

# Centre for Asia-Pacific Initiatives ANNUAL REPORT 1992-93

#### Contents

		Page No.		
A.	Introduction	1		
B.	Personnel Developments	1		
C.	The First External Advisory Committee	3		
D.	CAPI Executive Committee	3		
E.	Current CAPI Academic and Professional Programs	4		
F	The Spin-Off Benefits Arising from CAPI's Externally Funded Projects	12		
G.	1992-93 Publications and Papers by Chairs, Director and Associates	19		
H.	CAPI Conferences, Workshops and Special Lectures, 1992-93	21		
1.	The Asian Studies Library Committee	22		
J.	Faculty Research Grants	22		
K. ,	CAPI Communications Program	23		
L.	CAPI Student Initiatives	24		
M.	CAPI Support Services to the University and Other Communities	25		
N.	CAPI Annual Report Statement of Income and Expenses	26		
Ο.	Conclusion			
CAPI Personnel Profile				

#### CENTRE FOR ASIA-PACIFIC INITIATIVES ANNUAL REPORT - 1992-93

#### A. INTRODUCTION

This is CAPI's first Annual Report, covering the 1992-93 academic year. The highlights include the recruitment of our new Chair in Japan Economic Relations (Dr. Bill Rapp) and our new Executive Assistant (Ms. Barbara Duffield); the establishment of our first External Advisory Committee; and the regrouping and extension of our research and related initiative programs.

#### **CAPI Mandate**

CAPI was established in 1987 as an important part of the University of Victoria's efforts to expand and strengthen its links with universities and other institutions in the Asia-Pacific region. A major objective of CAPI's work is to participate in the study of public policy issues in the region. In particular, CAPI focuses on China, Japan, the ASEAN countries of Southeast Asia, Indochina and the developing island states of the Southwest Pacific--areas where CAPI and the University have considerable expertise. Where appropriate, CAPI encourages collaboration with other specialists in Canada and overseas.

CAPI is active on campus and in the wider community in promoting a better understanding of Asia-Pacific nations and their relationships with Canada.

#### **CAPI Objectives**

- To stimulate, conduct and support UVic's Asia-Pacific public policy research and related initiatives.
- To encourage the development of the University's Asia-Pacific programs and resources.

#### B. PERSONNEL DEVELOPMENTS

Professor Bill Neilson, former Dean of Law, succeeded Dr. Ralph Huenemann as CAPI Director for a three year term from July 1992. Dr. Huenemann, Chair of Economic Relations with China, has been Director of CAPI since its inception in 1987. During his tenure, Dr. Huenemann worked successfully to establish CAPI as an internationally recognized and respected Centre of public policy research in the Pacific Rim. Dr. Huenemann started a well deserved sabbatical leave in July 1993. For his part, Professor Neilson has extensive experience directing law and economic development training programs in Southeast Asia and the South Pacific islands. He is directing a major research project on ASEAN Competition Law and Policy and advising the Law Committee of the National Assembly of Vietnam on the establishment of economic and administrative courts in that country. His recent publications include Law and Economic Development: Cases and Materials from Southeast Asia (Longman Singapore, 1993).

Following a world-wide search, **Dr. Bill Rapp** was appointed in July 1993 to the CAPI Chair in Economic Relations with Japan and Professor of Business. At the time of appointment, Dr. Rapp was Senior Research Associate of Columbia University's East Asian Institute and Visiting Professor at the University of Washington. A graduate of Amherst College, Yale and Stanford, Dr. Rapp is recognized as a leading authority on the Japanese economy, especially on finance, product cycles, international trade, cross-border investment and corporate strategies. As former Executive Director of the Bank of America's Corporate Finance Group in Tokyo, Minister Counsellor at the U.S. Embassy in Tokyo, the Toyota Visiting Professor of International Business at Columbia and a Director of the Japan Fund, Dr. Rapp brings practical experience, day-to-day institutional knowledge and a strong publications record to the CAPI Chair and his half-time teaching appointment in the International Program of the School of Business.

Dr. Rapp's research program at CAPI will concentrate on the Pacific Basin Initiatives of Japanese multinational corporations with particular reference to Southeast Asia, China and NAFTA. Topics of immediate interest include an assessment of Japanese corporate strategies in computer-related software development. This is part of a larger study on the Management of Intellectual Properties by Japanese MNC's. Other projects will look at Pacific Basin natural resource development and the "Japan Inc." economic invasion of Indochina, a contemporary case study to be done jointly with CAPI Director, Bill Neilson and Executive Assistant, Barbara Duffield.

Ms Sandra Schatzky, our highly valued Executive Assistant, has left CAPI to live in Beijing for the next two years where her spouse, Dr. Richard King of Pacific and Asian Studies, has become the Head of Cultural and Academic Affairs in the Canadian Embassy. We extend congratulations to Dr. King on his new appointment and our best wishes to Sandra and Richard as they set out on this new family venture. The good news is that the Schatzky-King entourage will return to Victoria in two years' time to resume their respective posts at the University of Victoria.

Ms Barbara Duffield joined CAPI in early May as our new Executive Assistant with responsibilities to include project management, research and communications. Ms. Duffield brings impressive management and community experience to her CAPI position. Between 1989-92, she was posted in Jakarta with "Environmental Management Development in Indonesia", a major CIDA-funded institution building/human resource development project with Dalhousie University and the Indonesian Ministry of Population and Environment. She has also served as treasurer of Bridgehead Inc., an alternative trading organization wholly owned by Oxfam-Canada and as an urban planner for Edmonton and St. Albert in Alberta. She holds graduate degrees in Environmental Studies and Business Administration from York University, serves on the City of Victoria Advisory Planning Commission, volunteers with the Victoria Status of Women Action Group and is treasurer for the BC Council for International Cooperation. We welcome her most warmly to the CAPI ranks to replace Sandra Schatzky for the next two years.

#### C. THE FIRST EXTERNAL ADVISORY COMMITTEE

Following the advice of the Lonergan Planning Committee (1991), the Executive Committee established a group of off-campus advisors to provide recommendations and advice on CAPI programs, projects, and activities, with a particular focus on the Centre's relationship with non-university sectors and funding agencies in Canada and overseas. The newly formed External Advisory Committee met in a joint planning session with our Executive Committee on March 5, 1993 at Dunsmuir Lodge. This is to be an annual joint endeavour. We happily acknowledge here the contributions of the first EAC members: Ms Dana Bonnieux, Policy and Planning Director, Cabinet Planning Secretariat, BC Government; Mr. John Hepton, Manager for Asia and the Middle East, Petro-Canada Resources (Calgary); Dr. David Bond, Vice-President, Government and Public Affairs and Chief Economist of the Bank of Hong Kong of Canada; Dr. Karen Minden, Director of Policy Studies, Centre for Higher Education Research and Development, University of Manitoba; Dr. Kimitada Miwa, Director of the Institute of American and Canadian Studies, Sophia University (Japan); and Ms Susan Phillips, a Vancouver consultant, formerly Director of Public Affairs, Asia Pacific Foundation of Canada, with extensive experience in Japan and Southeast Asia.

