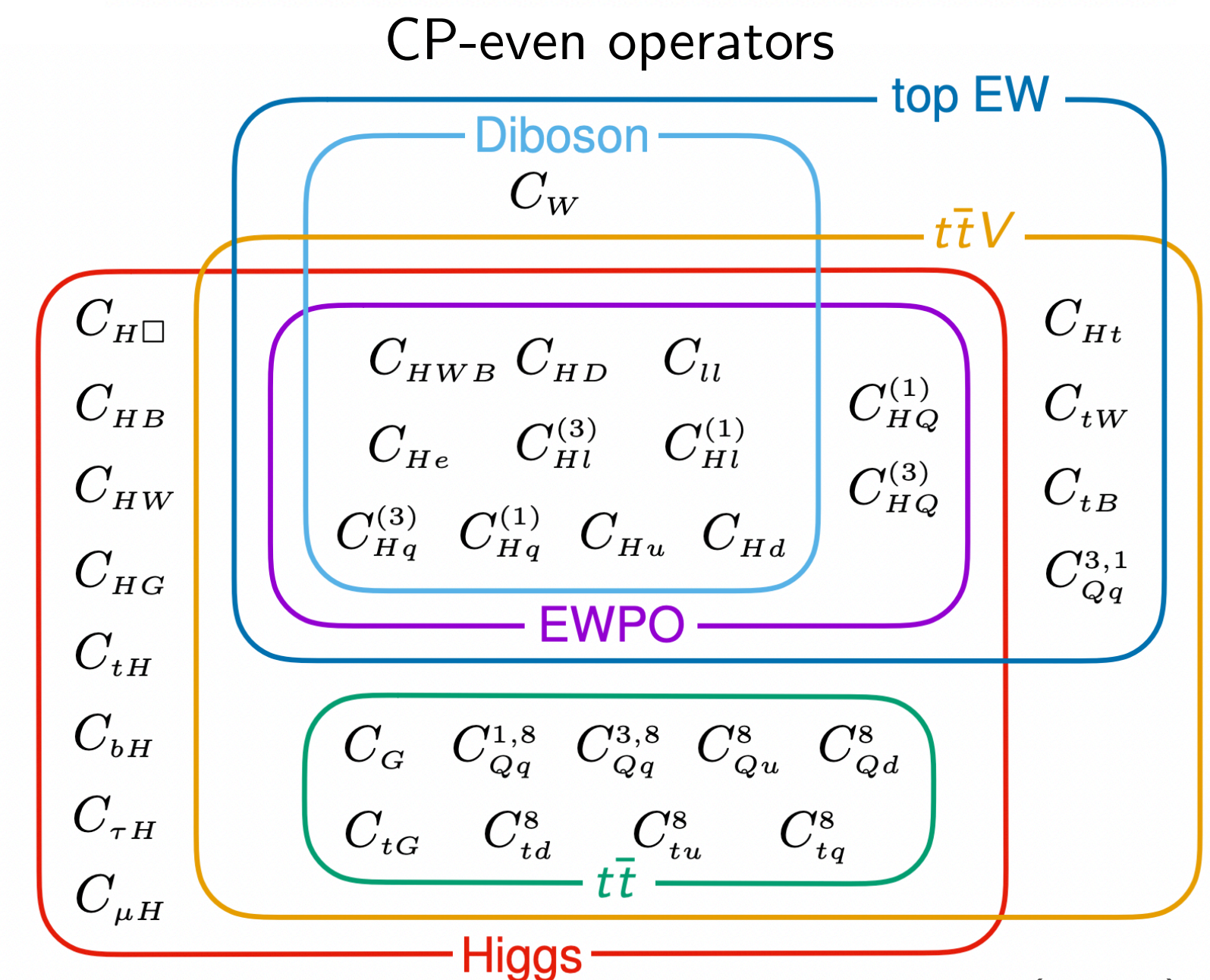
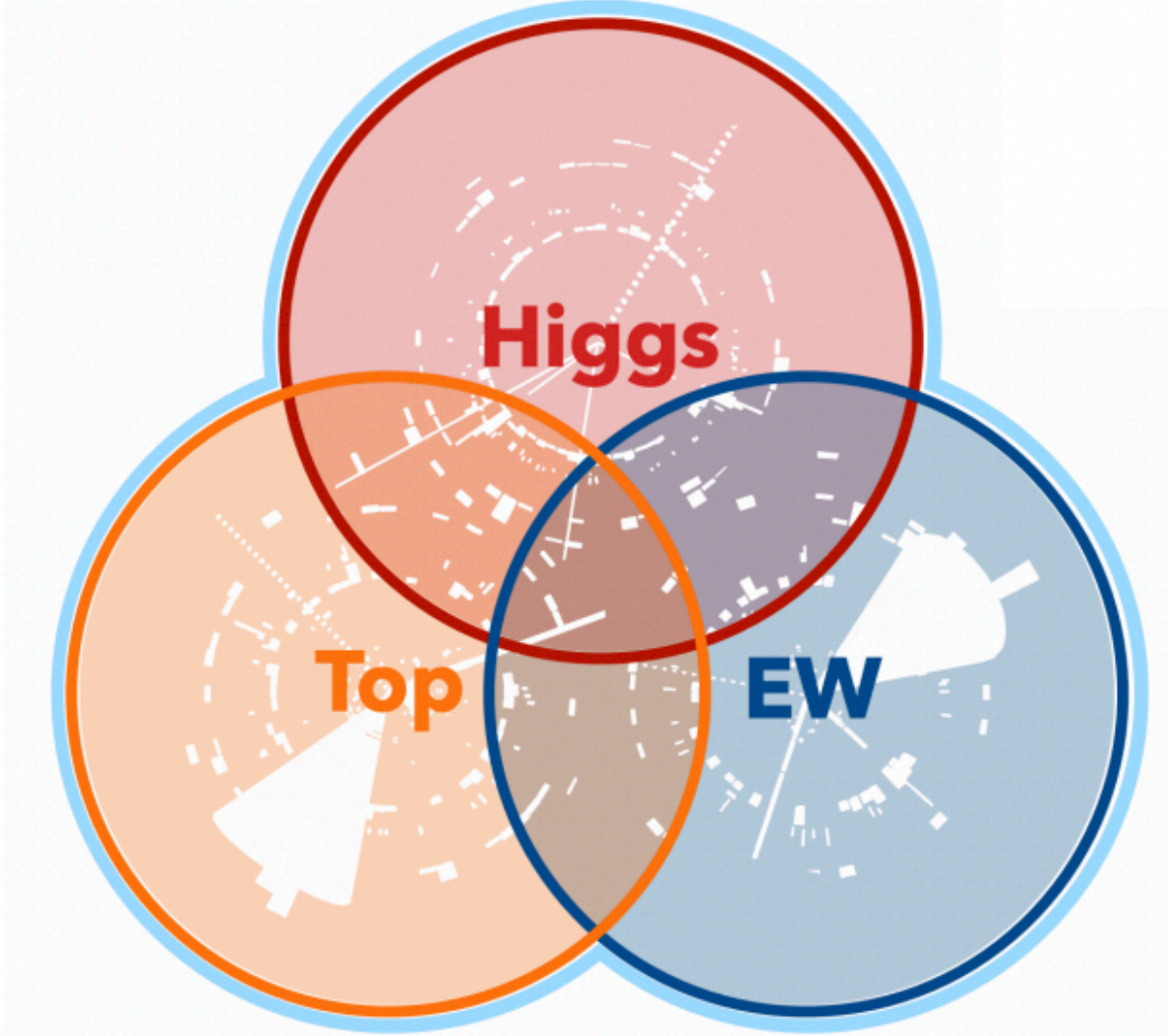
- Single physics process. One SMEFT operator
- Single physics process. Several SMEFT operators one at a time
- Single physics process. Several SMEFT operators pairwise at a time
- Multiple physics process. One SMEFT operator
- Multiple physics process. Several SMEFT operators
- Multiple physics process. Several SMEFT operators



[SMP-24-003]



- Global Combinations give us a better understanding of the true Wilson coefficient value.
- Combine Higgs, Top, and Electroweak individual channel measurements
- Use data from multiple processes and experiments
- Constrain many SMEFT operators
- Complementarity across sectors improves sensitivity