#### D. CAPI EXECUTIVE COMMITTEE

CAPI reports to the Associate Vice-President Research and is governed by an Executive Committee. The mandate of the committee is to provide advice on policy directions and contribute to achieving the goals and objectives of the Centre. The committee also functions as the evaluation committee for the CAPI Faculty Research grants Program. It is chaired by CAPI's Director, and includes the Associate V-P Research, one of the Chairholders, and three other UVic faculty members, who serve three-year terms on a staggered basis to provide continuity.

The 1992-93 members were: **Drs. Helen Chauncey**, Pacific and Asian Studies; **Douglas Johnston**, CAPI/Law; **Steve Lonergan**, Geography/Centre for Sustainable Regional Development; **Alex McAuley**, Associate Vice President, Research; and **Astri Wright**, History in Art. The Director of CAPI, **Bill Neilson**, chairs the Committee. At the end of June, Dr. Lonergan stepped down after serving his three year term. We thank him most sincerely for his exemplary service during that time, including his chairing of the Planning and Priorities Committee in 1990-91. Dr. Astri Wright has also completed her term and we thank her very much for her contribution over the past year.

New members for the 1993-94 Executive Committee are **Dr. Harold Coward**, Director of the Centre for Studies in Religion and Society, and **Dr. John Owens**, Director of the Centre for Forest Biology, who recently helped to establish the Canada-ASEAN Centre for Forestry Regeneration in Thailand.

#### E. CURRENT CAPI ACADEMIC AND PROFESSIONAL PROGRAMS

#### Preface

Each Chairholder and the Director is responsible for a program of public policy research and related initiatives, usually involving institutional linkages with a particular region or community in the Asia-Pacific and a publication program. Related activities include training programs, workshops, academic symposia, faculty/student exchanges, regional academic program evaluations and graduate research supervision. CAPI Associates direct similar multi-faceted programs or are closely involved in Chair programs. Our new Executive Assistant, Barbara Duffield, is an experienced researcher and actively participates in several major projects.

The four CAPI Programs developing in 1992-93, with plans for the next two years, are outlined below, followed by a summary of Dr. Rapp's Program which he brought to the Centre in July 1993.

#### Program 1: Sino-Canadian Trade and Investment in the Global Context

Program Director: Dr. Ralph Huenemann

#### Background

Dr. Huenemann's program encompasses research, training and information activities related to various aspects of bilateral trade and investment between Canada and China and of the multilateral context within which these take place. In thematic terms, the research subtopics fall into two broad categories:

- Institutional and Legal Studies: China's place in GATT, convertibility of currency, mechanisms for dispute resolution, transparency of rules, protection of intellectual property, and anti-dumping.
- Quantitative Studies: sectoral composition of trade and investment flows, impact of devaluation, optimal size of foreign debt, and impact of foreign trade on price inflation.

Dr. Huenemann's interest in these topics dates back to the 1970s, and led to the writing of China's Open Door Policy: The Quest for Foreign Technology and Capital (UBC Press, 1984). Work at the World Bank in the mid 1980's also touched on these topics. Later, he was an active leader in the collaborative research which produced the volume China's Foreign Trade (Institute for Research on Public Policy, 1987). A sequel project, managed jointly by CAPI and IRPP at the Canadian end, produced the volume Zhongguo Duiwai Kaifang yu Jingji Fazhan Zhengce (China's Policies of Opening to the Outside and Economic Development), published by the Ministry of Foreign Economic Relations and Trade (MOFERT) in 1991.

#### **Objectives**

- To expand our understanding of economic relations between Canada and China, through collaborative research with colleagues in both countries;
- To disseminate this knowledge in appropriate scholarly publications and in workshops/conferences aimed at wider audiences; and
- To provide support and training for graduate students in Canada and for research personnel in China.

#### **Activities**

The next phase of this research will focus on strengthening and analyzing the trade and investment database for Sino-Canadian economic relations. Funding includes an award of \$215,000 from the Canada-China Applied Economic Research Institutes Linkages (AERIL) Project, which is administered by the Conference Board of Canada.

#### **UVic Involvement**

UVic colleagues with interests in this subject area (who may come from the School of Public Administration, the Department of Economics, the Law School, etc.) will be actively encouraged to participate in this program. Graduate students will also be recruited. CAPI (with new staff funded by the program) will be responsible for administration of part of the program; the other part will be administered by the State Information Centre in Beijing.

Dr. Huenemann began a sabbatical year in July 1993, and will focus his work on this program.

#### Institutional Linkages

This program is a joint venture between CAPI at UVic and the State Information Centre in China but will draw in faculty from other universities as appropriate.

#### Program 2: Coastal and Marine Problems in the Gulf of Thailand

Program Director: Dr. Douglas Johnston

#### Background

Dr. Johnston's program is centred around the Southeast Asian Program in Ocean Law, Policy and Management (SEAPOL), a CIDA funded project now in its third phase.

This program encompasses research, training, and information activities related to the entire range of coastal and marine management problems in the Gulf of Thailand

confronting the littoral states: Malaysia, Thailand, Cambodia, and Vietnam. The program includes the following sectors: fishery development and management; aquaculture; production and regulation of offshore hydrocarbon resources; navigation issues; port administration; piracy control; marine pollution control; wildlife protection; preservation of mangroves and other vulnerable coastal areas; marine science policy; protection of sunken treasures; and boundary and jurisdictional issues.

As co-founder, board member, and program development adviser of SEAPOL, Dr. Johnston has been involved in these and similar problems in Southeast Asia for over a decade. **Professor Ted McDorman, a CAPI Associate**, has also played an important role in SEAPOL since the early 1980's. For its first two phases (1981-1992) SEAPOL was funded by IDRC, but now Phase III is supported by CIDA. In addition, there is a prospect of supplementary funding from other countries, especially Japan. As SEAPOL expands its activities into Indochina, it expects to become the chief vehicle for research, training and related initiatives in the Gulf of Thailand.

Since CAPI is responsible - to UVic, UBC, and CIDA - for the management of SEAPOL III in its present form, it has the opportunity to acquire a catalytic role in some of the projects which are being designed into SEAPOL's Gulf of Thailand program.

The development of this CAPI program will be undertaken in 1993-94 in light of CIDA's response to SEAPOL's report on its inaugural Gulf of Thailand Workshop held at Kuala Lumpur in July, 1993, which was attended by Professors Johnston and McDorman of CAPI and by Professor Christopher Garrett, holder of the UVic chair in Ocean Physics at the Centre for Earth and Ocean Research.

#### **Objectives**

The Gulf of Thailand Program has six principal objectives:

- to help Vietnam and Cambodia to develop the knowledge and skills relevant to effective treatment of these issues in the Gulf;
- · to provide opportunities for cooperative research related to these issues;
- to organize workshops and other forums for informal discussions of these issues by government officials and specialists of the four littoral states of the region;
- to facilitate the participation of appropriate experts from Canada and other nonlittoral states supporting the Program;
- to provide research and training opportunities to members of the UVic faculty, scientists at the Institute of Ocean Sciences (IOS) in Sidney, B.C., and selected consultants in Lower Vancouver Island; and
- to provide training and work experience for Co-op and other students at UVic.

#### **Activities**

The Program is devoted to four kinds of activities:

- cooperative research;
- training courses;
- workshops; and
- publication and public information.

#### **UVic Involvement**

On behalf of UVic, CAPI shares responsibilities for the administration of the Program with the SEAPOL Secretariat, and perhaps with non-Canadian institutions designated by other funding countries. CAPI hopes to work cooperatively with other UVic centres (e.g. Centre for Earth and Ocean Research) interested in certain projects and activities of the Program, and perhaps also with IOS. CAPI will be responsible for the engagement and performance of all Canadian participants, and for the administration of all Canadian-funded activities within the framework of the Program.

#### Institutional Linkages

As the Program expands, it is likely that UVic and SEAPOL will find it useful or necessary to enter into cooperative arrangements with other institutions in the four littoral states of the Gulf of Thailand and in other funding countries, and perhaps also with international organizations such as UNTAC, UNU, ESCAP, UNEP, FAO, and the Mekong Project.

#### Program 3: Economic Legal Policy Development in Southeast Asia

Program Director: Professor William Neilson

#### Background

The program managed by Professor Neilson is built around a number of projects, related by theme and geography, but separate in project administration, objectives and institutional linkages. It is region-specific (including Indochina), particularly ASEAN and Vietnam, and includes research, teaching, law reform, conferences and training components. Interdisciplinary linkages include law, business, economics, public administration, Pacific & Asian Studies, geography, and environmental studies.

#### **Activities**

The program consisted of six elements in the planning or implementation phase in 1992-93, with four continuing into 1993-94 or beyond, as follows:

ASEAN/Canada Competition Law and Policy

The comparative law research project involves researchers from Indonesia, Thailand, Singapore, Malaysia, Taiwan and Korea. In cooperation with the Centre for Advanced Studies, National University of Singapore, a symposium was held in Singapore in April 1993, and a publication is planned in early 1994. Support funding was provided by the Canada-ASEAN Centre (Singapore). Professor Neilson is the project director and contributed three papers to the symposium.

- Vietnam Economic Legislation and Administration: Workshop and Training Program Professor Neilson directed a team of six professors, including two from UVic and one each from Calgary, Simon Fraser, Toronto and Hong Kong, who taught a two week intensive program in Hanoi. Held in May, it was attended by thirty senior Vietnamese public servants, legal advisors, regulators and university teachers. The curriculum concentrated on legal policy options, trade and investment legislative frameworks and regional trading regimes. The CIDA funded project ran from September 1992 to June 1993. Law co-op placements in Ho Chi Minh City and Hanoi were arranged as a result of Workshop contacts. Recent evaluations produced a request from CIDA for a second Program which is under preparation at the time of writing, involving a partnership with the Institute of Research, Ministry of Justice, the Institute of Science Management (Ministry of Science, Technology and Environment) and the Law Committee of the National Assembly of Vietnam.
- The Legal and Government Framework for Foreign Investors in Vietnam.
   A monograph initiated from the Hanoi Workshop, will be completed this year, based on interviews, and legislative and regulatory research. With support funding by CIDA, Professor Neilson will be the sole, independent author.
- Justice System in Vietnam

The project will concentrate on policy reform in the area of economic/commercial laws and administrative laws, advisory services to two national workshops to review the justice system in Vietnam, and the interpretation of primary data on the organization and operation of the new Vietnamese court system. The report will play a major part in the articulation of a two to three year project concerned with significant economic law reform initiatives and the restructuring of the court system in Vietnam, including questions of judicial education and court administration. Professor Neilson is one of two Canadian consultants for this major Justice Reform Project sponsored by the International Development Research Centre and the Canadian International Development Agency. The project included the hosting of seven senior Vietnamese Court Reform personnel who visited Canada to see the justice system at first hand in late September 1993.

· The 'Japan Inc.' Economic Invasion of Indochina

A contemporary case study will be developed examining the Japanese multinational corporation-government model for offshore business and infrastructure investment, as practised in Vietnam, Cambodia and Laos. Support funding is being sought, and the report will be co-authored with Dr. Rapp in 1993-95, with the assistance of Barbara Duffield and other UVic researchers.

Pacific Economic Community Trade Arrangements

The advent of the ASEAN Free Trade Arrangement heralds a regional response to the proposed NAFTA pact. Meanwhile, the Report of the Eminent Persons Group suggests a stronger integrative role for APEC in the wider Pacific economy area. This project concentrates on the impact on domestic legal systems attributed to trading pact membership. Institutional linkages are being developed with the Korea Legislation Research Institute and the Law and Development Centre at Chulalongkorn University in Bangkok.

## Program 4: Joint Training Program Between UVic and University of the South Pacific (USP) on Marine Pollution Assessment and Control

Program Director: Dr. Derek Ellis

#### Background

Dr. Derek Ellis, of the Biology Department, co-directs the Training Program with Mr. Gunnu Pillai from USP located in Suva, Fiji. Dr. Ellis's program is designated as a CAPI program for 1993-94, reflecting his status as a CAPI Associate. This designation also acknowledges the administrative responsibilities performed by CAPI, including staff time as an in-kind contribution to the project. In the third year of a five year CIDA funded project, the program is enriched by the participation of several regional institutions in the South Pacific and other Centres at UVic. USP provides university facilities for 12 member nations in the South Pacific.

#### Objective

The program objective is to improve the capability of the staff at USP and other regional institutions as scientists in marine pollution assessment and control through training and upgrading of their qualifications, and to contribute to the training of graduate and undergraduate students.

#### **Activities**

The five project areas are:

· Short courses and workshops in environmental impact assessment (EIA);

- Professional staff development through short term attachment at UVic;
- Co-operative education training for USP and UVic students (and graduate students on site in the South Pacific region);
- · Development of a degree course in marine pollution at USP;
- Environmental impact assessments by the Institute of Applied Sciences, USP. The UVic onsite Senior Academic Assistant, Mr. Remi Odense, participates and contributes to IAS staff training.

In the second year of the program, five workshops on assessment procedures were conducted in four of the supporting South Pacific nations. Two USP staff members completed six week professional attachment terms at UVic. Two UVic and one USP co-operative education assistants undertook EIA projects in two of the support nations.

Plans for the 1993-94 year include two workshops, one on polychaete identification and another on the Namosi Mine environmental data. Staff development will take the form of professional attachments to UVic, with a chemistry lecturer working with Dr. Ellis for a six month term. A shorter term attachment for a USP M.Sc. candidate will occur this year also. Two to four USP students per year will be funded to undertake co-operative education terms at USP, followed by a second work term after graduation in the student's home country. Two UVic students will be placed in institutions in the region from September 1993 to January 1994. The success of the co-operative education program has led to suggestions for a university-wide (USP) co-operative education program. The degree course in marine pollution has been prepared for formal submission to the Board of Studies. Mr. Remi Odense, who provides technical, teaching and administrative support at USP, finishes his contract in December 1993, and he will be replaced by Dr. Ed Anderson.

#### **UVic Involvement**

The program involves UVic Centres in its training activities, including the Centre for Earth and Ocean Research. Through the exchange of students and faculty between UVic and USP, UVic builds its network with the South Pacific and provides opportunities for cooperative education.