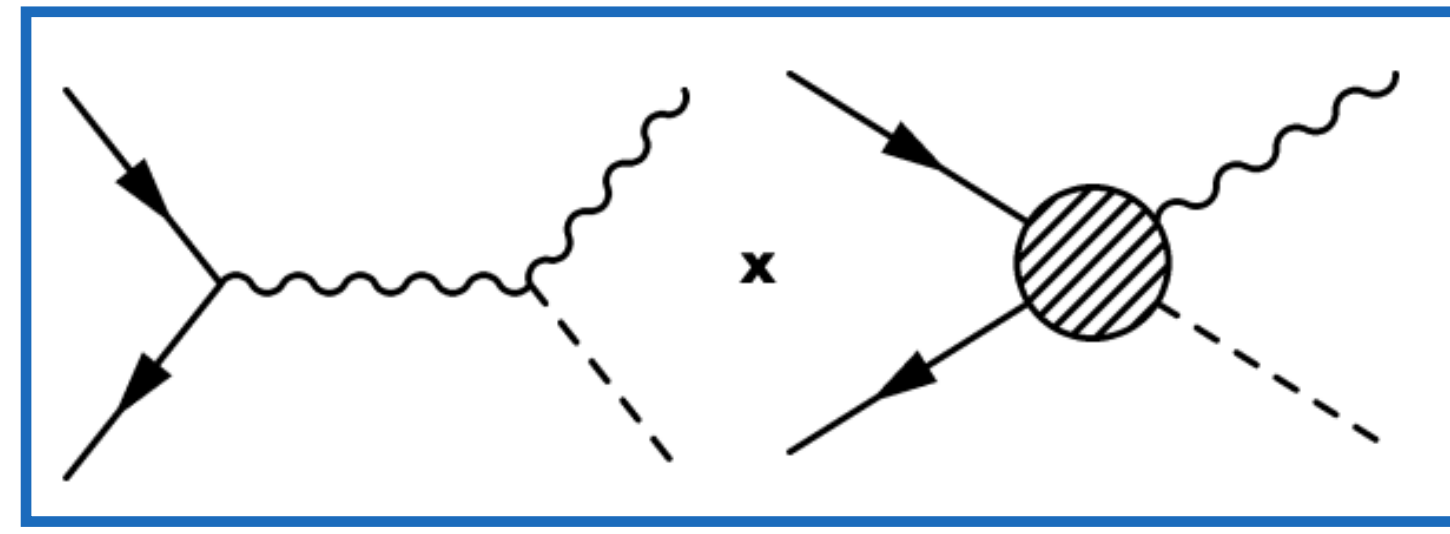
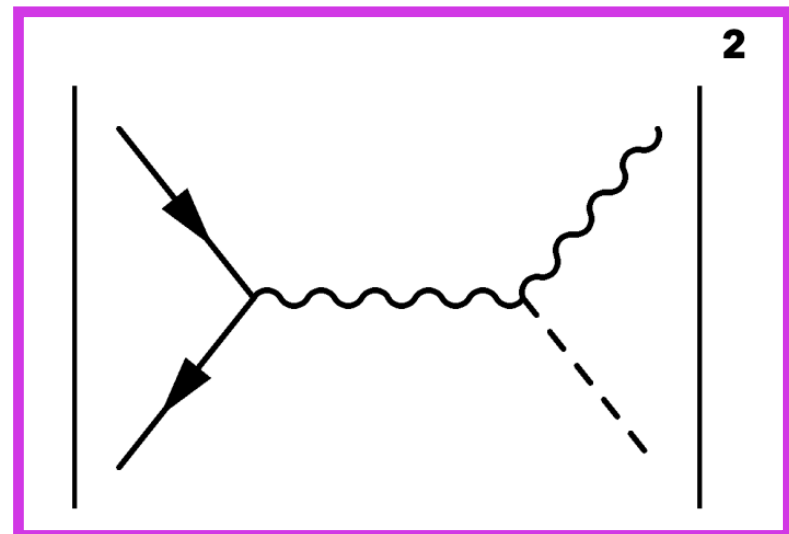


# SMEFT Parameterisation

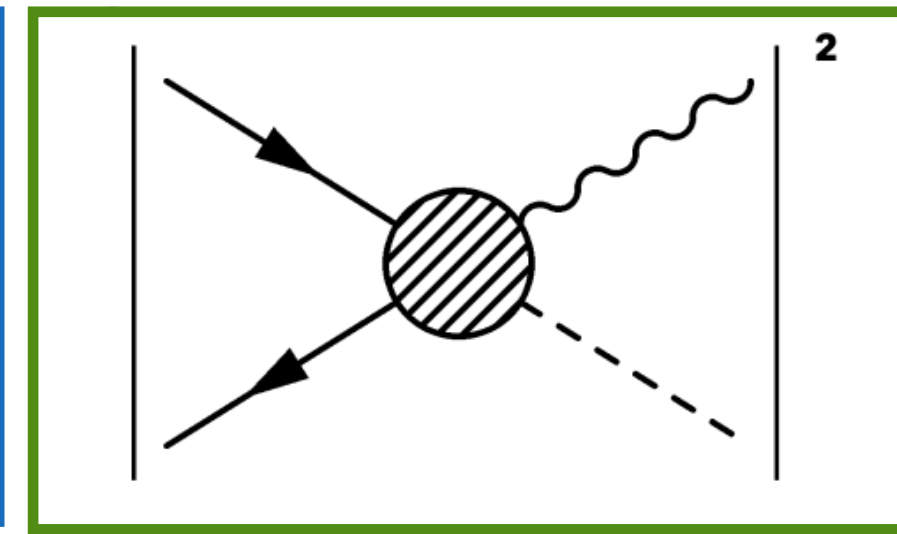
## METHODOLOGY

- A decomposition method is used where the EFT contribution is broken down:

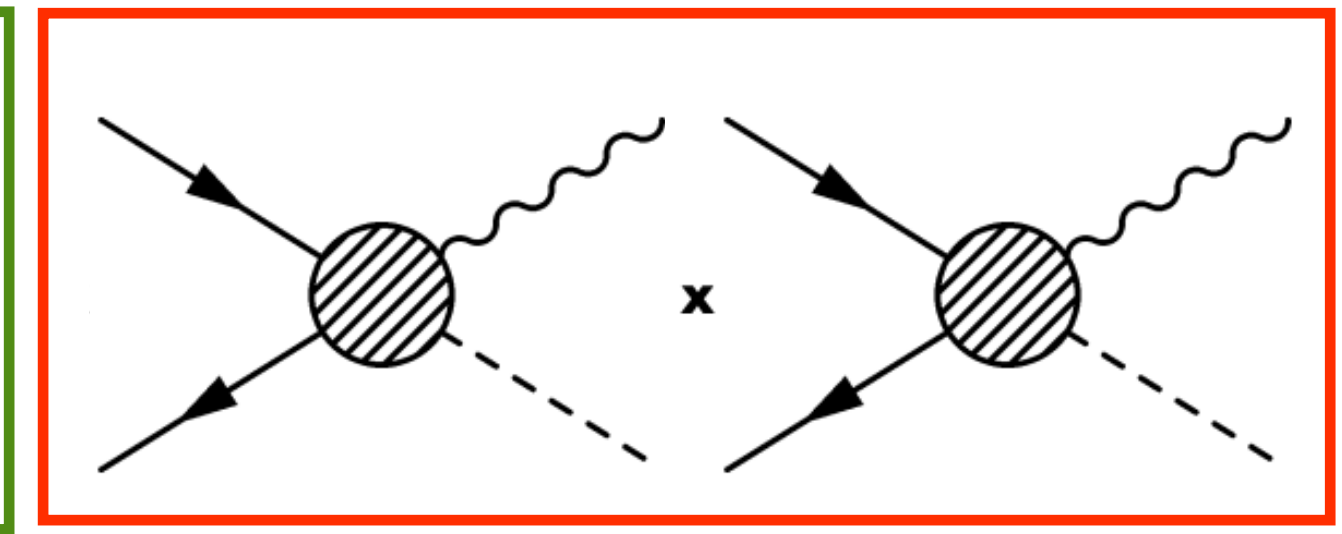
$$\sigma \propto |M_{\text{SMEFT}}|^2 = |M_{\text{SM}}|^2 + \sum_i \frac{c_i^{(6)}}{\Lambda^2} 2\text{Re} \left( M_i^{(6)} M_{\text{SM}}^* \right) + \sum_i \frac{(c_i^{(6)})^2}{\Lambda^4} |M_i^{(6)}|^2 + \sum_{i < j} \frac{c_i^{(6)} c_j^{(6)}}{\Lambda^4} 2\text{Re} \left( M_i^{(6)} M_j^{(6)*} \right) + \mathcal{O} \left( \frac{1}{\Lambda^4} \right)$$



interference of dim-6 operators with  
SM: **Linear term**



dim-6 operators squared:  
**Quadratic term**



interference of two different dim-6  
operators: **Cross term**

- In this talk, we focus only on dimension-6 SMEFT operators.
- Nominally, the Warsaw basis offers a complete set of independent dim-6 operators
- An input parameter scheme of  $(m_W, m_Z, G_F)$  is used.