#### Institutional Linkages

The program has linkages with several institutions and government departments in the South Pacific region. In cooperation with the South Pacific Resource and Environmental Program (SPREP), environmental impact assessment workshops will be extended to all member nations. Discussions are under way with the Office de la Recherche Scientifique et Technique Outremar (ORSTOM), Noumea, Nouvelle Caledonia for cooperation in presenting appropriate marine EIA workshops.

#### Program 5: Japanese Multinationals' Pacific Basin Initiatives

#### Program Director: Dr. William Rapp

CAPI successfully filled the third Chair (vacant over the past two years) and Dr. William Rapp took up his post in July 1993. His immediate research and connected plans are summarized here.

#### Background

Dr. Rapp's interest in the competitive development of Japanese industry and their multinational corporations covers a span of about twenty-five years.

The program encompasses research, training and information activities related to various aspects of trade, investment and competition in the Pacific Basin involving major Japanese multinational corporations. An emphasis is on areas of significant influence in Southeast Asia, China and NAFTA.

Intellectual property issues, foreign direct investment and technology are the integrating themes because they represent the cutting edge of Japanese MNC's strategic interests and competitive activities in the Region.

#### **Activities**

The activities are generally region and industry specific, with elements that are interdisciplinary. Included will be publications, conferences, research, curriculum development, seminars, workshops, teaching, public policy development, inter-university collaboration and training.

• The Future Evolution of Japanese-U.S. Competition in Software: Policy Challenges and Strategic Prospects

Dr. Rapp's CAPI program begins with a two year project funded by the Japan-United States Friendship Commission.

Japanese firms in a number of manufacturing industries have succeeded in wresting global pre-eminence from the American firms that had been at the frontiers. They did it, in large part, by developing corporate strategies, rules and routines which were highly effective in achieving competitive advantage. Numerous studies have explained the Japanese competitive success in terms of different management practices between the United States and Japan, yet none examines the origins of Japanese management practices and why they seem so effective in the current environment. Of particular analytical interest, as well as a policy concern, is the degree to which the strategies, rules and routines that succeeded so well for Japanese corporations in certain industries are applicable to other industries. A key industry through which to investigate these issues is computer-related software, an essential input into virtually all forms of manufacturing and services.

This project aims to examine the evolution of Japanese competitive strength in the computer-related software industry and analyze the experience of Japanese firms in transferring successful corporate practices from hardware to software. The project also seeks to assess the strategic implications of competitive interaction between Japanese and US software firms for business and government to help American decision makers understand, anticipate and effectively address future competitive challenges from Japanese software producers.

Dr. Rapp is working with seven other colleagues from American universities on this project, and will involve UVic local faculty once he becomes familiar with our resources at UVic.

#### Pacific Basin Related Intellectual Property Issues

Forums and course materials on global competition and intellectual property issues related to Japanese MNC's activities will be developed. The issue is important because of the intense interest in acquiring, controlling and exploiting technology on a global basis. This will entail joint work with the UVic Faculty of Law and School of Business.

#### The "Japan Inc" Economic Invasion of Indochina

A contemporary case study will be developed examining the Japanese multinational corporation-government model for offshore business and infrastructure investment, as practised in Vietnam, Cambodia and Laos. Support funding is being sought, and the report will be co-authored with Professor Neilson and Barbara Duffield.

#### F. THE SPIN-OFF BENEFITS ARISING FROM CAPI'S EXTERNALLY FUNDED PROJECTS

The CAPI Director and Chairs have externally funded programs and projects which provide significant human resource development benefits to UVic, and yield spinoffs beyond the financial benefits. These benefits include visiting lecturers to UVic, opportunities for CAPI faculty to participate in international conferences and lecture at various universities and other institutions, student exchanges, and additional (or piggybacked) research work funded through these grants. The projects often provide opportunities to involve other UVic faculty in the research, teaching and publication outputs. Some of these benefits are described for each of the most recent CAPI programs. However, there are other less tangible initiatives that are undertaken as a part of the ongoing work of each CAPI member, especially related to the overseas activities. These include the offshore workshops and conferences where the UVic presence is made known, and the networking that is essential to effective working relationships in the Asia Pacific. Some examples of these types of benefits are noted also.

(a) Project: UVic Subproject of Shanghai Jiaotong University - UBC Management Education Linkage Program
Directed by Dr. Ralph Huenemann

Funding Support: Canadian International Development Agency (CIDA)

Visiting Lecturers: Professor Han Huijun will present a paper (jointly with Dr. Huenemann or in parallel) at the Canadian Transportation Research Forum meetings in Victoria in May 1994.

Publication: In appropriate journal probable.

Lectures Presented Externally by CAPI Faculty: Dr. Huenemann will present an intensive three-week course in Spring/Summer 1994 in Shanghai.

Foreign Students at UVic: Four faculty members from Shanghai to School of Public Administration as Visiting Fellows; all have taken courses, some for credit, some as auditors.

Student Research Assistantships: One M.P.A. student to Shanghai to assist faculty research in spring 1993; one or two more planned for 1994.

Other work piggybacked: Dr. Huenemann attended UMAP (University Mobility in Asia Pacific) Meeting in Taipei, representing President Strong, en route to Shanghai in April 1993.

Participation by non-CAPI Faculty: One or two colleagues from School of Public Administration will join Dr. Huenemann and give intensive courses in Shanghai in Spring/Summer 1994.

(b) Project: Strengthening the Trade and Investment Database for Sino-Canadian Economic Relations (AERIL)

Directed by *Dr. Ralph Huenemann* 

Funding Support: Conference Board of Canada/CIDA

Lectures Presented Externally by CAPI Faculty: Paper on China's Foreign Debt presented by Dr. Huenemann to Canadian Asian Studies Association, Montreal, October 1993. Project results will be presented by Dr. Huenemann at a major symposium (probable location Ottawa) in late '94 or early '95.

Student Research Assistantships: Funding includes \$6,000 fellowship for M.A. student in Department of Economics.

Other work piggybacked: Liaison with CIDA in Beijing and Ottawa.

Publications: Will follow when results are available.

Employment generated: Funding includes \$7,700 for administrative assistant at CAPI.

(c) Project: Southeast Asian Program in Ocean Law, Policy and Management (SEAPOL)
Directed by Dr. Douglas Johnston

Funding Support: Canadian International Development Agency (CIDA)

Visiting Lecturers and Consultants: Over the years of the SEAPOL project, many SEAPOL-related academics and government officials have visited UVIc and given

lectures; for example, upcoming is a CAPI Luncheon Series speaker.

Lectures Presented Externally by CAPI Faculty: Dr. Johnston has chaired, presented and acted as commentator at all twelve of the SEAPOL workshops held since the projects inception; he has also attended numerous conferences around the world under the UVic name; Professor Ted McDorman (CAPI Associate) has also participated in the SEAPOL workshops.

Student Research Assistantships: One researcher for one term, with the possibility of two additional assistantships.

Other work piggybacked: On the most recent SEAPOL trip to Southeast Asia in July 1993, Dr. Johnston combined attendance at the Law of the Sea Institute conference in Seoul, where he organized and chaired the final session, with other activities. For example, he met with Chinese and Korean officials to discuss a possible link to SEAPOL, attended the SEAPOL conference in Kuala Lumpur, discussed the prospects of collaboration with Malaysian officials, completed a planning report for a future phase of SEAPOL for CIDA, and in Singapore worked on conference planning with colleagues there and discussed possible links with the Law Faculty.

**Publications:** Proceedings are published following each SEAPOL workshop, with the editorial assistance of either Dr. Johnston or Professor McDorman.

Employment Generated: SEAPOL maintains its office in Bangkok and employs three fulltime support staff, with other part-time colleagues who meet there regularly.

Participation by non-CAPI faculty: The recent SEAPOL workshop was attended by Dr. Chris Garrett, UVic Ocean Physics.

(d) Project: Canada-ASEAN Comparative Law and Policy: Competition Law - Singapore Symposium April 1993
Directed by Professor Bill Neilson

Funding Support: Canada-ASEAN Centre (Singapore)

Visiting Lecturers: At Singapore, participants from UVic, Malaysia, Indonesia, Singapore, Taiwan, Japan and South Korea.

Lectures Presented Externally by CAPI Faculty: Professor Neilson presented three papers, and Professor Johnston was rapporteur.

Student Exchanges: Initial contacts to establish two Law Co-op work terms in Seoul.

Student Research Assistantships: Three students for approximately three months each.

Other work piggybacked: Reinforced regional research network and extended to Taiwan and Korea.

**Publications:** Papers now being edited for publication. The volume to be published by the Canada-ASEAN Comparative Law Project in 1994.

Participation by non-CAPI faculty: Two Law faculty, Professors M. Gillen and R. Howell.

#### Additional benefits:

- Invitations to Professor Neilson to address Korea Legislation Research Institute and Korean International Trade Law Association in November 1993; and groundwork laid for research collaboration with Fair Trade Commission (Taipei)
- Co-host at the Centre for Advanced Studies, National University of Singapore, asked Professor Neilson to be external reviewer for new Law and Social Policy text; future collaborative workshops/research under discussion.
- (e) Project: Vietnam Economic Legislation and Administration Program, September 1992-October 1993
  Directed by Professor Bill Neilson

Funding Support: Canadian International Development Agency (CIDA Management for Change Program)

Lectures Presented Externally by CAPI Faculty: Professor Neilson directed a two week intensive training program with five other professors, including Professor M. Gillen (Law).

Student Exchanges: Co-op work term secured in Hanoi (1994) and Ho Chi Minh City (1993); Initial arrangements confirmed with College of Law Hanoi, and funding application being prepared for Canada Fund, Canadian Embassy, Hanoi.

Student Research Assistantships: Two research assistantships over six months.

Other work piggybacked: Invitation for Professor G.A. Ferguson to lecture in Hanoi and Ho Chi Minh City, August 1993.

**Publications:** Proceedings of workshop now being jointly edited for publication in English and Vietnamese, to be released initially in Hanoi and Ho Chi Minh City; discussions underway with Longmans (Singapore) re refereed text.

Participation by non-CAPI faculty: Professor M. Gillen was a teaching team member, joining Professor Neilson and colleagues from SFU, Calgary, Toronto and Hong Kong.

#### Additional benefits:

- · Confirmed network for research links with four Vietnamese organizations
- Groundwork laid for significant CAPI/UVic involvement in Vietnamese law reform and government initiatives
- Established necessary interpersonal relations to form basis of future cooperative exchanges for faculty and students
- Successful evaluation of the project has prompted a call from CIDA for a follow up project.

# (f) Project: Vietnam Foreign Investment Legal Framework - March 1993-November 1993 Directed by Professor Bill Neilson

Funding Support: Canadian International Development Agency (CIDA Management for Change)

Lectures Presented Externally by CAPI Faculty: Professor Neilson addressed three professional audiences in Bangkok (June-July 1993) and CAPI Luncheon Series at UVic, September 1993.

Other work piggybacked: Links with Institute of Research, Ministry of Justice and Institute of Science Management, Ministry of Science, Technology and Environment.

Publications: Monograph scheduled for November 1993 release by Partnership Branch (CIDA) for national seminars with bar associations and Canadian

exporters/investors associations; independent publication with Canadian legal publishing house under negotiation.

Lectures Presented Internally: CAPI luncheon address by Professor Neilson on September 21, 1993; teaching module for Asia-Pacific Comparative Law course, December 3, 1993.

Additional benefits: Outputs led to first Directed Studies student research paper supervision (fall 1993).

### (g) Project: Japanese Multinationals Pacific Basin Initiatives Directed by Dr. Bill Rapp

Dr. Rapp is beginning his program with CAPI this year, therefore the benefits noted are an illustration of the spin-offs that can be expected from the projects within the program.

Funding Support: Japan-United States Friendship Commission

Visiting Lecturers: Other scholars collaborating on the project generate opportunities for lectures and workshops.

Lectures Presented Externally by CAPI Faculty: The program is in part a collaborative work with several US universities, with workshops and lectures at these locations by Dr. Rapp.

Student Exchanges: With the forging of contacts with government and business colleagues, opportunities for exchanges will be developed.

Student Research Assistantships: Project funding will be sought to include student assistantships.

Other work piggybacked: Funds from the project include support for travel, books and other resources.

Publications: Articles and monographs in refereed publications will be prepared.

Participation by non-CAPI faculty: Collaboration is expected with faculty from Law, Business, Public Administration, Economics, Environmental Studies, Pacific and Asian Studies, and the Centre for Energy and Resources.

Additional benefits: Research work for the program will lead to the development of a new course on "Managing Intellectual Property".

(h) Project: Joint Training Program between UVic and University of the South Pacific (USP) on Marine Pollution Assessment and Control Directed by *Dr. Derek Ellis* 

Funding Support: Canadian International Development Agency

Lectures Presented Externally by CAPI Faculty: Dr. Ellis lectured at USP Biology Dept., Geography Dept. and Institute of Applied Sciences.

Student Exchanges: Seven co-op work terms arranged, with four more planned; five USP students have attended UVic.

Other work piggybacked: Linkages established with other institutions in the South Pacific, including workshops.

*Employment Generated:* One Senior Academic Assistant position for five years, based in Fiji.

Publications: Workshop reports and government briefs.

Participation by non-CAPI faculty: Professor J. Littlepage, Biology presented at a workshop, and Dr. P. Murphy, Business, reviewed the co-op structure in the project.

Additional Benefits: A USP student who brought chemical extracts from plants in Fiji to UVic was able to identify two new compounds; this discovery is in the process of being written up for publication. As a result, Dr. Spino, Biology, has developed a new project with colleagues at USP.

#### Workshops and Networking Activities

An example of the possible spinoffs from overseas workshops is the Canadian-Indonesian Legal Education Workshop, held in Indonesia in June 1992. CAPI assisted the Faculty of Law in the design, organization and fund-raising for this event. Spinoffs from this workshop include arrangements for Professor Gerry Ferguson to give a lecture at the University of Indonesia, and a visit by Professor Erman to the UVic Faculty of Law. Currently, the Law Co-op Coordinator is using these contacts to seek positions for UVic students.