# Analyses covered in this talk

Combinations in the TOP sector:

- **CMS Collaboration**, *Search for physics beyond the standard model in top quark production with additional leptons in the context of effective field theory* - **JHEP 12 (2023) 068**

Combinations in the HIGGS sector:

- **ATLAS Collaboration**, *Interpretations of the ATLAS measurements of Higgs boson production and decay rates and differential cross-sections in  $pp$  collisions at  $\sqrt{s} = 13$  TeV* - **JHEP 11 (2024) 097**

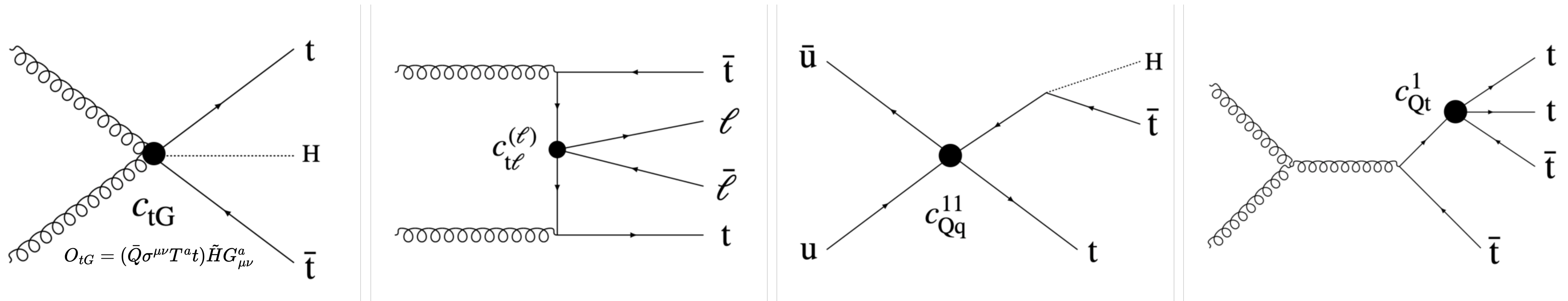
Combinations across multiple sectors:

- **ATLAS Collaboration**, *Combined effective field theory interpretation of Higgs boson and weak boson production and decay with ATLAS data and electroweak precision observables* - **ATL-PHYS-PUB-2022-037**
- **CMS Collaboration**, *Combined effective field theory interpretation of Higgs boson, electroweak vector boson, top quark, and multi-jet measurements* **arXiv:2504.02958**



**CMS Collaboration**, Search for physics beyond the standard model in top quark production with additional leptons in the context of effective field theory - JHEP 12 (2023) 068

- Detector-level EFT analysis of associated top quark production processes ( $t\bar{t}H, t\bar{t}W, t\bar{t}Z, tZq, tHq, t\bar{t}t\bar{t}$ )



- Each of these processes are irreducible backgrounds to each other
- Dataset is broken down into 43 unique event categories based on lepton multiplicity, jet multiplicity, b-tag multiplicity. Binning in a kinematical variable within each category



## METHODOLOGY

- Effects of dim-6 SMEFT operators are directly incorporated in the weights of simulated signal events
- Weight function for each simulated signal event  $\beta$  parameterized in the Wilson coefficients:

$$w^\beta(\vec{c}, \vec{\theta}) = u_0^\beta(\vec{\theta}) + \sum_j \frac{c_j}{\Lambda^2} u_{1j}^\beta(\vec{\theta}) + \sum_{j,k} \frac{c_j c_k}{\Lambda^4} u_{2jk}^\beta(\vec{\theta})$$

- Predicted yield in bin  $i$  is calculated by summing the weight functions of each event that passes selection criteria of that bin: detector-level predictions are obtained

- Likelihood function is built:

$$L = \prod_{i=1}^{N_{bins}} \text{Poisson}(n_i | \nu_i(c, \theta)) \prod_{j=1}^{N_{NP}} p(\hat{\theta}_j | \theta_j)$$

$\text{Poisson}(n_i | \nu_i(c, \theta))$ : probability of observing  $n_i$  events in bin  $i$   
 $p(\hat{\theta}_j | \theta_j)$ : prior for nuisance parameter  $j$  evaluated at MLE  $\hat{\theta}_j$   
 $\nu_i(c, \theta)$ : expected event yield in bin  $i$  parametrized as quadratic functions of the Wilson coefficients

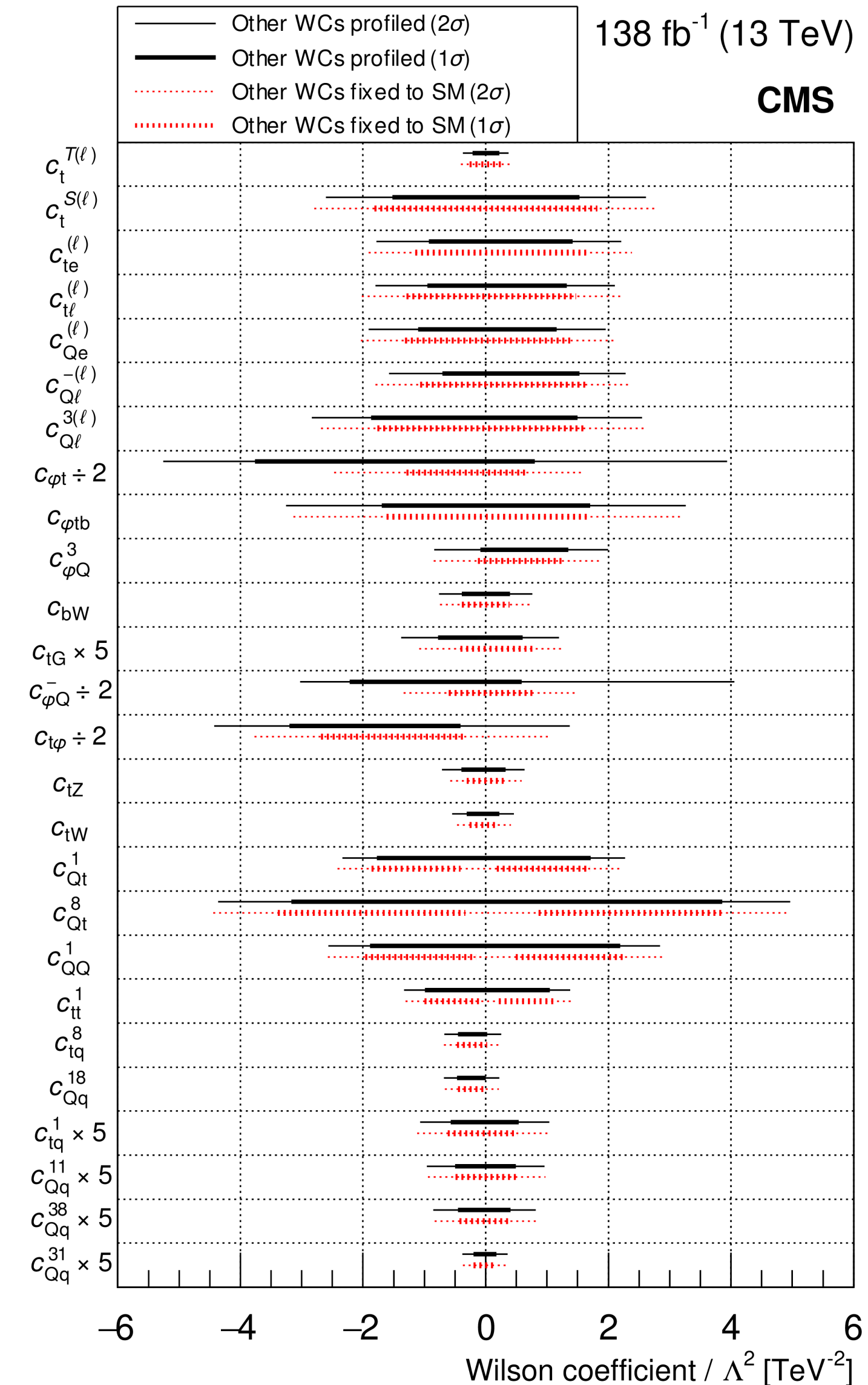
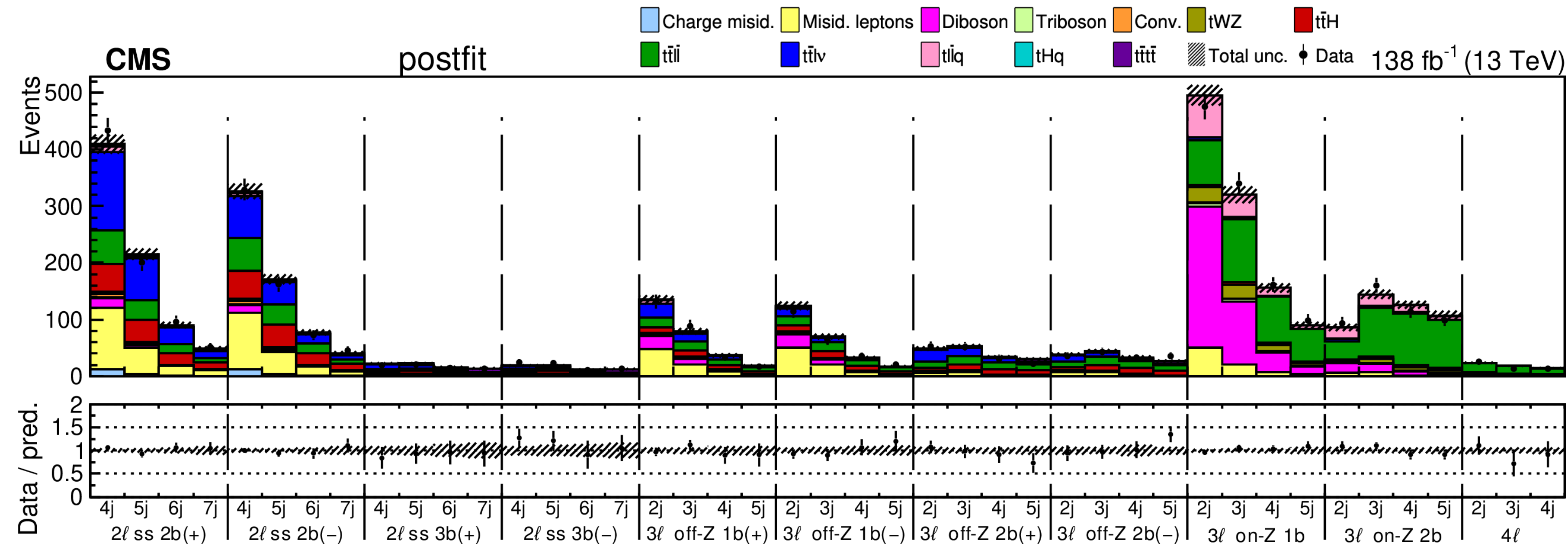
- Test statistic:  $q(c_i) = -2 \ln \frac{L(c_i, \hat{\hat{\theta}})}{L(\hat{c}_i, \hat{\theta})}$