The Director and Chairholders have been extremely active on the Asia Pacific conference circuit - organizing sessions, chairing panels, presenting papers and providing commentary at events not funded or administered by CAPI but which give UVic a saliency it could not otherwise acquire in the region. But for CAPI, these personnel would not have the time, energy or motivation to maintain such activity at the international level, because most of their time would be devoted to full-time teaching and other year-round commitments within their Faculty or Department. The more or less continuous networking during overseas trips has resulted in contacts with several universities and institutions. In Thailand for example, regular contacts are maintained with the Canadian Embassy, CIDA, and other funding sources. One outcome is the relationship with former Prime Minister of Thailand,

Mr. Anand Panyarachun, who will receive an Honorary Doctor of Laws degree at the Fall Convocation on November 27, 1993.

#### G. 1992-93 PUBLICATIONS AND PAPERS BY CHAIRS, DIRECTOR AND ASSOCIATES

#### Dr. Ralph Huenemann

"Human Rights and Canadian Foreign Policy", presentation made to Shad Valley students at UVic., July 17, 1992.

"Environmental Aspects of the Three Gorges Dam in China", presentation at the Summer Institute on Environmental Degradation, Population Displacement and Global Security, Whistler, B.C., August 17, 1992.

"Current Issues in International Trade and Investment", presentation at the UVic United Nations Club, January 25, 1993.

"Shanghai Update", presentation at the Canada-China Trade Council, Vancouver, April 30, 1993.

Economics Sections of Staff Appraisal Report for Grain Distribution and Marketing Project (China), World Bank.

#### Dr. Douglas Johnston

author, "Theory, Consent, and the Law of Treaties: A Cross-Disciplinary Perspective" (1992), 12 <u>Australian Year Book of International Law</u> 109.

author, "Vulnerable Coastal and Marine Areas: A Framework for the Planning of Environmental Security in the Ocean" (1993), 24 Ocean Development and International Law.

author, "Regional Fishery Arrangements: Options for Northeast Asia", in R.St.J. Macdonald <u>ed.</u>, <u>Festschrift for Wang Tieya</u> (Graham Trotman, 1993) [in press].

author, "International Fishery Disputes: A Challenge to Modern Diplomacy", in Kathleen I. Matics and Ted L. McDorman, eds., SEAPOL International Workshop on Challenges to Fishery Policy and Diplomacy in Southeast Asia: Selected Papers (SEAPOL, 1993).

co-author (with Mark J. Valencia), "The Russian Far East and the North Pacific Region: Prospects for Cooperation in Fisheries", in Mark J. Valencia, ed., Proceedings of International Workshop on the Russian Far East in Northeast Asia (East-West Center, 1993) [in press].

author, "Ocean Boundary Disputes and the Risk of Conflict", in Peter Gaydon, <u>ed.</u>, <u>Proceedings of Colloquium on Maritime Security and Conflict Resolution</u> (Dalhousie University, Centre for Foreign Policy Studies, 1993) [in press].

#### Professor Ted McDorman

"U.S.-Thailand Trade Disputes: Applying Section 301 to Cigarettes and Intellectual Property", 14 Michigan Journal of International Law 90-119, (1992).

with Kathleen I. Matics and Catherine Parker, <u>Proceedings: Selected Papers Presented at the Phuket and Chiang Mai SEAPOL Workshops (1989-1991)</u> (Bangkok: SEAPOL), 180 p., 1992.

with Kathleen I. Matics, <u>SEAPOL International Workshop on Challenges to Fishery Policy and Diplomacy in Southeast Asia: Selected Papers</u> (Bangkok: SEAPOL), 175 p., 1993.

"The South China Sea Islands Dispute in the 1990s: A New Multilateral Process and Continuing Friction", 8 International Journal of Marine and Coastal Law 263-185, 1993.

"The 1991 Constitution of Thailand" submitted for publication.

"The Spratly Islands - Old Dangers, New Approaches", presentation at meeting of the Working Group on the Law of the Sea, Netherlands Society of International Law, Utrecht, The Netherlands, June 1993.

"U.S. Unilateral Trade Action in Asia", presentation at Pacific Region and International Law Conference: Regional Trade Groups and the Pacific Region, Fukuoka, Japan, July 1993.

#### Professor Bill Neilson

Co-editor, <u>Law and Economic Development: Cases and Materials from Southeast Asia,</u> Longman Singapore 1993, 387 pages.

"Regulation: An Introduction to Legal Sources, Administrative Models and Compliance Design", Ch. 10 (pp. 194-219) in <u>Law and Economic Development: Cases and Materials from Southeast Asia</u>, supra.

<u>Vietnam: Legal Indigestion and the New Economy</u>, 6 Asia-Pacific News 2 (May 1993), reprinted in Indochina Monitor (August 1993).

"The Relevance of Competition Law as a Matter of ASEAN Economic Policy"; The Connections Between Free Trade Areas and Competition Policy: NAFTA and AFTA"; and "North-South Perspectives on the Intellectual Property - Competition Policy Interface", papers presented, Canada/ASEAN Comparative Law and Policy Symposium, Singapore, May 17-21, 1993.

"AFTA and the Pacific Economic Community: Prospects and Realities", paper presented to a joint meeting of the Thai-Canada Association and the Thai-Canadian Chamber of Commerce in Bangkok, June 29, 1993.

Reflections on Recent Federal Prosposals for the Rationalization of Trade Practices Regulation in Canada, (1992) 21 <u>Canadian Business Law Journal</u> 70.

"The EEC Merger Regulation: The Contest between Market Competition Policy and National Industrial Policy - The Dehavilland Canada Case", paper delivered at the Sixth Meeting of the International Academy of Consumer and Commercial Law, University of Stockholm, August 1992.

Regulatory Compliance Guide, prepared for federal Department of Justice Compliance Project (July 1993, 75 pages), for public distribution.

#### H. CAPI CONFERENCES, WORKSHOPS AND SPECIAL LECTURES, 1992-93

#### • Campus Forum on Asia-Pacific Activities (Oct. 10)

A record number of over 50 faculty and staff attended this all-day forum at Dunsmuir Lodge. Twenty-two participants reported on their work, another eight prepared written summaries for distribution. Presentations by the Library, Student and Ancillary Services, Co-operative Education, English Language Programs and University Extension were particularly welcome in adding to the campus understanding of the range of Asia-Pacific expertise and services at UVic. After lunch, participants addressed a range of institutional issues (resources, library, research directions, recruitment, etc.) raised by the morning's discussions.

#### The 1992 Lam Lecture (Nov. 30)

Sir Geoffrey Palmer, former Prime Minister and Minister for the Environment of New Zealand, gave the third annual 1992 Dorothy and David Lam Lecture on the topic "The Rio Summit - Success or Failure for the Pacific?" to an audience of 150 in Begbie 159 at the end of November.