$\hat{\hat{\theta}}$ : maximum likelihood estimate of the nuisance parameters for a fixed value of  $c_i$   
 $\hat{c}_i, \hat{\theta}$  are unconditional maximum likelihood estimates of  $c_i$  and the nuisance parameters, respectively

- Assuming Wilks' theorem is applicable, Confidence intervals are derived from the test statistic

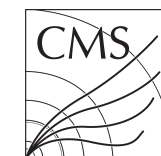


- Linear + Quadratic effects of 26 Wilson coefficients (dim-6) that affect top quarks studied and constrained in this analysis.
- Confidence intervals obtained from individual (red) and profiled fits (black) are similar  $\rightarrow$  relatively small correlations between the effects of different operators



**ATLAS Collaboration**, *Interpretations of the ATLAS measurements of Higgs boson production and decay rates and differential cross-sections in  $pp$  collisions at  $\sqrt{s} = 13$  TeV* - JHEP 11 (2024) 097

- Simplified template cross sections (STXS) used for  $H \rightarrow \gamma\gamma, ZZ, \tau\tau, WW, bb, Z\gamma, \mu\mu$   
STXS partitions the phase space into exclusive kinematic bins. Each bin is a physically measurable cross-section
- Further results obtained from differential  $H \rightarrow \gamma\gamma, ZZ$  measurements
- Interpretation in SMEFT, 2HDM and MSSM models



Similar Higgs combination done by CMS collaboration: HIG-21-018





## METHODOLOGY

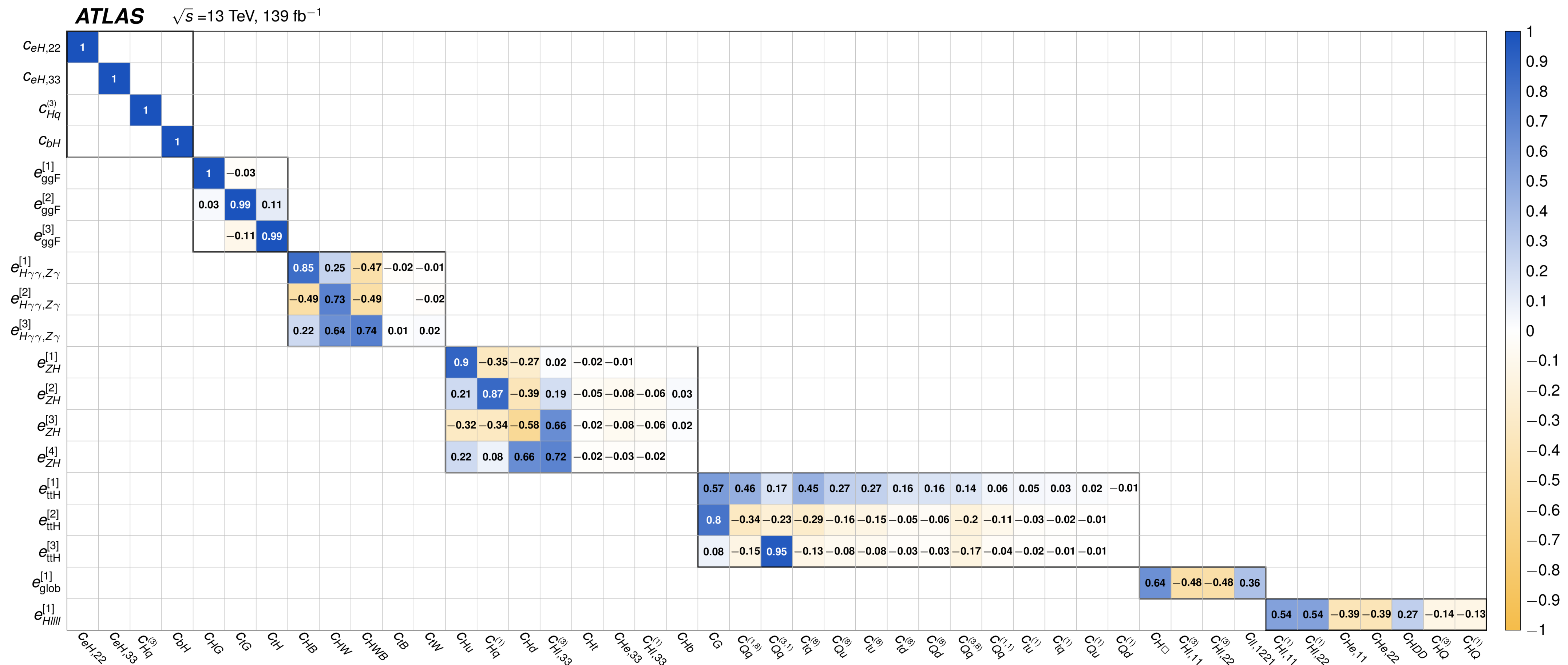
- Number of cross-section measurements less than number of EFT parameters → not enough information to constrain all Wilson coefficients simultaneously → flat directions in the likelihood
- Principal component analysis (PCA) is a useful tool to identify linear combinations of WCs that can be constrained.
- Likelihood Hessian: encodes how well data constrain EFT parameters

$$H \approx P^T C^{-1} P$$

$C$  = covariance matrix of measurements,  
 $P$  = SMEFT linear response matrix

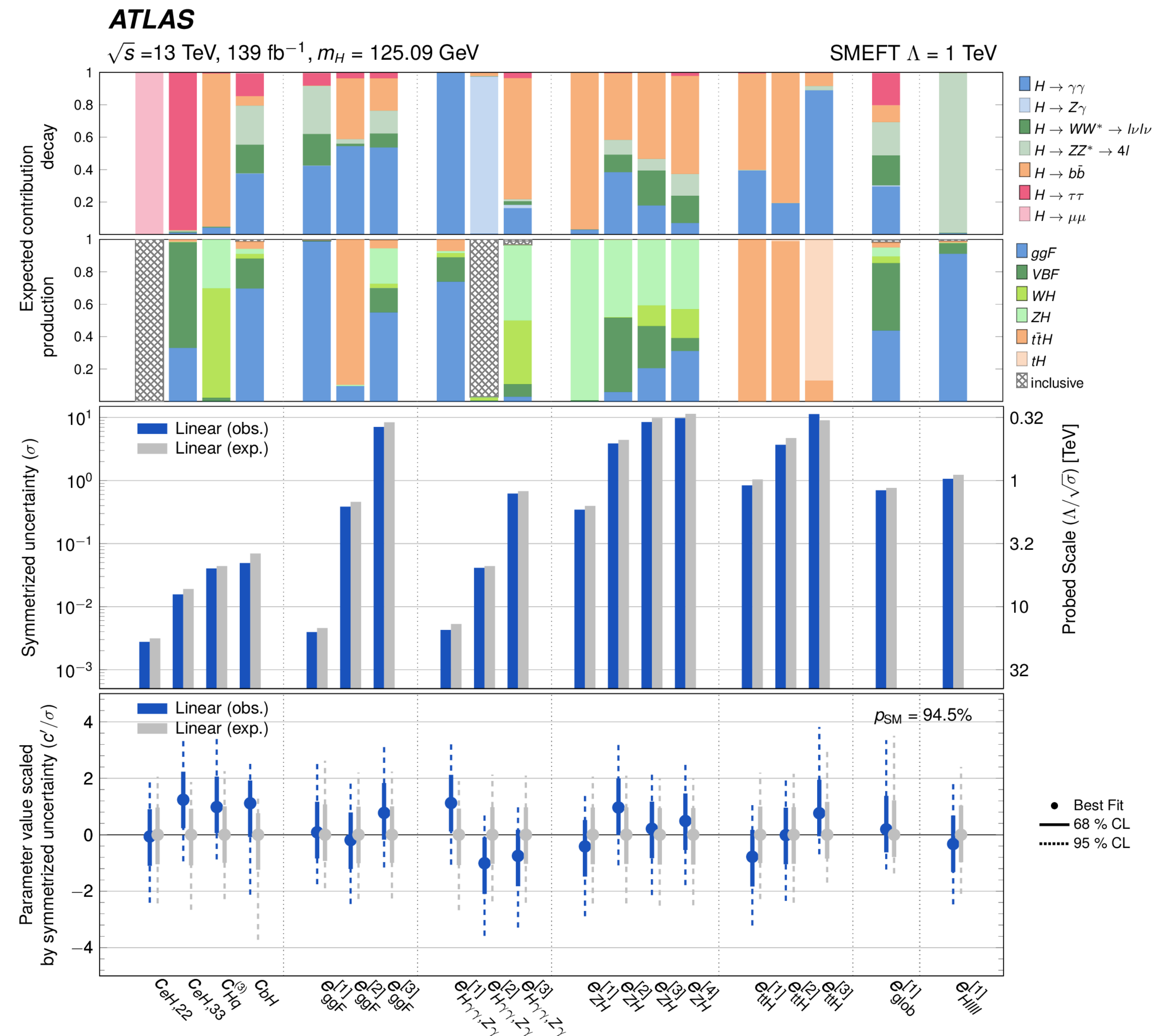
- Eigendecomposition of  $H$  yields eigenvectors (linear combinations of WCs,  $EV_i$ ) and their corresponding sensitivity through the eigenvalues  $\lambda_i$
- Large  $\lambda_i$  → well-constrained combinations  
Small  $\lambda_i$  → flat directions (unconstrained) and are fixed to SM value of 0.

- 46 dim-6 Wilson coefficients considered in total
  - ➔ 4 single Wilson coefficients
  - ➔ 15 linear combinations of 3-14 Wilson coefficients  
linear combinations obtained by PCA on 6 subgroups of Wilson coefficients





- Both linear only and linear+quad limits obtained. All consistent with SM.



- Differential cross-section results from  $H \rightarrow \gamma\gamma, ZZ$  provides separate set of results for (linear combinations) Wilson coefficients:

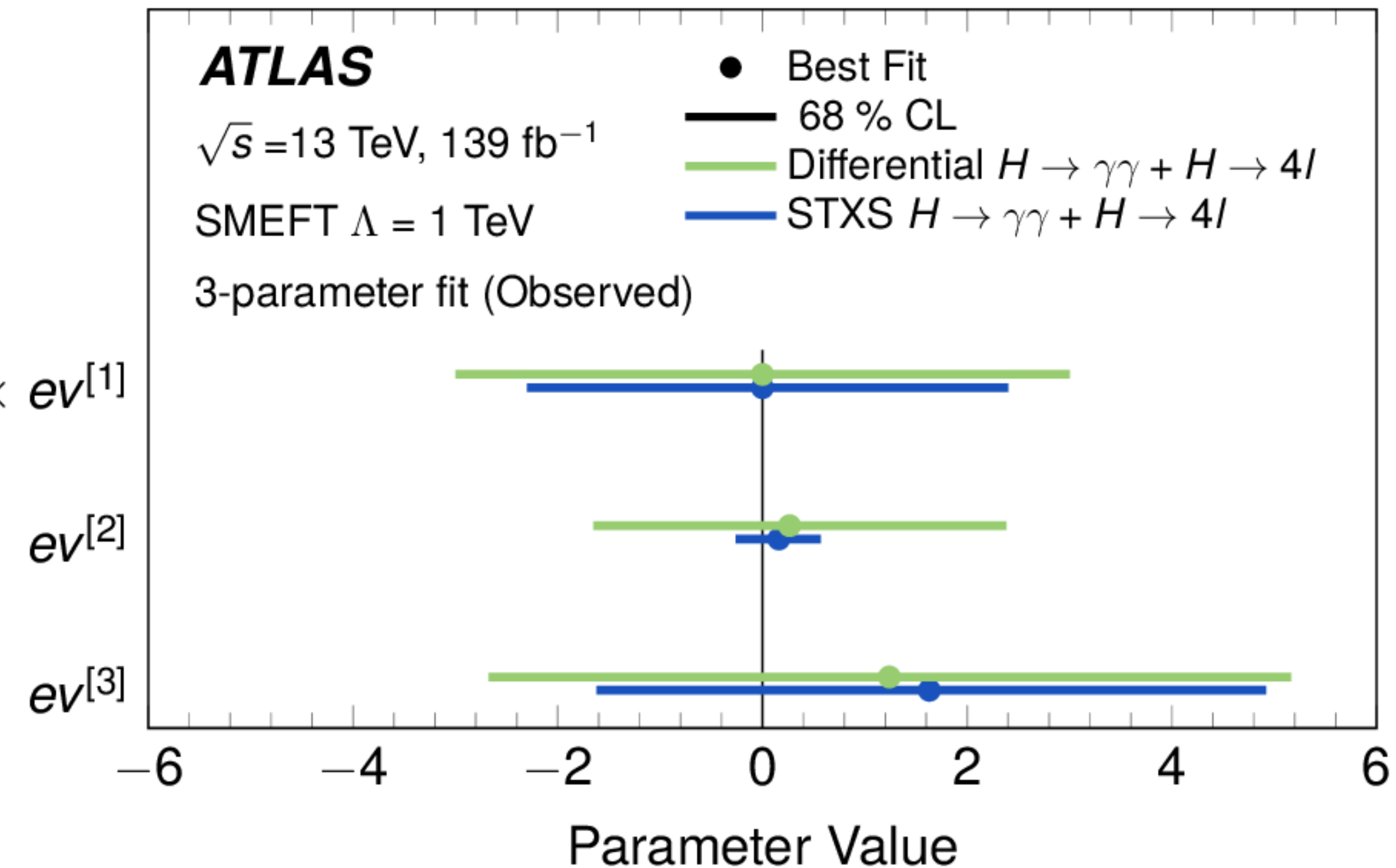
$$ev^{[1]} = 0.999c_{HG} - 0.035c_{tG} - 0.003c_{tH}$$

$$ev^{[2]} = 0.035c_{HG} + 0.978c_{tG} + 0.205c_{tH}$$

$$ev^{[3]} = -0.005c_{HG} - 0.205c_{tG} + 0.979c_{tH}$$

- Comparison of constraints on 3 linear combinations using **diff. cross sections (green)** or **STXS (blue, with same two decay channels)**:

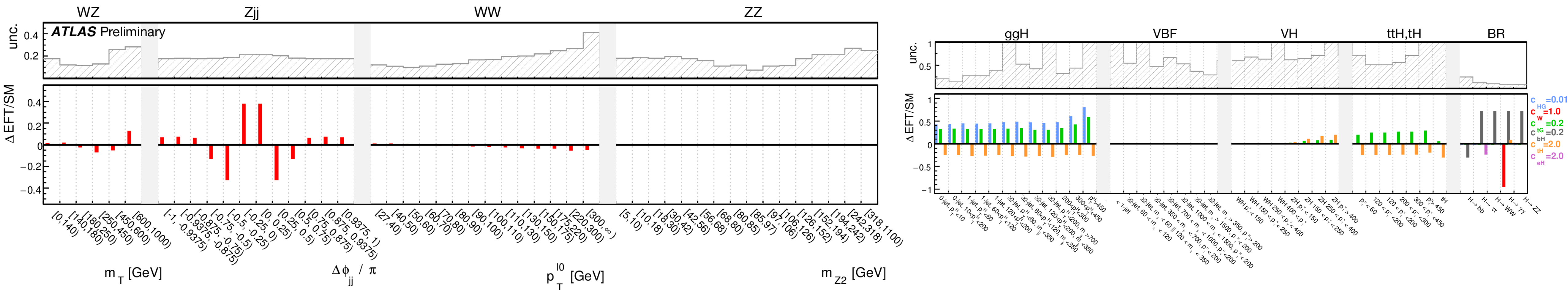
STXS measurements provide stronger constraints as they separate Higgs production modes, which are differently affected by new physics operators.