#### • Democracy and Constitutionalism in the South Pacific (January 22)

CAPI (Bill Neilson) and the South Pacific People's Foundation of Canada joined forces to present a one day conference on the various ways in which South Pacific countries have made constitutional arrangements to accommodate native rights. Featured speakers included Lansdowne Visitor, Professor Yash Ghai of the University of Hong Kong ("Ethnicity and Democracy"), Meg Taylor, PNG Ambassador to Canada and the U.S., Judge Barry Stuart, former Constitutional Advisor to the PNG Government, Dr. Chris Morgan (Pacific & Asian Studies), Randal Garrison (Camosun), Dr. Frank Cassidy (Public Admin.) and Professor Hamar Foster (Law).

#### International Workshop on North Pacific High Seas Fishery Management Issues (April 1-4)

Douglas Johnston, ably assisted by Sandra Schatzky, organized and chaired this second informal meeting of senior officials, scientists and other experts in the field of fishery management from the leading fishing states of the North Pacific. The off-the-record discussions were held at Dunsmuir Lodge and were attended by over 40 visitors. Funding was provided by External Affairs, Fisheries and Oceans Canada, the B.C. Ministry of Agriculture, Fisheries and Food, the U.S. Department of State and the U.S. Department of Commerce.

#### Canada/ASEAN Comparative Law Symposia (April 17-22)

CAPI (Bill Neilson, Douglas Johnston) and Law (Robert Howell) joined forces to organize the first Canada/ASEAN meeting to review current issues and developments in Competition Law and Intellectual Property Law. The Symposia were held at the Centre for Advanced Studies, National University of Singapore and attracted experts from Thailand, Indonesia, Malaysia, Singapore, Taiwan, Korea and Japan. Funding was provided by the Canada-ASEAN Centre in Singapore. Publication of the research generated by the two projects is planned later in 1993 as part of the umbrella Comparative Law and Policy Program which involves 10 members of the Faculty of Law.

#### I. THE ASIAN STUDIES LIBRARY COMMITTEE

A 12 member Committee, under the chairmanship of **Dr. Joe Moore** (Pacific & Asian Studies) was established in February and promptly put together a campus strategy for the CAPI-funded purchases of materials to strengthen library holdings in the Asia-Pacific collection. The ASLC task is far from easy, given the chronic underfunding of Asia-Pacific library holdings at UVic and the relatively small amount of supplementary funds that CAPI can provide. Since 1988, CAPI has funded the acquisition of over \$80,000 worth of materials for the McPherson, Priestly and Film Libraries.

As a general principle, the Committee agreed that multiple use is the primary consideration, and all requests should aim to benefit the largest number of faculty and students possible. Highest priority is given to serving the needs of undergraduate teaching, although requests for materials for graduate teaching and research are acceptable.

This year, the committee agreed to purchase the Foreign Broadcast Information Service microfiche collections for China and East Asia. As well, each participating department received an allocation of funds and contributed lists of materials for the library to purchase.

#### J. FACULTY RESEARCH GRANTS

In its first five years, CAPI has mounted and completed a number of multi-activity projects and programs. In large measure, these initiatives have been financed by agencies,

foundations and other organizations at the provincial, federal and international levels. The Centre's success in attracting external funds for its research and outreach programs has enabled CAPI to offer, on an annual basis since 1988, a series of research grants to UVic full-time faculty engaged in research focussed on the Asia-Pacific region.

Thirty-three grants, averaging \$2,000 each, have been given to UVic faculty since the inception of the CAPI program. In recent years, all funding applications deemed acceptable by the Executive Committee have been given a CAPI Research Grant. There has been a generous interdisciplinary balance in the research grants awarded over the life of the program. Academic units represented include Anthropology, Biology, Business, Child and Youth Care, Education, Geography, Health Information Science, History, History in Art, Law, Linguistics, Pacific and Asian Studies, Political Science, Public Administration, and Sociology.

The grants are allocated in support of four research themes, namely: the 'democracy' research theme for 1993, research related to other public policy issues in the region, research leading to the development of university courses in the Asia-Pacific field, and other research topics. Awards are tied to visible outputs such as the preparation of a grant proposal submission or a working paper completed within one year of receiving the grant.

Six grants, averaging \$2,000 each, were awarded in the current year to:

Gerry Ferguson (Law): State Regulations of Mental Disorder in ASEAN Countries

Yuen-fong Woon (Pacific & Asian Studies): Rural Households Survey in Kaiping County, in South China.

Ralph Croizier (History): China's New Art and the Democracy Movement, 1979-89.

A.R. Elangovan (School of Business): Conflict Management Across Cultures: A Focus on the Pacific Rim.

Helen Chauncey (Pacific & Asian Studies): Politics and Pedagogy in Socialist Vietnam

Richard King (Pacific & Asian Studies): Rhetoric, Melodrama and Fiction in Cultural Revolution China

(Dr. King declined the grant after his two year appointment in July 1993 as the Head of Cultural and Academic Affairs in the Canadian Embassy in Beijing).

#### K. CAPI COMMUNICATIONS PROGRAM

The CAPI Newsletter, *Asia-Pacific News* was published in September 1992, January, 1993 and May 1993. The September 1993 issue has just been published. Contributions are solicited from all faculty and staff to ensure relevance to the wider audience. Articles include UVic initiatives such as appointment of the President's Advisory Committee on International Activities, and reports on conferences and seminars. Faculty publications,

awards and travel grants are noted, and upcoming events are publicized. A "Comment" section provides an opportunity for contributors to elaborate on research in progress.

As well, a new CAPI brochure was put out in July 1993.

The <u>Occasional Papers Series</u> was launched in mid-summer 1993. The purpose of this series is to encourage the dissemination of research on the Asia-Pacific which may not be published elsewhere or reflects work in its early stages. CAPI solicits contributions from UVic faculty, graduate students and academic colleagues anywhere in the world. Papers will be distributed free of charge in the initial stages, with a nominal charge to be in effect in 1994. Series titles to date include:

- #1 Professor Yash Ghai, Sir Y.K. Pao Professor of Law at the University of Hong Kong, on Legal Responses to Ethnicity in South and Southeast Asia.
- #2 Messrs. Wu Nianlu and Mao Xiaowei, Institute of International Finance, Bank of China, *Managing China's Balance of Payments*.
- #3 Mr. Li Zhongzhou, Ministry of Foreign Economic Relations and Trade, China, The Issue of China's Participation in the Multilateral Trading System.
- #4 Professor Ted L. McDorman, Faculty of Law and CAPI Associate, University of Victoria, *Thailand's Constitution of 1991*.

#### L. CAPI STUDENT INITIATIVES

The Centre made several recent efforts to include UVic students, undergraduate and graduate, in its programs and activities. Graduate students involved in Asia-Pacific studies, for example, are invited to attend the annual Campus Forum held every October at Dunsmuir Lodge, involving presentations on current research and campus programs. Most CAPI research projects involve student research assistants; they also serve as conference and workshop assistants. Overseas, more than a few co-op placements have been secured for UVic students from contacts made through CAPI -sponsored conferences and field research. Through CAPI personnel (Professors Neilson, Johnston and McDorman), the UVic Law School has become extensively involved in student summer and co-op program placements and exchange arrangements throughout Southeast Asia--perhaps more extensively than any other law school in North America.