**ATLAS Collaboration**, *Combined effective field theory interpretation of Higgs boson and weak boson production and decay with ATLAS data and electroweak precision observables - ATL-PHYS-PUB-2022-037*

- Combination of:
  - ➔ STXS Higgs  $\rightarrow \gamma\gamma, ZZ, WW, \tau\tau, bb$  decay channels
  - ➔ electroweak measurements from ATLAS (WW, WZ, ZZ, Z+jj)
  - ➔ electroweak precision observables measured at LEP & SLC
- A number of SMEFT operators can be constrained by different sectors
- Relative impact of linear SMEFT terms for each bin in each analysis studied

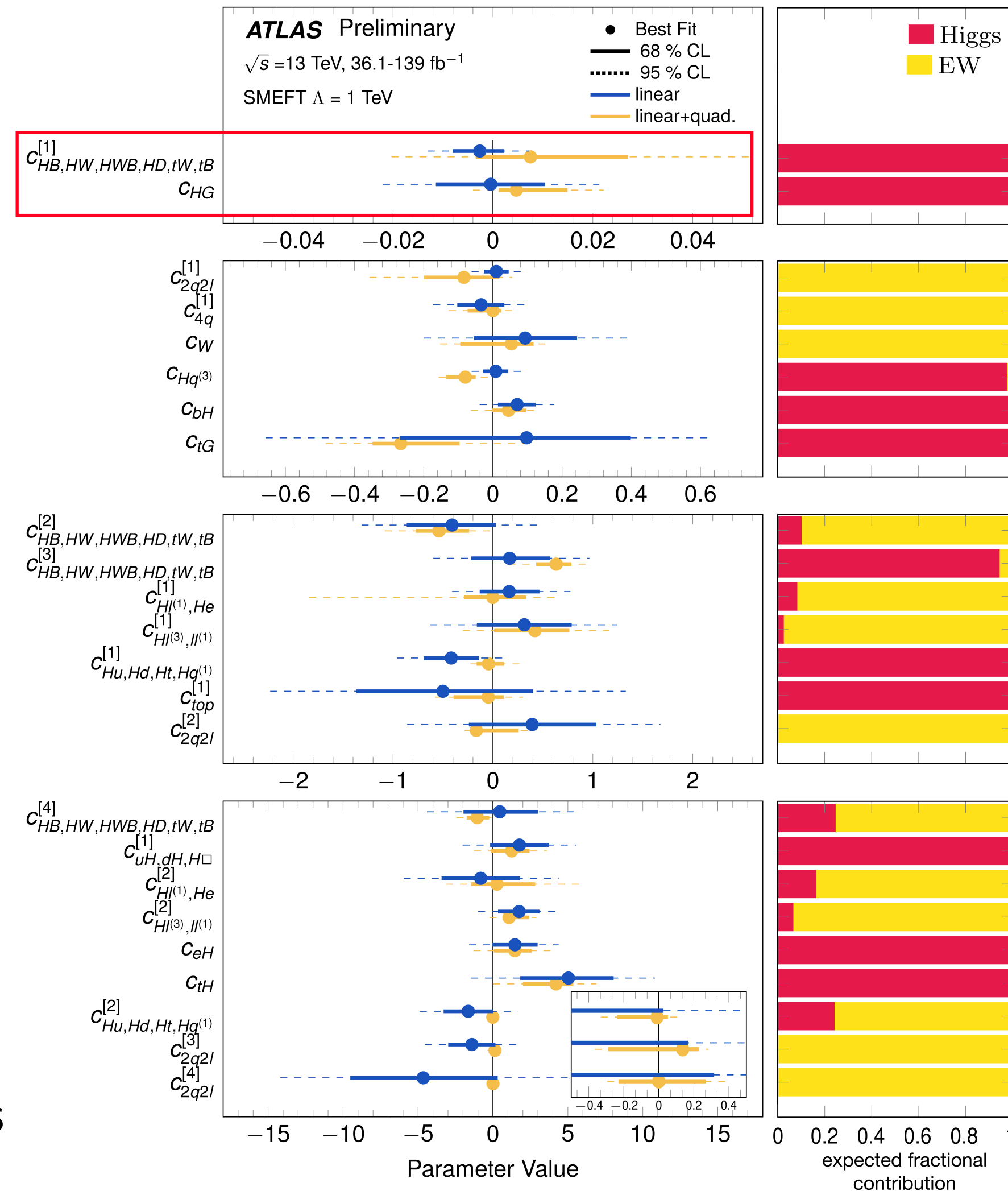


- Combinations of different sectors can provide complimentary information on Wilson coefficients.
- PCA on subgroups of Wilson coefficients: constraints on 6 single Wilson coefficients and 22 linear combinations of Wilson coefficients.

## Higgs + EW (ATLAS only)

## Higgs + EW + EWPO (ATLAS + LEP/SLD)

Most stringent constraints

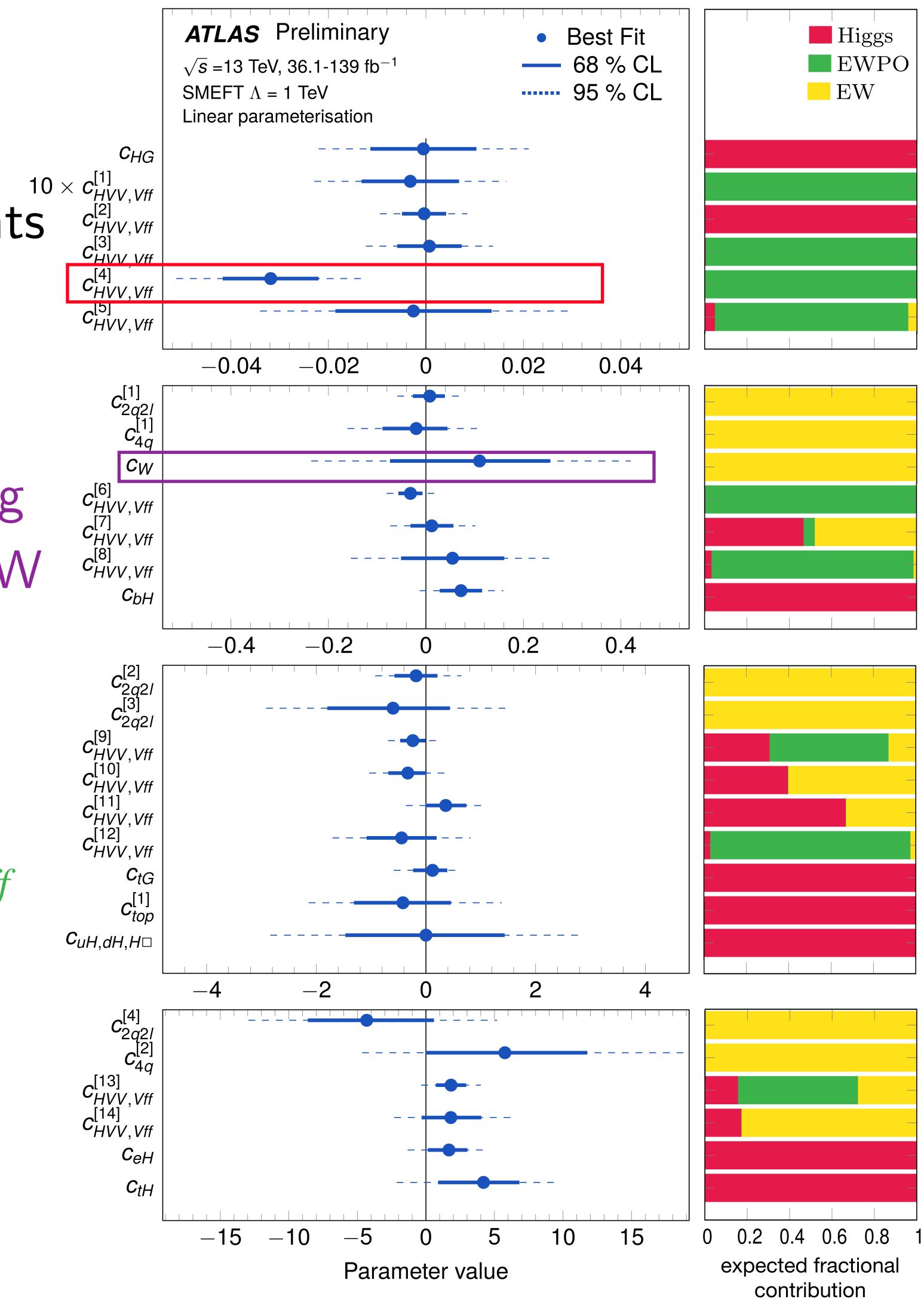


Large quadratic contributions; questions about neglected higher order corrections

Lack of quadratic parametrization of LEP data means no constraints can be derived in the linear+quadratic model

Weak boson self coupling  $C_W$  constrained by the EW sector

Only deviation in  $C_{HVV,Vff}^{[4]}$  whose excess is driven by known discrepancy between  $A_{FB}^{0,c}$ ,  $A_{FB}^{0,b}$  data and the SM expectation



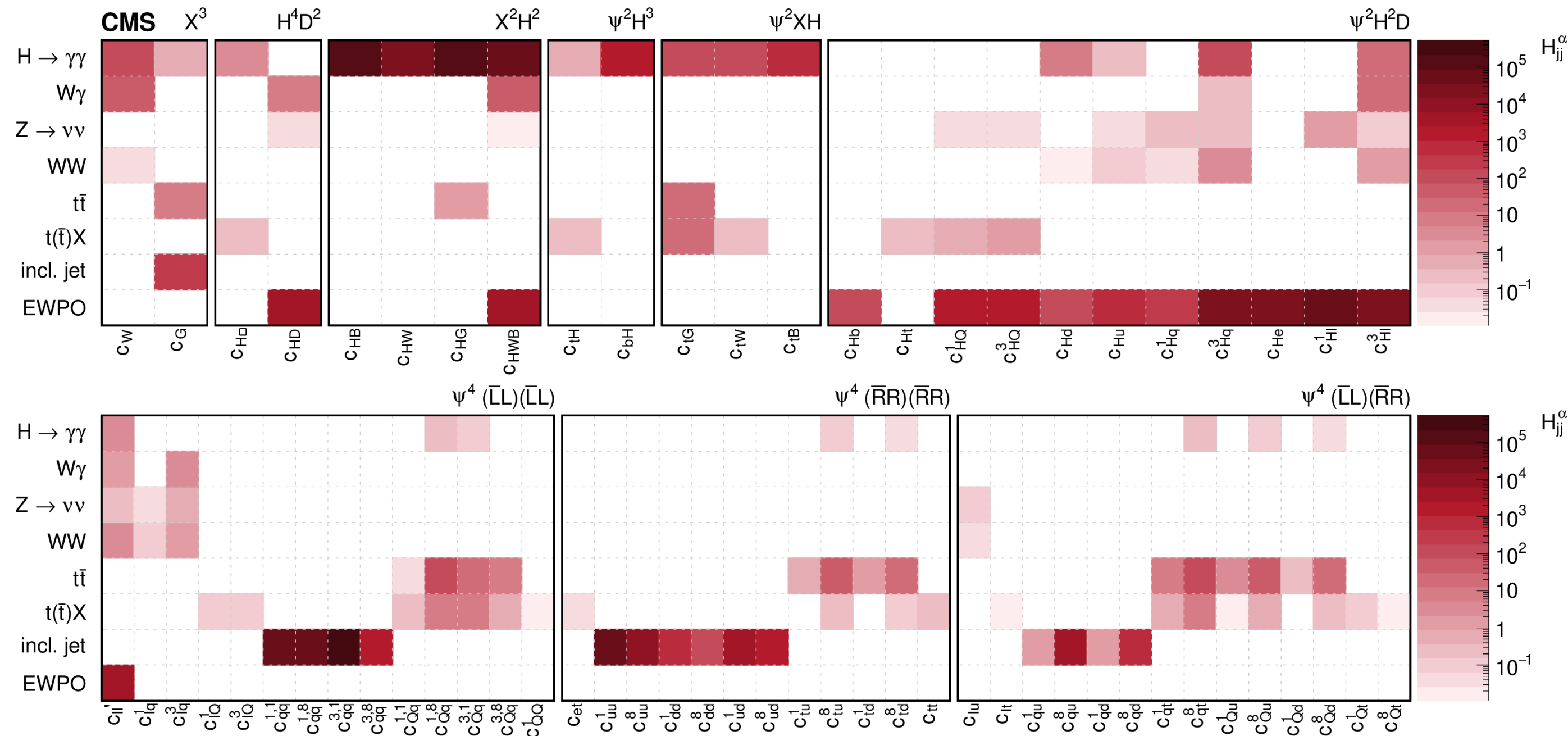


**CMS Collaboration**, *Combined effective field theory interpretation of Higgs boson, electroweak vector boson, top quark, and multijet measurements* - [arXiv:2504.02958](https://arxiv.org/abs/2504.02958)

## Combination of:

- STXS  $H \rightarrow \gamma\gamma$
- electroweak measurements  
 $WW, W_\gamma, Z \rightarrow \nu\nu$
- Top quark measurements  $t\bar{t}, t(\bar{t})X$
- Inclusive jet measurements
- electroweak precision observables measured at LEP & SLC

- Event selections optimized for sensitivity to 64 dim-6 SMEFT operators, with negligible category overlap and backgrounds controlled from data.



- Diagonal entries  $H_{jj}^\alpha$  of the Hessian Matrix show which input channels are most sensitive to each operator: higher values  $\rightarrow$  stronger sensitivity.



## Likelihood Model:

- Combination of:

$$\mathcal{L}(\text{data}; \vec{c}, \vec{\nu}) = \mathcal{L}^{\text{expt}}(\vec{c}, \vec{\nu}) \mathcal{L}^{\text{simpl}}(\vec{c})$$

$$\mathcal{L}^{\text{expt}}(\vec{c}, \vec{\nu}) = \prod_i \text{Poisson} \left( n_i \middle| \sum_j \mu^j(\vec{c}) s_i^j(\vec{\nu}) + b_i(\vec{\nu}) \right) \prod_k p_k(y_k | \nu_k)$$

Used for

$H \rightarrow \gamma\gamma, W\gamma, WW, Z \rightarrow \nu\nu, t(\bar{t})X$  measurements.

In  $t(\bar{t})X$ , signal yield is  $\sum_j s_i^j(\vec{\nu}, \vec{c})$

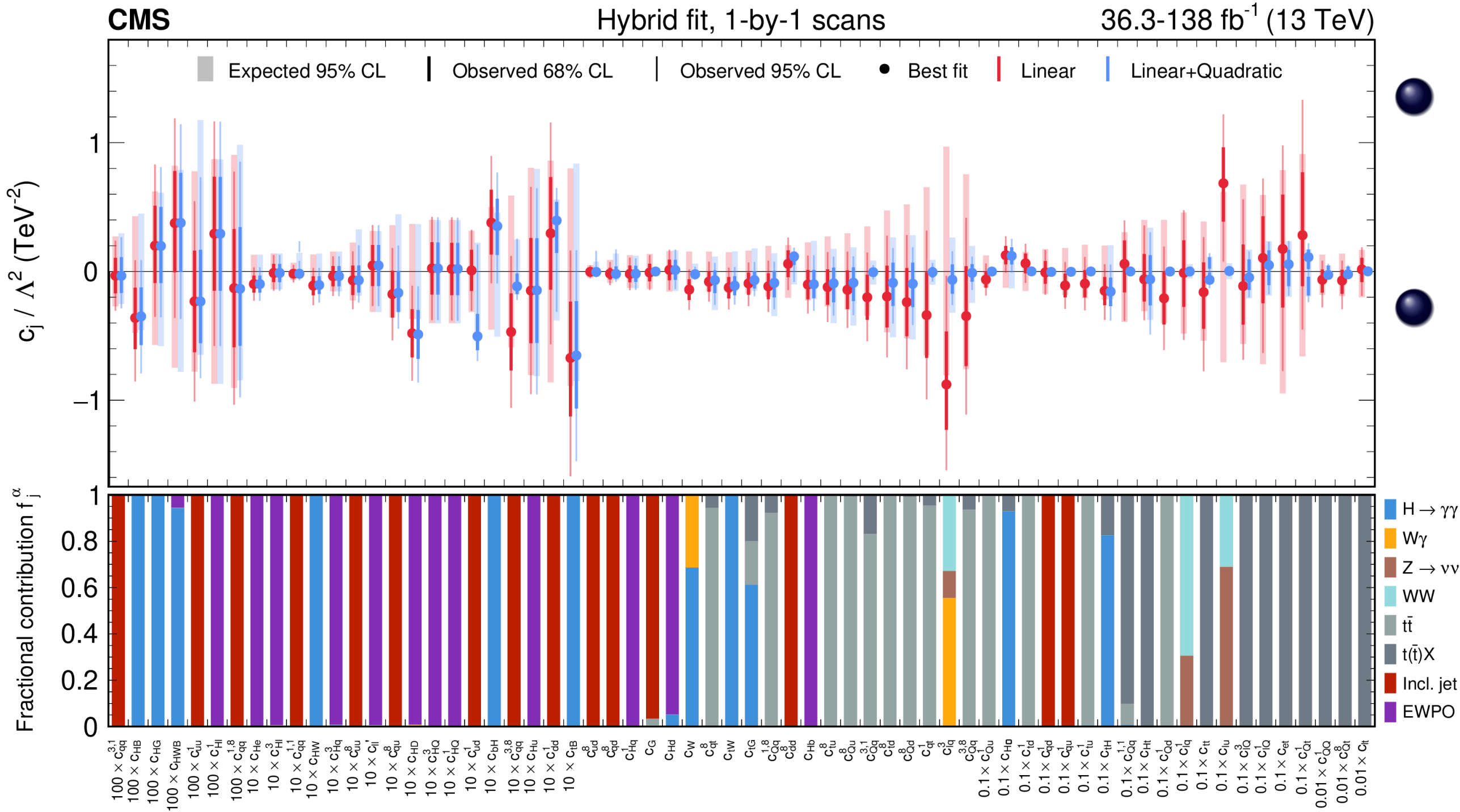
$$\mathcal{L}^{\text{simpl}}(\vec{c}) = \frac{\exp \left[ -\frac{1}{2} \left( \vec{\mu}(\vec{c}) - \hat{\vec{\mu}} \right)^T V^{-1} \left( \vec{\mu}(\vec{c}) - \hat{\vec{\mu}} \right) \right]}{\sqrt{(2\pi)^m \det(V)}}$$