CAPI has established two *Annual Student Essay Prizes* (\$500 each) to encourage excellence in student research in the Asia-Pacific region. Those papers selected for the CAPI Prize may be published in our Occasional Papers Series. The first competition will be held in October 1993. On a separate front, a Student Steering Committee is organizing the first CAPI *Asia-Pacific Student Forum*, to be held February 4-5, 1994.

#### M. CAPI SUPPORT SERVICES TO THE UNIVERSITY AND OTHER COMMUNITIES

CAPI is a small Centre by any definition--four half-time professors (three chairholders and the Director) and two full-time staff, Stella Chan (Secretary) and Barbara Duffield (Executive Assistant). The Director and three chairholders have regular academic appointments within the University--Ralph Huenemann (Public Administration), Douglas Johnston (Law), Bill Rapp (Business), and Bill Neilson (Law). Each chairholder and the Director is responsible for a regional program, involving research, training, information dissemination and teaching components. As well, they, and the Executive Assistant, provide a variety of support services to the campus and other educational and professional communities. These services are directly related to their CAPI positions. In this part of our Self-Assessment Report, we thought it instructive to indicate, in a selective and summary fashion, the range of services delivered over the past year.

#### Ralph Huenemann

- · Co-advisor to the President, 1994 Taiwan-Canada Higher Education Conference
- Member, Editorial Board, Pacific Affairs, Canada-China Business Forum
- · External Reviewer, China Economic Forum, World Bank, Asia Development Bank
- · On-going participant in PAFTAD meetings

#### **Douglas Johnston**

- · Advisory Board, UVic Environmental Studies Program
- · Advisor to the President, UVic-UN University linkage
- Principal organizer, 5-year faculty project, Legal Development in Comparative Perspective: Asia-Pacific Studies
- North American Regional Governor, International Council on Environmental Law
- Editorial Advisory Board, Ocean Development and International Law, Ocean and Coastal Management, and International Journal of Estuarine and Coastal Law
- Board, Maritime Awards and Scholarship Society (Canada)
- · Advisor on graduate studies Faculty of Law, National University of Singapore

#### Bill Neilson

- · Chair, UVic 90/30 Celebrations Committee
- Member, UVic Centre for Global Studies Committee; Japan Advisory Committee; International Liaison Committee
- Chair, UVic Academic Agreement with Chulabhorn Research Institute (Thailand);
   Thailand Symposium (November 1993)
- Chair, UVic-Chulalongkorn Faculty Exchange Selection Committee
- Chulalongkorn Law Faculty Summer Academic Program
- Co-advisor to the President, 1994 Taiwan-Canada Higher Education Conference

\$ 31,777

- · External Assessor, Faculty of Law, University of Malaya
- · Member, CIDA Vancouver Working Group on Vietnam
- · Reader, National University of Singapore, Law, Social and Economic Policy manuscript
- · National Board of Directors, Institute for Research on Public Policy
- Editorial boards, Canadian Competition Policy Record, International Journal of Consumer Policy and Canadian Business Law Journal.

#### N. CAPI ANNUAL REPORT STATEMENT OF INCOME AND EXPENSES

UVic Operating Budget	\$167,655	External Projects		\$370,990	
Expenses:					
CAPI Staff Salaries	\$ 58,628	External Projects		\$339,213	
CAPI Office Expense	\$ 28,679				
UVic Library Acquisitions (ASLC)	\$ 21,901				
Faculty Research Grants Disbursed \$ 13,283					
Total:	\$122,491			,	
Balance:					

**UVic Operating** 

Income:

\$ 45,164 External Projects Funds transferred to CAPI

transferred to CAPI Program Development

Fund

#### Notes:

- 1. ASLC indicates the budget disbursed by the Asian Studies Library Committee, which allocates funds for Asia Pacific library materials. ASLC is represented by Anthropology, Business, Economics, Geography, History, History in Art, Linguistics, Pacific and Asian Studies and Political Science.
- 2. UVic carryover from 1992-93 is allocated to the purchase of new CAPI computers, expenses associated with the Japan Chair recruitment, Faculty Research Grants and library materials. These items were planned for 1992-93, but due to staff turnover and delays in the recruitment process, were not completed within the fiscal year.
- 3. External Projects are budgeted to generate a management fee for services rendered by CAPI, with the funds earned then allocated to the CAPI Program Development Fund. These Funds are used for the UVic Faculty Research Grants and other research related initiatives.
- 4. CAPI Faculty appointments (3 Chairs and a Director, all half-time) are funded 60% from UVic Foundation Endowment funds (arising at the time of CAPI creation in 1987-88 from provincial and federal sources) and 40% from UVic operating funds, amounting to the equivalent, in the latter case, of an .8 FTE professorship.

#### O. CONCLUSION

CAPI had a successful and busy year in 1992-93. Our research, conference and outreach programs were rationalized and extended. Inter-institutional linkages on the Pacific Coast, in Japan, Korea, China, Indochina and ASEAN were broadened and strengthened. Five new research and activity projects were attracted to the Centre.

The Occasional Papers Series was launched. Students were brought into our outreach and research programs and the External Advisory Committee was set up to provide the Centre with off-campus advice and recommendations on CAPI projects, programs and activities, including our relationship with non-university sectors and funding organizations.

For the first time in over two years, CAPI will profit from the presence of all three Chairholders, not to mention its first non-Chair Director and a full-time Executive Assistant ably assisted by Stella Chan who has been with CAPI since its inception.

#### CAPI PERSONNEL PROFILE

William A.W. Neilson, LL.M. (Harvard) is Director of the Centre and Professor in the Faculty of Law. He is the former Dean of Law. Professor Neilson has extensive experience directing law and economic development training programs in Southeast Asia and the South Pacific islands. Research interests include international business law, competition policy and government organization.

Ralph W. Huenemann, Ph.D. (Harvard) is Chair in Economic Relations with China and Professor in the School of Public Administration. Dr. Huenemann has worked for several years as a World Bank consultant on projects in Asia. Research interests include foreign trade and investment, and transportation policy.

**Douglas M. Johnston**, J.S.D. (Yale) is Chair in Asia-Pacific Legal Relations and Professor in the Faculty of Law. Dr. Johnston has taught and written in the fields of international law and political science, specializing in marine and environmental law and policy with special interests in Southeast Asia, China, and the South Pacific.

William V. Rapp, Ph.D. (Yale) is Chair in Economic Relations with Japan and Professor in the School of Business. He has extensive experience related to Japan's global economic relationships. Dr. Rapp's research interests focus on Japanese multinationals, business strategies, and trade, as well as investment and competition in the Pacific Basin.

**Derek V. Ellis**, Ph.D. (McGill) is a CAPI Associate and Professor in the Biology Department. Dr. Ellis is Co-Director of the Joint Training Program between UVic and University of the South Pacific on Marine Pollution Assessment and Control in Fiji.

**Ted L. McDorman**, LL.M. (Dalhousie) is a CAPI Associate and Professor of Law. Professor McDorman is Canadian Co-ordinator for SEAPOL. He has published widely on trade law and ocean resources management.

Barbara Duffield, MBA, MES (York) is Executive Assistant, combining experience in urban planning, project management and international development.

Stella Chan is Secretary, and has been with the Centre since its inception.



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