Used for  $t\bar{t}$ , inclusive jet and EWPO measurements

**Covariance matrix:**

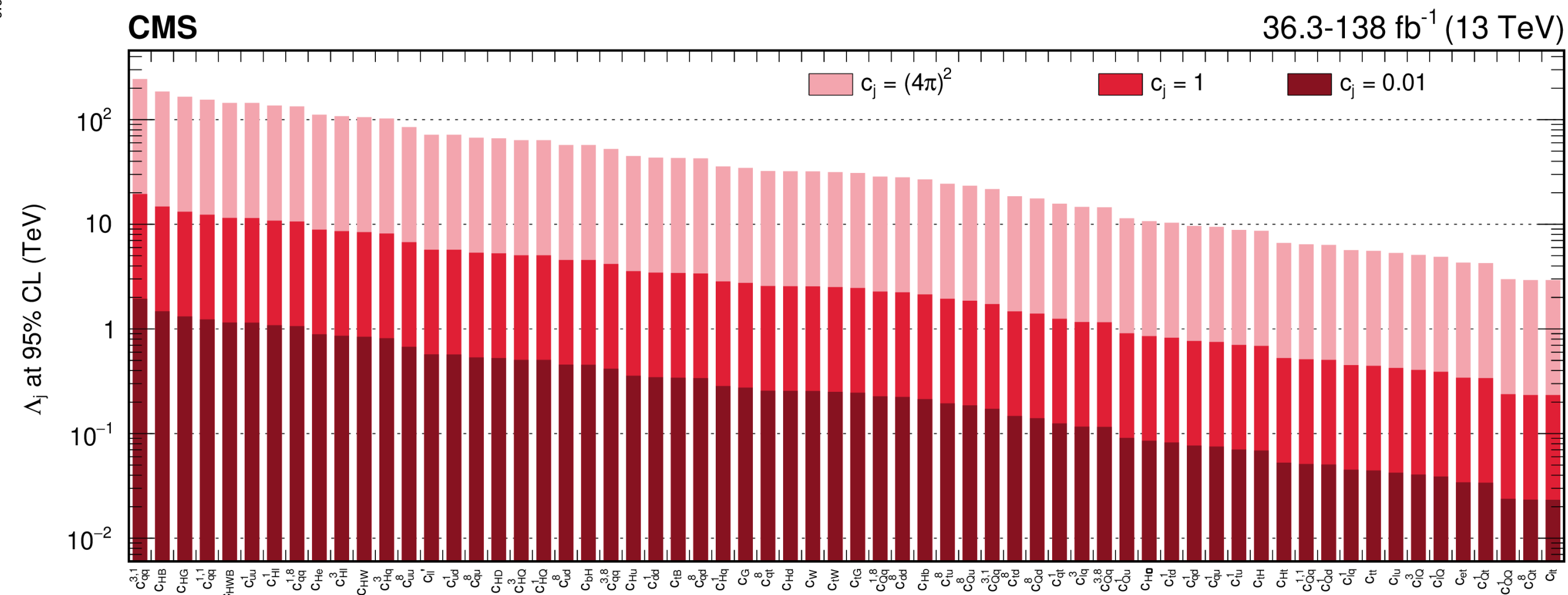
includes both theoretical and experimental uncertainties



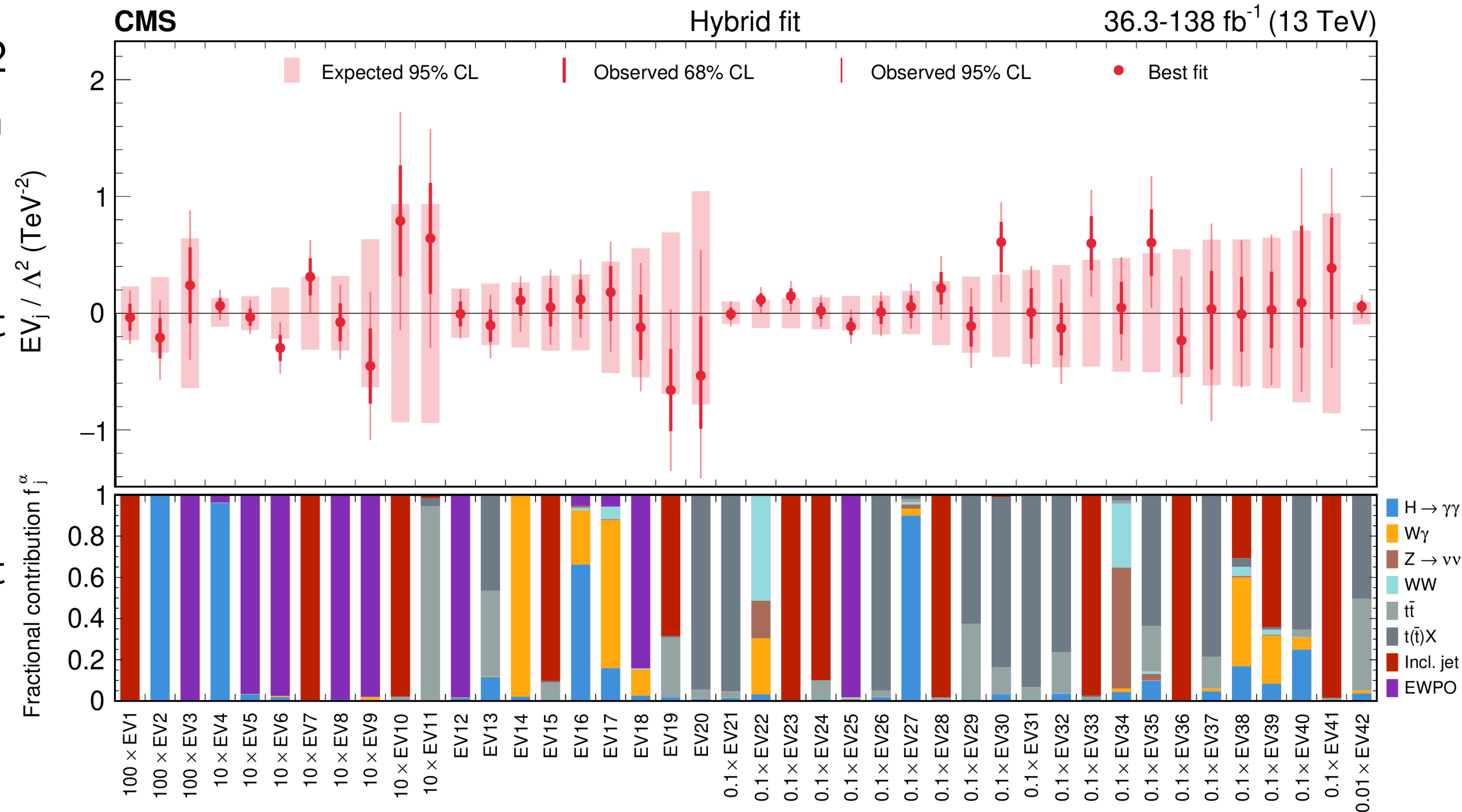


- Constraints on 64 individual Wilson coefficients (each obtained by fixing all others to 0)
- 95% confidence intervals for  $\frac{c_i}{\Lambda^2}$  range from around  $\pm 20$  to  $\pm 0.003$

- 95% CL lower limits on the scale of new physics,  $\Lambda$ , can be obtained by setting  $c_j$  to specific values (of 0.01, 1,  $(4\pi)^2$ )



- Using PCA, constraints on 42 linear combinations of Wilson coefficients are obtained (each varied simultaneously)
- Many POs receive significant contributions from multiple channels
  - 8 linear combinations constrained by inclusive jet
  - 8 by Higgs and electroweak
  - 7 by EWPO
  - 10 by top quark measurements
  - 9 by a mixture



- The SM compatibility p-value is 1.7%, driven mainly by the inclusive jet measurement (very sensitive to PDF), and increases to 26% when this input is excluded.



# Summary and towards further Globalization

- SMEFT offers an effective framework for indirect searches at the current LHC energy scale
- To get the most general EFT results, it is a growing need to combine measurements of multiple observables
- Several combination analyses in ATLAS and CMS show no observed deviations from the SM expectation:
  - ➔ Exception in known case of forward-backward discrepancy and multi jet PDF sensitivity

## Next plans

- Efforts are on-going to pave the road for future ATLAS + CMS combinations!
- Several (rare) channels/data samples not yet included in current EFT combinations
- Background processes assumed to have no EFT effects. Need proper parameterisation
- Dimension-8 predictions need to be included in predictions from a theoretical perspective



Thank you!

감사합니다!

GAM SA HAM NI DA

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YOUR COMMENTS ARE MOST APPRECIATED